BEFORE THE SCIENTIFIC REVIEW PANEL ON TOXIC AIR CONTAMINANTS AIR RESOURCES BOARD DR. JOHN FROINES, CHAIRMAN 08 IN THE MATTER OF:)) 09 THE AIR RESOURCES) 09 SCIENTIFIC REVIEW PANEL) 10 PUBLIC MEETING)) TRANSCRIPT OF PROCEEDINGS LOS ANGELES, CALIFORNIA WEDNESDAY, MARCH 11, 1998 22 REPORTED BY: 23 TONYA ESPARZA, 23 CSR NO. 11381 24 JOB NO.: 25 ARB4858 BEFORE THE SCIENTIFIC REVIEW PANEL ON TOXIC AIR CONTAMINANTS AIR RESOURCES BOARD

DR. JOHN FROINES, CHAIRMAN 08 IN THE MATTER OF:)) 09 THE AIR RESOURCES) 09 SCIENTIFIC REVIEW PANEL) 10 PUBLIC MEEETING)) TRANSCRIPT OF PROCEEDINGS, TAKEN AT SUNSET VILLAGE COVEL COMMONS, 330 DE NEVE DRIVE, UNIVERSITY OF CALIFORNIA, LOS ANGELES, CALIFORNIA, COMMENCING AT 9:07 A.M., ON WEDNESDAY, MARCH 11, 1998, HEARD BEFORE JOHN FROINES, CHAIRMAN, REPORTED BY TONYA ESPARZA, CSR NO. 11381, A CERTIFIED SHORTHAND REPORTER IN AND FOR THE STATE OF CALIFORNIA. INDEX 02 SPEAKERS: PAGE 03 DR. JOE MAUDERLY 04 DR. BARBARA ZIELINSKA 05 DR. ERIC GARSHICK 06 DR. TOM SMITH

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LOS ANGELES, CALIFORNIA, WEDNESDAY, MARCH 11, 1998 9:07 A.M. DR. FROINES: AS WE GET STARTED, I WANT TO 06 INTRODUCE TWO PEOPLE WHO ARE HERE WHO I BELIEVE ARE 07 IMPORTANT TO THE LONG-TERM PROCESS THAT WE'RE INVOLVED IN 08 WITH RESPECT TO DIESEL EXHAUST. THE FIRST IS BILL FRIEDMAN FROM THE U.C.L.A. 10 SCHOOL OF MEDICINE WHERE HE IS ASSOCIATE DEAN, AND HE IS A 11 MEMBER OF THE BOARD. AND SO HE'S HERE AND WILL BE 12 LISTENING CAREFULLY BECAUSE HE'LL HAVE TO ADDRESS THIS 13 ISSUE COME JULY. AND THE SECOND PERSON I WANTED TO INTRODUCE 15 TO YOU, AND I DON'T SEE HIM, IS MIKE KENNY WHO IS THE 16 EXECUTIVE OFFICER OF THE A.R.B., AND MIKE MUST BE OUT OF THE ROOM. BUT WE'LL GET BACK TO HIM. I -- I WANT TO MAKE A FEW REMARKS AT THE 19 BEGINNING BEFORE I TURN IT OVER TO GEORGE ALEXEEFF, AND 20 I'LL TRY TO MAKE THEM BRIEF, BUT THERE'S A NUMBER OF 21 POINTS I WANT TO COVER. FIRST, I WANT TO WELCOME EVERYBODY WHO IS 23 HERE. THIS IS REALLY A LANDMARK MEETING FOR THE

24 SCIENTIFIC REVIEW PANEL. THE SCIENTIFIC REVIEW PANEL WAS 25 ESTABLISHED IN 1983, AND SINCE THAT TIME, WE HAVE NEVER 0006 01 TAKEN PUBLIC TESTIMONY AT AN S.R.P. MEETING. WE HAVE 02 ALWAYS FELT THAT WE WOULD SUPPORT THE NOTION OF WORKSHOPS 03 AND OTHER GET TOGETHERS, BUT WE HAVE NEVER TAKEN DIRECT 04 PUBLIC TESTIMONY AT A MEETING, AND SO THIS REPRESENTS A 05 FIRST. 06 WE'RE DOING THIS BECAUSE WE THINK THE 07 SCIENTIFIC ISSUES ASSOCIATED WITH DIESEL EXHAUST ARE OF 08 SUCH SIGNIFICANCE THAT IT WAS IN EVERYBODY'S BEST INTEREST 09 TO HOLD A MEETING AND TO HEAR FROM LEADING SCIENTIST WHO'S 10 HAVE CONDUCTED RESEARCH IN THE AREA OF DIESEL EXHAUSTS AND 11 THE HEALTH EFFECTS ASSOCIATED WITH IT. 12 MIKE KENNY JUST WALKED IN, SO HE CAN RAISE 13 HIS HAND -- WAVE HIS HAND SO YOU ALL KNOW THAT HE'S THE 14 EXECUTIVE OFFICER WHO IS HERE. THE SECOND THING IS THAT THERE WERE A NUMBER 15 16 OF AFFECTED PARTIES WHO FELT THAT IT WAS IMPORTANT FOR THE 17 PANEL TO HEAR RESPECTED SCIENTISTS; FOR EXAMPLE, 18 JOE MAUDERLY AND ERIC GARSHICK AND OTHERS WHOSE POINTS OF 19 VIEW THEY FELT WERE IMPORTANT TO HAVE HEARD BY THE PANEL 20 IN A DIRECT FASHION. AND SO WE'VE ATTEMPTED TO BE 21 RESPONSIVE. 22 IN GENERAL, THIS ISSUE HAS RAISED KEEN 23 INDUSTRY IN THE COMMUNITY AT LARGE, AND SO WE ALL FELT 24 THAT IT WOULD BE BEST SERVED IF WE HAD A MEETING LIKE 25 THIS, BREAKING OUR TRADITIONAL POLICY AND GETTING INPUT --0007 01 THE BEST POSSIBLE INPUT WE COULD AS THIS PROCESS MOVED 02 FORWARD. CLEARLY, IT'S AN ISSUE OF CONSIDERABLE CONCERN 03 AND MAGNITUDE. 04 SO WHETHER WE HAVE THESE IN THE FUTURE WILL 05 IN SOME PARTS DEPEND ON HOW WELL WE DO TODAY, BUT AT LEAST 06 WE'RE GOING TO TAKE A SHOT AT HAVING A MEETING IN WHICH WE HAVE STRONG SCIENTIFIC INPUT TO -- TO THE PANEL. 07 AND -- AND I SHOULD SAY AT THE OUTSET THAT 08 09 THE INPUT TO THE PANEL TODAY WILL BE LIMITED TO SCIENCE. 10 WE'RE NOT TALKING ABOUT COST BENEFIT, RISK MANAGEMENT, 11 LEGAL ISSUES, ET CETERA, ET CETERA, ET CETERA. WE ARE 12 ONLY GOING TO HEAR FROM PERSONS AROUND ISSUES OF SCIENCE. 13 NOW, THERE ARE SOME LEADING SCIENTISTS WHO 14 WERE NOT ABLE TO ATTEND AND NOT INVITED. AARON COHEN FROM 15 H.E.I. IS NOT HERE, MOOGALVKAR FROM THE UNIVERSITY OF 16 WASHINGTON, ROGER MC CLELLAN FROM C.I.T., AND OTHERS WE 17 COULD NAME. THERE ARE CLEARLY SOME OUTSTANDING SCIENTISTS 18 IN THE COUNTRY WHO WE WOULD HAVE LIKE TO HAVE HAD, BUT FOR 19 THE SAKE OF TIME WE SIMPLY COULDN'T INVITE EVERYBODY. WE 20 WOULD HAVE LIKED TO. I THINK THAT -- SO I'M VERY PLEASED TO 21 22 WELCOME THE PANEL AND THE SPEAKERS AND THE AUDIENCE WHO 23 WILL BE PARTICIPATING. I THINK WE WILL HEAR SOME -- SOME 24 QUITE NEW INFORMATION FROM SOME OF THE SPEAKERS, AND I 25 THINK THAT'S GOOD AS WE GO THROUGH THE DAY, AND I HOPE 8000 01 THAT WE WILL HEAR NEW INFORMATION THAT WILL ADD TO WHAT WE 02 ALREADY HAVE CONSIDERED, AND I HOPE WILL HAVE SIGNIFICANT

03 DISCUSSION. 04 I'M GOING TO BE TRYING TO BE A PRETTY TOUGH 05 SERGEANT AT ARMS TODAY BECAUSE FOR THIS TO WORK, WE'RE 06 GOING TO HAVE TO STAY ON TRACK, AND THAT'S WHY I EVEN 07 DON'T WANT TO GO ON TOO LONG MYSELF HERE, BUT WE'RE GOING 08 TO ORGANIZE THE DAY AS FOLLOWS: THERE WILL BE NO 09 OUESTIONS AFTER EACH SPEAKER. WE WILL TAKE OUESTIONS 10 AFTER EACH GROUP OF SPEAKERS HAVE SPOKEN. AFTER A GROUP 11 OF SPEAKERS HAS SPOKEN, WE WILL ASK IF EITHER OF THEM, IN 12 THE CASE OF -- FOR EXAMPLE, BARBARA AND JOE, WANT TO 13 COMMENT ON EACH OTHERS PRESENTATIONS. 14 IF THERE ARE NO -- IF THERE ARE NO DISCUSSION 15 OR EXCHANGE AT THAT POINT, THEN WE WILL OPEN IT UP TO 16 QUESTIONS AND ANSWERS IN DISCUSSION FROM THE SCIENTIFIC 17 REVIEW PANEL AND OTHER SPEAKERS. SO THERE WILL BE -- ATTEMPT TO BE A DIALOGUE 18 19 AFTER EACH SESSION OCCURS BETWEEN THE PEOPLE WHO WERE 20 SITTING AT THIS TABLE. 21 IF THERE IS TIME AT THE END OF EACH 22 QUESTION-ANSWER PERIOD, AND THE DISCUSSION HAS -- HAS DIED 23 OUT, THEN I WILL TAKE QUESTIONS FROM THE FLOOR. BUT FOR 24 THE MOST PART, WE WON'T BE TAKING QUESTIONS FROM THE FLOOR 25 UNTIL THERE IS TIME. 0009 01 TOWARDS THE END OF THE DAY, WE'VE ALLOTTED 02 QUITE A BIT OF TIME FOR DISCUSSION, AND TOWARDS THE END OF 03 THE DAY I THINK WE WILL OPEN IT UP FOR MORE DISCUSSION 04 FROM THE FLOOR IN ORDER THAT WE TRY AND HEAR FROM 05 EVERYONE. 06 BUT FOR THE MOST PART, WE'RE GOING TO HAVE 07 DISCUSSION BETWEEN SPEAKERS -- DISCUSSIONS BETWEEN 08 SPEAKERS, PEOPLE WHO ARE SPEAKERS, BUT NOT HAVING GIVEN 09 THEIR TALK AND THE SCIENTIFIC REVIEW PANEL TO HAVE A 10 REASONABLY DISCIPLINED LEVEL OF DISCUSSION. 11 SO THAT -- THAT IS SORT OF THE GROUND RULES 12 FOR TODAY. NOW, THIS -- AT THIS POINT, THIS PANEL HAS 13 14 NOT FORMALLY TAKEN UP THE DOCUMENT. WE WILL FORMALLY 15 DISCUSS THE DOCUMENT AT OUR MEETING IN APRIL, WHICH IS 16 APRIL 22ND. THE AIR RESOURCES BOARD PRESUMABLY WILL HEAR 17 THE DISCUSSION ABOUT DIESEL IN THEIR MEETING ON JULY 23RD. 18 SO THE PROCESS IS MOVING TO -- TO CLOSURE. 19 I DO WANT TO EMPHASIZE A COUPLE OF POINTS 20 BEFORE TURNING IT OVER TO GEORGE. ONE IS THAT IN CARRYING 21 OUT OUR MANDATE, IT'S IMPORTANT TO REMEMBER THAT THE 22 HEALTH AND SAFETY CODE SECTION 39650(E) OF THE STATE OF 23 CALIFORNIA SPECIFICALLY STATES WHILE ABSOLUTE AND 24 UNDISPUTED SCIENTIFIC EVIDENCE MAY NOT BE AVAILABLE TO 25 DETERMINE THE EXACT NATURE AND EXTENT OF RISKS, IT IS 0010 01 NECESSARY TO TAKE ACTION TO PROTECT PUBLIC HEALTH. 02 WE UNDERSTAND THAT WITH RESPECT TO DIESEL 03 THERE'RE GOING TO BE UNCERTAINTIES. ALL THE SCIENTIFIC 04 ISSUES ARE NOT GOING TO BE RESOLVED IN THIS MEETING WITH 05 THESE DOCUMENTS AND WITH OTHER NEW INPUT THAT OCCURS; AND 06 THAT WE BELIEVE THAT ADDITIONAL RESEARCH IS NECESSARY TO 07 CLARIFY THOSE ISSUES.

08 BUT THAT DOESN'T PREVENT US FROM FINDING A 09 CHEMICAL AS A TOXIC AIR CONTAMINANT, AND IT'S IMPORTANT TO 10 REALIZE THAT -- THAT WE ARE MAKING DECISIONS WITHIN A 11 CONTEXT OF UNCERTAINTY AND RECOGNIZING AND ACKNOWLEDGING 12 UNCERTAINTY, BUT ALSO RECOGNIZING THAT THERE IS A PUBLIC 13 HEALTH NEED TO MAKE DECISIONS. AND SO WITHIN THAT 14 CONTEXT, WE CAN GO FORWARD. 15 IN THAT REGARD, AT SOME LEVEL, WE'RE LOOKING 16 AT ISSUES THAT WE CAN DEFINE ON A QUALITATIVE BASIS. IS 17 DIESEL EXHAUST A LUNG CARCINOGEN? DOES IT PRODUCE 18 NON-RESPIRATORY MORBIDITY AND MORTALITY? ARE THERE 19 IMMUNOLOGIC EFFECTS ASSOCIATED WITH? ARE THERE PRODUCTIVE 20 EFFECTS ASSOCIATED WITH IT? IS THERE GENOTOXICITY? 21 THERE ARE A SERIES OF QUALITATIVE ISSUES THAT 22 I HOPE WE CAN COME TO SOME GENERAL AGREEMENT ON. I THINK 23 IN THE AREA OF QUANTITATIVE RISK ASSESSMENT THERE ARE GOING TO BE DIFFERENCES, THERE ARE GOING TO BE 24 25 UNCERTAINTIES, AND HOPEFULLY OVER TIME THOSE UNCERTAINTIES 0011 01 CAN BE RESOLVED. 02 BUT THE FINDING OF A TOXIC AIR CONTAMINANT IS 03 NOT DEPENDENT UPON HAVING THE RISK ASSESSMENT HAVE 04 BE -- SOMETHING OF A GOLD STANDARD. AND I THINK IT'S VERY 05 IMPORTANT TO UNDERSTAND THAT; THAT THE DESIGNATION OF A 06 TOXIC AIR CONTAMINANT DEPENDS UPON OUR FINDING OF 07 SIGNIFICANT HEALTH EFFECTS TO -- TO MAKE THAT DESIGNATION 08 POSSIBLE. THE LAST THING I WANT TO EMPHASIZE, AND I'VE 09 10 ALREADY SORT OF ALLUDED TO IT, IS THAT WHAT WE'RE TALKING 11 ABOUT HERE TODAY IS LUNG CANCER FOR THE MOST PART, OR THE 12 CARCINOGENESIS OF DIESEL EXHAUST. 13 WE ARE NOT TALKING ABOUT EXCESS BRONCHITIC --14 BRONCHITIC SYMPTOMS OF COUGH AND PHLEGM. WE'RE NOT 15 TALKING ABOUT WHEEZING, DECREMENTS IN PULMONARY FUNCTION; 16 THAT IS, WE'RE NOT TALKING ABOUT ACUTE AND CHRONIC 17 RESPIRATORY EFFECTS ASSOCIATED WITH DIESEL. WE'RE NOT TALKING ABOUT INFLAMMATORY EFFECTS 18 19 ASSOCIATED WITH DIESEL EXHAUST EXPOSURE IN ANIMALS, EXCEPT 20 INSOFAR AS JOE ADDRESSES THAT IN RELATIONSHIP TO CANCER. 21 AND WE'RE NOT TALKING SO MUCH ABOUT -- WE 22 WON'T BE TALKING ABOUT REPRODUCTIVE EFFECTS OR IMMUNOLOGIC 23 EFFECTS. 24 IN OTHER WORDS, THERE ARE A SERIES OF AREAS 25 THAT ARE EXTREMELY IMPORTANT AND THAT THIS PANEL HAS TO 0012 01 REVIEW WITH GREAT CARE BEFORE THE APRIL MEETING; THAT IS, 02 THE NONCANCER EFFECTS SO THAT WE MAKE SURE THAT WE'RE 03 ADDRESSING THE WHOLE PACKAGE AND NOT HAVE THE DEBATE BE --04 BE -- END UP BEING VERY NARROWLY FOCUSED. 05 SO THOSE ARE THE REMARKS I THOUGHT WERE 06 IMPORTANT TO TRY AND SET SOMETHING OF A CONTEXT FOR THE 07 MEETING, AND NOW WHAT I WOULD LIKE TO DO IS TURN IT OVER 08 TO GEORGE ALEXEEFF WHO CAN GIVE US AN UPDATE ON THE ACTUAL 09 DOCUMENT PREPARATION. 10 GEORGE. 11 DR. ALEXEEFF: GOOD MORNING, EVERYONE. I'M 12 GEORGE ALEXEEFF. AND ON OCTOBER 16TH OF LAST YEAR WE MADE

13 A PRESENTATION TO THE SCIENTIFIC REVIEW PANEL REGARDING 14 SORT OF THE STATUS OF THE DIESEL DOCUMENT. AND AT THAT 15 POINT, WE SUMMARIZED THE COMMENTS THAT HAD BEEN PRESENTED 16 TO US IN WRITTEN FORM FROM A NUMBER OF -- A NUMBER OF 17 INDIVIDUALS ON OUR -- THE MAY '97 DOCUMENT. 18 AND IN -- IN THOSE DISCUSSIONS WE -- WE 19 INDICATED TO THE PANEL WHAT SOME OF THE KEY ISSUES THAT WE 20 FELT WERE -- THAT WERE -- THAT COULD POTENTIALLY AFFECT THE DOCUMENT, AND THEY INCLUDED SOME OF THE ISSUES THAT I 21 22 THINK WE'LL PROBABLY HEAR TODAY, THOSE FROM LIKE 23 DR. GARSHICK AND DR. CRUMP, IN TERMS OF THE -- THE 24 CARCINOGENICITY OF DIESEL EXHAUST AND THE USE OF 25 PARTICULAR STUDIES ON THE -- FOR THE QUANTITATIVE --0013 01 HELLO -- FOR THE QUANTITATIVE RISK ASSESSMENT. I'LL JUST BRIEFLY SUMMARIZE IN RESPONSE TO 02 03 THAT MEETING AND IN RESPONSE TO THE PUBLIC COMMENTS, WE 04 REVISED THE DOCUMENT. AND THE INDICATION IN THE DOCUMENT 05 GENERALLY -- IT STATES IN THE DOCUMENT WHICH SECTIONS WERE 06 REVISED. YOU COULD FIND THOSE. 07 BUT REGARDING THOSE FACTORS THAT AFFECT 08 CARCINOGENICITY, WE DID REVISE THE GENOTOXICITY SECTION 09 AND EXPANDED THE DISCUSSION OF BIOAVAILABILITY, ADDED SOME ADDITIONAL STUDIES THAT WERE AVAILABLE IN LITERATURE OR 10 11 HAD BEEN BROUGHT TO OUR ATTENTION BY THE COMMENTS 12 REGARDING THE CARCINOGENICITY EFFECTS. WE ADDED SOME 13 ADDITIONAL STUDIES THAT WERE BROUGHT TO OUR ATTENTION 14 BY -- BY DR. MAUDERLY. WE HAD OTHER ADDITIONAL 15 CARCINOGENICITY STUDIES THAT HAD COME UP WITH REGARDS TO 16 INSTALLATION FOR ANIMALS, WE EXPANDED THE DISCUSSION ON 17 POTENTIAL MECHANISM OF ACTION. 18 AND THEN WE ALSO MADE REVISIONS IN OUR -- IN 19 OUR META-ANALYSIS. WE ALSO, IN THE QUANTITATIVE RISK 20 ASSESSMENT, IN RESPONSE TO COMMENTS MADE BY DR. MAUDERLY 21 AND OTHERS, AND IN RESPONSE TO COMMENTS MADE AT -- BY THE SCIENCE PANEL, OUR QUANTITATIVE RISK ASSESSMENT WAS -- WAS 22 23 REVISED SUCH THAT THE FINAL RANGE OF RISK IS NOT DEPENDENT UPON THE ANIMAL DATA, BUT FOCUSES MORE ON THE HUMAN DATA 24 25 SINCE THERE IS HUMAN DATA AVAILABLE. 0014 01 AND FINALLY, I WOULD JUST LIKE TO MENTION 02 THAT WE HAVE ADDED ANOTHER APPENDIX, APPENDIX F, WHERE WE 03 KNOW THERE'S BEEN A LOT OF DISCUSSION AND -- REGARDING 04 SORT OF DISAGREEMENTS OR SCIENTIFIC DIFFERENT 05 INTERPRETATIONS, WHICH I'M SURE WE'LL HEAR SOME ABOUT 06 TODAY, REGARDING THE QUANTITATIVE RISK ASSESSMENT OF --07 ESPECIALLY THE GARSHICK COHORT STUDY. 08 AND TO TRY TO UNDERSTAND WHY PEOPLE WERE --09 DIFFERENT INVESTIGATORS WERE COMING UP WITH DIFFERENT 10 ANALYSES, WE ADDED AN APPENDIX F WHICH TRIED TO DESCRIBE 11 THE INFLUENCE OF CHANGING ASSUMPTIONS ON -- ON THE RESULTS 12 OF THE ANALYSIS. SO WE HOPE THAT PROVIDES SOME ADDITIONAL INFORMATION TO EVERYONE. 13 14 AND OUR COMMENT -- THE IN TERMS OF THE 15 PROCESS, OUR COMMENT PERIOD CLOSES ON MARCH 30TH. SO WE 16 WOULD STILL BE ACCEPTING COMMENTS ON THIS PARTICULAR 17 DOCUMENT PRIOR TO THE SCIENTIFIC REVIEW PANEL MEETING.

DR. FROINES: SO HAS EVERYBODY GOT THAT, THAT THE 18 19 COMMENT PERIOD IS CLOSED ON MARCH 30TH. AND I WOULD URGE 20 PEOPLE TO SEND IN COMMENTS; AND OF COURSE, THE TIME IS 21 SHORT, BUT IF YOU HAVE COMMENTS THAT COME OUT OF THIS 22 MEETING, PLEASE GET COMMENTS IN THAT RELATE TO WHAT WAS --23 WHAT WAS BEING DISCUSSED AT THIS MEETING. 24 I DON'T KNOW WHEN THE TRANSCRIPT WILL BE 25 AVAILABLE. IT IS PROBABLY GOING TO BE LONGER THAN -- THAN 0015 01 YOU NEED. BUT PLEASE KEEP IN MIND THAT THIS -- THIS 02 MEETING IS ALSO COMMENTABLE UPON. 03 WE HAVE ANOTHER MEMBER OF THE BOARD, AIR 04 RESOURCES BOARD, WHO HAS JOINED US WHICH I THINK IS REALLY 05 TERRIFIC. JOE CALHOUN IS SOMEWHERE. HELLO. WELCOME. 06 AND WE'RE -- AND WE'RE DOING VERY WELL. 07 WE'RE AHEAD OF THE GAME SO FAR. IT'S ONLY 9:22. THAT DOESN'T MEAN THAT EVERY SPEAKER CAN NOW JUST ADD A FEW 08 09 MINUTES ON TO THEIR RESPECTIVE TALKS. 10 SO WHY DON'T WE MOVE AHEAD, AND OUR FIRST 11 SPEAKER OF THE MORNING IS GOING TO BE JOE MAUDERLY WHO'S WITH LOVELACE RESPIRATORY RESEARCH INSTITUTE OF -- OF 12 13 NEW MEXICO. JOE IS DIRECTOR OF EXTERNAL AFFAIRS. HE'S 14 15 CHAIR OF THE U.S. E.P.A. CLEAN AIR SCIENCE COMMITTEE OF 16 THE U.S. E.P.A. SCIENCE ADVISORY BOARD, AND HIS RESEARCH 17 FOCUSES PRIMARILY ON PULMONARY TOXICOLOGY. AND HIS NAME 18 IS VERY WELL KNOWN TO ALL OF US IN THE ROOM, AND SO WE 19 WELCOME HIM. 20 DR. MAUDERLY: WELL, THANK YOU. I THINK THIS ONE 21 WILL DO. 22 MY EXPERTISE, TO THE EXTENT THAT THERE IS 23 ANY, LIES IN THE FIELD OF TOXICOLOGY AND A NUMBER OF YEARS 24 SPENT TRYING TO UNDERSTAND THE UTILITY OF ANIMALS, VARIOUS 25 LABORATORY ANIMAL MODELS, FOR PREDICTING 0016 01 PATHOPHYSIOLOGICAL PROCESSES IN HUMANS. 02 STARTING OUT FROM A RESPIRATORY FUNCTION VIEWPOINT AND NOW, A LOT OF THIS EFFORT HAS BEEN FOCUSED 0.3 04 ON RESPONSES TO PARTICLES REGARDING DIESEL EXHAUST AND 05 MANY OTHER THINGS. I'M PUTTING THIS SLIDE UP WHILE I'M WARMING 06 07 UP HERE SO THAT NO ONE WILL MISTAKE MY MESSAGE. THIS IS 08 MY ONLY MESSAGE, AND I'M JUST GOING TO EXPAND ON IT. 09 I APPRECIATE THE OPPORTUNITY TO COME AND TALK 10 TODAY. THE -- THE PURPOSE OF THIS APPARENTLY HAS EVOLVED 11 A BIT. INITIALLY, IT WAS SORT OF TO REVIEW THE BACKGROUND 12 OF THIS INFORMATION. LAST NIGHT, I UNDERSTAND THAT'S NOT THE --13 14 THE CASE, THAT I SHOULD RESPOND FOR SUCCINCTLY TO THE PRESENT DRAFT DOCUMENT AND ASSUME THAT YOU ALL HAVE HEARD 15 16 THE BACKGROUND OF THE ANIMAL STUDIES MANY TIMES, AND I 17 THINK MOST OF YOU HAVE. 18 SO I WON'T DO MUCH MORE THAN PRESENT 19 INFORMATION THAT SUPPORTS MY -- MY PREMISES. THIS 20 INFORMATION IS ALL PUBLISHED IN THE LITERATURE. I'LL SHOW 21 YOU NOTHING THAT IS NOT AT THIS TIME. 22 I SUBMITTED WRITTEN COMMENTS SOME TIME AGO IN

23 THE FORM OF AN EXCERPT FROM A RECENT CHAPTER THAT I WROTE 24 WHICH CONTAINED THIS INFORMATION IN SUMMARY FORM, AND I 25 ASSUME THAT THE S.R.P. HAS RECEIVED THAT WRITTEN SUMMARY. 0017 01 NOW, I THINK THAT I NEED TO MAKE CLEAR 02 WHAT -- WHAT I'M NOT SAYING. IT'S ALWAYS INTERESTING TO 03 HAVE PEOPLE RESPOND TO YOU LATER ON WITH WHAT THEY THOUGHT 04 THEY HEARD YOU SAYING. 05 I'M NOT SAYING THAT I THINK THAT THERE IS NO 06 CANCER RISK FROM DIESEL EXHAUST. THAT SOME LEVEL OF RISK 07 IS CERTAINLY PLAUSIBLE. I DON'T KNOW WHAT THE LEVEL IS. 08 I THINK IT'S CLEAR THAT NONE OF US HAVE THE ABILITY TO 09 ESTIMATE THAT WITH A HIGH LEVEL OF CONFIDENCE. AND IF 10 THAT WAS NOT THE CASE, THEN WE PROBABLY WOULDN'T BE 11 MEETING TODAY. 12 BUT WHAT I AM SAYING IS THAT I THINK THAT 13 WORK THAT A LARGE COMMUNITY OF RESEARCHES IN MANY 14 DIFFERENT COUNTRIES HAVE DONE OVER THE LAST DECADE, HAVE 15 PROGRESSIVELY POINTED TOWARD THE FACT THAT THE RAT LUNG 16 TUMOR RESPONSE TO HIGH CONCENTRATIONS, CHRONIC EXPOSURES 17 TO HIGH CONCENTRATIONS OF DIESEL EXHAUST, SHOULD NOT BE 18 USED TO ESTIMATE HUMAN CANCER RISK AT LOW LEVELS, AND I'LL SHOW YOU THE DATA SUPPORTING FOR THAT. 19 20 NOW, THAT IS NOT JUST MY OPINION. THERE HAVE 21 BEEN A LONG STRING OF MEETINGS THAT MANY OF YOU HAVE 22 PARTICIPATED IN OVER THE LAST SEVERAL YEARS, AND THIS 23 ISSUE HAS COME OUT. TWO WEEKS FROM NOW THERE WILL BE A MEETING IN 24 25 WASHINGTON BY THE INTERNATIONAL LIFE SCIENCES INSTITUTE, 0018 01 WHICH IS FOCUSED SPECIFICALLY ON THE PROBLEM OF WHAT DO WE 02 DO WITH THESE RAT RESPONSES BECAUSE THIS IS NOT JUST A 03 DIESEL ISSUE. THIS IS AN ISSUE THAT HAS TO DO WITH A WIDE 04 RANGE OF PARTICULATE MATERIALS WHERE WE FIND OURSELVES IN 05 A DILEMMA, AND THAT DILEMMA IS THAT IF YOU EXPOSE RATS TO 06 HIGH CONCENTRATIONS LONG ENOUGH TO A WIDE RANGE OF 07 MATERIALS, SOME OF WHICH ARE THOUGHT TO HAVE VERY LOW 08 TOXICITY, IF ANY, LUNG TUMORS WILL OCCUR. AND WE'RE 09 TRYING TO UNDERSTAND WHY THAT IS. 10 NOW, OUR UNDERSTANDING IS PROGRESSING, IT'S 11 EVOLVING. WE THINK WE UNDERSTAND WHAT SOME OF THE 12 PRINCIPAL MECHANISMS MIGHT BE. I KNOW A FEW OF YOU WERE 13 AT THE A.C.G.I.H.M.A.K. MEETING IN SEATTLE LAST FRIDAY, 14 AND YOU HEARD SOME REVIEW OF THE MOST RECENT FINDINGS ON 15 THE MECHANISMS BY WHICH THESE THINGS MIGHT OCCUR. AND I 16 CONCUR WITH THE MATERIAL THAT WAS PRESENTED THERE. WELL, LET ME GO THROUGH THEN. MY POINT IS 17 THAT THE RAT LUNG TUMOR RESPONSE AS WE KNOW IT AT THESE 18 19 HIGH EXPOSURE LEVELS IS NOT A -- A RELIABLE INDICATOR OF 20 HUMAN LUNG CANCER RISK, AND IN FACT, SHOULD NOT BE USED TO 21 DEVELOP QUANTITATIVE RISK ESTIMATES, ESPECIALLY FOR LOW 22 LEVEL ENVIRONMENTAL EXPOSURES. 23 AND I THINK THAT MOST PEOPLE IN THE FIELD 24 FEEL THAT IT'S ALSO NOT AN APPROPRIATE SIGNAL FOR 25 ESTIMATING CANCER RISKS FROM -- FROM THE HIGHER 0019 01 OCCUPATIONAL EXPOSURES THAT MIGHT OCCUR.

02 NOW, I'LL -- I'LL ILLUSTRATE THIS WITH SOME 03 DATA. MY PRINCIPAL POINTS FOR THIS ARE, FIRST OF ALL, IT 04 IS WELL KNOWN THAT AMONG THE SPECIES THAT HAVE BEEN 05 STUDIED SO FAR, THE RAT RESPONSE DOES NOT ACCURATELY 06 PREDICT RESPONSES IN OTHER RODENTS. WE DON'T HAVE 07 INFORMATION FOR NON-RODENT ANIMAL SPECIES FOR LONG-TERM 08 CARCINOGENESIS STUDIES SO WE DON'T KNOW THAT. 09 ALTHOUGH WE DO HAVE INFORMATION FROM OTHER 10 SPECIES ON EXPOSURES LONG ENOUGH THAT WE CAN COMPARE SOME 11 OF THE TISSUE RESPONSES. 12 SECOND, THE EVIDENCE IS OVERWHELMING THAT THE 13 RAT RESPONSE IS NOT DUE TO CHEMICAL CARCINOGENESIS, AND 14 CHEMICAL CARCINOGENESIS CONTINUES, I THINK, TO BE THE MOST 15 PLAUSIBLE CONCERN FOR HUMAN CANCER RISKS. 16 THIRD, THE CELLULAR RESPONSE WHICH SEEMS TO 17 RESULT IN THESE LUNG TUMORS IN THESE SPECIES ARE NOT TYPICAL OF NON-HUMAN PRIMATES, AND THEY ARE NOT TYPICAL 18 19 OF HUMANS, AND THAT'S AN AREA OF RESEARCH THAT'S GOING ON 20 RIGHT NOW TO DO A MORE INTENSIVE COMPARISON OF THAT. 21 WELL, LET ME ILLUSTRATE SOME OF THESE POINTS 22 THEN. 23 IS IT POSSIBLE TO DIM THE LIGHTS A BIT? 24 BEING A BIOLOGIST, I HAVE TO SHOW SOME 25 HISTOPATHOLOGY BECAUSE I BELIEVE THAT'S TRUTH. AND IT'S 0020 01 MUCH EASIER TO PORTRAY NUMBERS BLACK ON WHITE, BUT 02 HISTOPATHOLOGY IS WHAT'S REALLY -- CAN WE HAVE THE LIGHTS 03 DIMMED A BIT? IS THAT POSSIBLE? OH, THANK YOU. 04THIS IS A RAT LUNG AFTER EXPOSURE FOR 05 18 MONTHS, AND THIS IS THE POINT AT WHICH THE TUMOR 06 RESPONSE IS JUST BEGINNING TO BE REFLECTED IN THESE 07 ANIMALS. IT IS A LATE OCCURRING RESPONSE AND ONLY AFTER 08 SIGNIFICANT NONCANCER DISEASE IS ALREADY INDUCED. 09 THIS IS EXPOSURE AFTER EXPOSURE AT 10 7,000 MICROGRAMS PER CUBIC METER, AND IT'S FROM THE STUDY -- THE MAUDERLY ET AL. STUDY THAT'S BEEN QUOTED MOST 11 12 FREQUENTLY IN THESE DOCUMENTS. 13 AND WHAT WE SEE IS, ALTHOUGH THERE ARE AREAS 14 OF NORMAL LUNG TISSUE AND AIR SACS, THERE ARE THESE FOCI 15 IN WHICH SOMETHING IS CLEARLY GOING WRONG. AND WHAT YOU CAN SEE ARE BOTH COLLECTIONS OF SOOT-LADEN CELLS WHICH ARE 16 17 THE DARK COLOR, BUT THE THICKENING OF THOSE STRUCTURES IS 18 CELL PROLIFERATION. 19 BY THAT, I MEAN INCREASED CELL DIVISION. THE 20 LINING CELLS OF THESE AIRSPACES AND TERMINAL AIRWAYS ARE 21 DIVIDING AT AN ABNORMALLY HIGH RATE AND STACKING UP, IF 22 YOU WILL. AND SO YOU HAVE MUCH THICKER TISSUES. AND IT IS THIS EPITHELIAL PROLIFERATIVE 23 24 RESPONSE THAT SEEMS TO BE KEY IN THE ADVENT OF TUMORS AND 25 ALSO THE INTERSPECIES DIFFERENCES. 0021 01 THIS IS THE LUNG FROM A MOUSE EXPOSED 02 IDENTICALLY, IN THE SAME STUDY, TO THE SAME CONCENTRATION, 03 AT THE SAME TIME. AND IN FACT, MEASUREMENTS HAVE SHOWN 04 THAT THE MICE HAVE JUST AS MUCH MATERIAL IN THE LUNG AS 05 THE RATS, AND THEY DO RESPOND. THERE IS NONCANCER LUNG 06 DISEASE IN THE MICE, ALBEIT NOT NEARLY AS INTENSE AS IN

07 THE RATS. 80 BUT THERE IS NOT A TUMOR RESPONSE EXCEPT IN 09 STRAINS THAT ARE GENETICALLY -- ESPECIALLY SENSITIVE TO 10 TUMOR INDUCTION, AND WE DON'T SEE THE SAME KIND OF FOCAL 11 CELL PROLIFERATION GOING ON THAT WE DO IN THE RATS. 12 NOW, ONE CAN TRANSFORM, BECAUSE THIS MAKES US 13 FEEL MORE COMFORTABLE, THE HISTOLOGICAL FINDINGS INTO 14 DATA, AND THIS IS DONE BY VARIOUS CELL ABLING TECHNIQUES 15 TO ATTEMPT TO DETERMINE THE PORTION OF CELLS THAT ARE 16 DIVIDING AT THE TIME. AND AN INCREASE MEANS AN INCREASE 17 DIVISION RATE. 18 WE HAVE RATS AND MICE. WE HAVE CONTROL AND 19 HIGH-LEVEL EXPOSE, AS I JUST SHOWED YOU. THIS IS AT THE 20 SAME TIME PERIOD AS THE SLIDES THAT I SHOWED YOU. AND WE 21 HAVE TWO LOCATIONS, TERMINAL BRONCHIOLES, THE FINAL 22 AIRWAYS BEFORE THE AIR SACS BEGIN, AND IN THE AIR SACS OR 23 ALVEOLI THEMSELVES. 24 AND YOU CAN SEE THAT IN THE EXPOSED RATS WE 25 HAVE QUITE A RESPONSE IN BOTH LOCATIONS, AND WE HAVE MUCH 0022 01 LESS RESPONSE IN THE MICE. PERHAPS A MARGINAL RESPONSE 02 HERE IN THE ALVEOLUS, AND NEITHER OF THESE WOULD BE A 03 SIGNIFICANT RESPONSE. AND SO THAT JUST CONFIRMS IN NUMBERS WHAT WE 04 05 SEE VISUALLY, AND THAT IS THERE IS QUITE A SPECIES 06 DIFFERENCE IN THE CELL RESPONSE TO PROVOCATION WITH THESE 07 EXPOSURES. NOW, THE -- THE MOST COGENT THEORIES ABOUT 08 09 THE GENERATION OF THESE TUMORS HAS TO DO WITH THE RATS' 10 OXIDANT DEFENSES, AND THE FACT THAT THESE PARTICLES IN CELLULAR RESPONSES TO THEM CREATE CELLULAR DAMAGE FROM 11 12 OXYGEN RADICALS OF CELLULAR CYTOLOGICAL DAMAGE, AND IT CAN 13 ALSO BE DAMAGE TO D.N.A. 14 WE HAVE SOME DATA FROM THAT STUDY THAT I'VE 15 JUST BEEN DISCUSSING THAT GOES ALONG WITH THIS. NOW, THIS IS A BIT COMPLICATED. WE HAVE A 16 17 RATIO HERE OF THE MOUSE RESPONSE OVER THE RAT RESPONSE. 18 SO A RATIO OF 1 MEANS THEY RESPONDED IDENTICALLY --19 NUMERICALLY IDENTICALLY, AND THIS IS THE 3500 MICROGRAM 20 PER CUBIC METER EXPOSURE LEVEL IN THAT STUDY IN THE 21 7,000. 22 NOW, WE CAN SEE THAT IF WE LOOK AT THE AMOUNT 23 OF SOOT IN THE LUNG, AND THIS IS AFTER 18 MONTHS OF 24 EXPOSURE, AGAIN, THE SAME TIME POINT AS I'VE BEEN SHOWING 25 YOU, WE SEE THAT THE MICE ACTUALLY HAVE, ON A LUNG WEIGHT 0023 01 BASIS, A GREATER AMOUNT MATERIAL IN THE LUNG THAN THE 02 RAT. OF COURSE, THE RAT LUNGS ARE RESPONDING MORE 03 04 AND THEY WEIGH MORE. BUT BE THAT AS IT MAY, THE MICE DO 05 NOT RESPOND LESS BECAUSE THERE IS LESS MATERIAL 06 ACCUMULATED. THEY ARE OVERLOADED, IF YOU WILL, HAVE 07 CLEARANCE DEFICITS AND ACCUMULATION OF MATERIAL. 08 BUT IF WE LOOK AT THE NEUTROPHILS, A CELL 09 THAT INDICATES AN INFLAMMATORY RESPONSE, WE SEE THAT THE 10 INFLAMMATORY RESPONSE OF THE MICE IS ONLY ONE-THIRD THAT 11 OF THE RATS.

IF WE LOOK DOWN AT THE GLUTATHIONE 12 13 PARAMETERS, AND GLUTATHIONE IS ONE OF THE KEY ANTI-OXIDANT 14 DEFENSES, WE FIND THE MICE MUCH BETTER EQUIPPED TO HANDLE 15 THIS. THE AMOUNT GLUTATHIONE REDUCTASE AND REDUCED 16 GLUTATHIONE IN THE LUNGS OF THE MICE IS MUCH GREATER THAN 17 IN THE LUNGS OF THE RATS. AND SO THAT'S CONSISTENT WITH 18 THE OXIDANT PATHWAY OF BEING SOME PART OF THIS PICTURE. 19 THIS IS THE TUMOR RESPONSE FROM THAT STUDY, 20 AT THE END OF THE STUDY, AND MANY OF YOU ARE FAMILIAR 21 THESE DATA. THE NUMBERS IN PARENTHESES ARE THE MEDIAN 22 LIFE SPAN IN MONTHS, AND I JUST PORTRAY THAT TO SHOW THAT 23 A SHORTENED LIFE SPAN WAS NOT RESPONSIBLE FOR THE LACK OF 24 RESPONSE IN THE MICE. THERE WAS NOT A SHORTENED LIFE SPAN 25 AT THE HIGH LEVEL COMPARED TO THE CONTROLS. 0024 01 THERE IS A RESPONSE, A TUMOR RESPONSE IN THE 02 RATS, AND THERE'S NOT A TUMOR RESPONSE IN THE MICE. 03 NOW, THAT'S WELL KNOWN. THAT'S BEEN REPEATED 04 ON FOUR CONTINENTS AND SEVERAL LABORATORIES REPEATEDLY IN 05 DIFFERENT STUDIES. THAT SPECIES DIFFERENCE IS WELL KNOWN. 06 AND IT'S ALSO WELL KNOWN THAT IN THE SEVERAL STUDIES THAT 07 HAVE BEEN DONE IN SYRIAN HAMSTERS, NO TUMORS OCCUR. 08 SOME INCREASES IN TUMORS HAVE BEEN OBSERVED 09 IN SOME GROUPS OF STRAIN A OR SINCAR MICE THAT HAVE HIGH 10 BACKGROUND TUMOR LEVELS AND ARE ESPECIALLY SENSITIVE TO 11 TUMOR INDUCTION, BUT THOSE DATA ARE NOT CONSISTENT AMONG 12 THEMSELVES. BUT AMONG WHAT ONE MIGHT CALL A MORE TYPICAL 13 LABORATORY MOUSE THERE IS NO CONSISTENT RESPONSE. 14 SO -- SO THAT HAS TO DO WITH THE FIRST POINT, 15 AND THAT IS THE RAT RESPONSE DOES NOT PREDICT THE RESPONSE 16 IN OTHER RODENTS. 17 THE RAT LUNG EPITHELIUM IS DIFFERENT FROM 18 THAT OF OTHER RODENTS, AND WE BELIEVE -- AND THERE'S 19 GROWING EVIDENCE THAT IT'S DIFFERENT FROM THAT IN HUMANS 20 IN TERMS OF ITS RESPONSE TO HEAVY PARTICLE LOADING. THIS IS JUST ONE INDICATOR. 21 22 THIS LARGE LESION HAS BEEN ARGUED AS TO 23 WHETHER IT MIGHT BE A BENIGN TUMOR OR NOT. IT'S A 24 SQUAMOUS CYST. IT'S A LARGE POCKET OF KERATIN THAT'S BEEN 25 SECRETED BY CELLS. AND REGARDLESS OF WHETHER OR NOT SOME 0025 01 GROUPS INITIALLY CALLED THIS A TUMOR, ALL GROUPS AROUND THE WORLD AGREE THAT IT HAS NO PARALLEL IN HUMANS, SHOULD 02 03 NOT BE USED TO COUNT, IF ONE IS GOING TO CALCULATE HUMAN 04 RISK. 0.5 AND THERE'S ONLY BEEN ONE SUCH LESION THAT'S 06 BEEN IDENTIFIED IN ANOTHER RODENT. WE SAW ONE LESION IN ONE MOUSE OUT OF SEVERAL HUNDRED THAT APPEARED TO HAVE 07 THIS CHARACTERISTIC, ALTHOUGH IT DIDN'T LOOK LIKE THIS 08 09 SORT OF THING. AGAIN, EVIDENCE THAT IS RAT IS QUITE 10 DIFFERENT. 11 NOW, A NUMBER OF YEARS AGO, IT BEGAN TO BE 12 APPARENT THAT THIS SAME DIFFERENCE AND THIS SAME RESPONSE 13 APPEARED TO BE OCCURRING IN RESPONSE TO SEVERAL 14 PARTICLES. 15 THIS IS NOT A COMPLETE LIST, BUT THIS IS A 16 TABLE FROM A PAPER THAT WAS PUBLISHED LAST FALL COMPARING

17 DIFFERENT ANIMALS' RESPONSES TO INHALED PARTICLES. AND IT 18 JUST SHOWS SEVERAL MATERIALS FOR WHICH THERE IS A POSITIVE 19 TUMOR RESPONSE IN RATS, A NEGATIVE RESPONSE IN MICE, AND A 20 NEGATIVE RESPONSE IN HAMSTERS FOR THOSE MATERIALS THAT 21 HAVE BEEN STUDIED, AND SOME OF THEM HAVE NOT BEEN 22 STUDIED. 23 THAT CAUSED PEOPLE IN THIS FIELD TO BEGIN TO 24 THINK THAT PERHAPS THE ORGANIC FRACTION OF SOOT WAS NOT A 25 PLAYER IN THIS RESPONSE AS WE HAD IMAGINED THAT IT WAS. 0026 01 STUDIES WERE DONE IN TWO LABORATORIES, OURS 02 AND THE FRAUNHOFER (PHONETIC) LABORATORY IN GERMANY, TO 03 COMPARE THE RESPONSE TO EQUAL EXPOSURES TO THE PARTICULATE 04 PHASE OF DIESEL EXHAUST AND TO CARBON BLACK HAVING LITTLE 05 ORGANIC ACTIVITY AND VIRTUALLY NO MUTAGENIC ACTIVITY. 06 I'M FAST FORWARDING TO THE RESULTS. WE HAVE 07 THE LUNG BURDENS; THAT IS THE MILLIGRAMS OF PARTICLES IN 80 THE LUNG, HIGH AND LOW DIESEL, AND THE HIGH DIESEL IN 09 CARBON BLACK WHERE IT'S SIX-AND-A-HALF MILLIGRAMS PER 10 CUBIC METER AIR CONCENTRATION, BELOW AT TWO-AND-A-HALF. BUT IF YOU LOOK AT THE TUMOR RESPONSE, WE SEE 11 THAT ALTHOUGH THERE WAS NEARLY TWICE AS MUCH DIESEL SOOT 12 13 RETAINED IN THE LUNG AS CARBON BLACK IN TERMS OF 14 MILLIGRAMS, THE TUMOR RESPONSES WERE VERY MUCH THE SAME. 15 IN FACT, IF YOU THINK THE AMOUNT OF MATERIAL IN THE LUNG AT THE END OF EXPOSURE IS AN APPROPRIATE DOSE 16 17 METRIC, THAT MEANS THE CARCINOGENICITY OF CARBON BLACK WAS 18 QUITE HIGHER THAN DIESEL EXHAUST, BUT CERTAINLY NO 19 INDICATION THAT THE ORGANIC FRACTION OF DIESEL EXHAUST WAS 20 A PLAYER. 21 THIS IS A SUMMARY OF DATA FROM THE OTHER 22 STUDY. IT'S A DIFFERENT PRESENTATION. IT LOOKS AT 23 CUMULATIVE EXPOSURES BECAUSE THE EXPOSURE PATTERNS VARIED 24 FOR THESE MATERIALS, ALTHOUGH THEY WERE ALL CHRONIC 25 BIOASSAYS, AND THE FRAUNHOFER GROUP HAD FOUR DIFFERENT 0027 01 TREATMENT GROUPS WITH DIESEL SOOT. THE LOWEST GROUP DID NOT SHOW A RESPONSE, AND 02 03 THERE WAS A SLOPE, BUT TITANIUM DIOXIDE AND A CARBON BLACK 04 WERE ON THE SAME SLOPE, INDICATING THEY WERE ON THE SAME 05 DOSE-RESPONSE OR TOXICITY SLOPE. AGAIN, CONFIRMING THAT 06 THE ORGANIC FRACTION DID NOT APPEAR TO BE PLAYING ANY ROLE 07 IN THIS RESPONSE. AND THAT STILL, IN MY VIEW, IS THE MOST 08 09 PLAUSIBLE REASON FOR CONCERN FOR HUMAN CANCER RISKS. 10 NOW, WE DON'T REALLY CARE ABOUT THESE OTHER 11 RODENTS. WE WANT TO KNOW WHAT THE IMPLICATIONS ARE FOR 12 HUMANS, AND WE'RE WORKING ON THAT. 13 BUT ALONG THE WAY, WE HAVE COMPARED THE RAT 14 LUNG RESPONSE TO THE LUNG RESPONSE OF MONKEYS. THIS IS 15 FROM A STUDY WHICH WAS PERFORMED IN ANOTHER LABORATORY 16 SEVERAL YEARS AGO IN WHICH A CYNOMOLGUS MONKEYS AND RATS WERE EXPOSED IDENTICALLY FOR TWO YEARS. 17 18 NOW, THAT WAS NOT LONG ENOUGH TO BE A TUMOR 19 BIOASSAY IN THE MONKEYS, AND THE EXPOSURE CONCENTRATIONS 20 WERE LOW ENOUGH THAT IT WAS JUST AT THE MARGIN OF THE 21 RESPONSE FOR THE RATS. BUT IT WAS SUFFICIENT TO COMPARE

22 THE TISSUE RESPONSES. AND THE AIR CONCENTRATIONS WERE 23 2 MILLIGRAMS OR 2,000 MICROGRAMS PER CUBIC METER. 24 THE STUDY ALSO INCLUDED COAL DUST, AND MANY 25 OF YOU ARE FAMILIAR WITH THAT STUDY, AND I'LL JUST GO 0028 01 THROUGH SOME HISTOPATHOLOGY SLIDES BECAUSE AGAIN, 02 REGARDLESS OF THE NUMBERS ONE MIGHT GENERATE, IT IS EASY 03 FOR YOU TO SEE THAT THERE IS QUITE A DIFFERENCE. 04 THIS IS A LARGE AIRWAY CUT IN CROSS SECTION, 05 AND HERE IS DIESEL SOOT COLLECTED. THIS IS A RAT. MOST 06 OF THE MATERIAL IS IN ALVEOLI AND MACROPHAGES, AND YOU CAN 07 SEE THE THICKENING OF THESE CELLS. 08 IN A LARGE AIRWAY OF A MONKEY, THINGS ARE 09 QUITE DIFFERENT. OF COURSE, THE LARGE AIRWAYS HAVE MORE 10 TISSUE IN THERE WALLS. THE MATERIAL TENDS TO COLLECT IN THE INTERSTITIAL LOCATION OR WITHIN THE TISSUE OF THESE 11 AIRWAYS, AND THERE'S VERY LITTLE ALVEOLAR RESPONSE. 12 13 IF WE GO TO A SMALLER AIRWAY, THIS WOULD BE 14 AN ALVEOLAR DUCT IN THE RAT. AGAIN, WE HAVE THIS TISSUE 15 RESPONSE OUT AN ALVEOLI, AND THE MATERIAL IS PREDOMINANTLY 16 COLLECTED IN THE ALVEOLI. 17 IN THE MONKEY, AGAIN, AT THIS TERMINAL 18 BRONCHIOLAR ALVEOLAR DUCT JUNCTION, WE SEE THE MATERIAL 19 COLLECTED CLOSE TO THE WALLS AND VIRTUALLY NO ALVEOLAR 20 RESPONSE. 21 IF WE LOOK DOWN IN THE AIR SACS, AGAIN, THE 22 RAT RESPONSE, AND AGAIN THESE DATA ARE PUBLISHED AND 23 THESE -- THESE ARE TYPICAL RESPONSES, AND THE MONKEY 24 RESPONSE OR LACK OF RESPONSE. 25 AGAIN, IF YOU DETERMINE THE AMOUNT OF 0029 01 MATERIAL IN THE LUNG, THEY WERE VERY SIMILAR. BUT THE 02 RESPONSES ARE CLEARLY DIFFERENT. NOW, WE DON'T KNOW THE 03 REASON FOR THIS. THERE ARE PEOPLE WORKING ON THAT. WE 04 HAVE SOME IDEAS, BUT THE BOTTOM LINE IS THAT RESPONSES 05 BETWEEN THESE TWO SPECIES ARE MARKEDLY DIFFERENT, AND IT IS THE -- THE DIVISION OF THESE EPITHELIAL OR LINING CELLS 06 07 THAT GIVES RISE TO THE TUMORS. 08 AND SO THAT SUGGESTS TO US THAT AS IN HUMANS 09 FROM ANECDOTAL DATA FROM PATHOLOGISTS THAT DEAL WITH 10 HEAVILY DUSTED HUMAN LUNGS, THEY DON'T SEE THE KIND OF 11 RESPONSE THAT OCCURS IN THE RAT, AND IN THE MONKEY IT 12 CERTAINLY DOESN'T OCCUR. 13 NOW, I WOULD -- I WOULD LEAVE WITH -- WITH 14 THIS. LET ME SAY THOUGH BEFORE I GO ON TO THIS THAT 15 WHAT'S HAPPENING NOW IS THAT IT ARE A PANEL OF 16 PATHOLOGISTS, AN INTERNATIONAL PANEL OF PATHOLOGISTS. THERE ARE THREE DIFFERENT COUNTRIES INVOLVED AT THIS TIME 17 18 AND SEVERAL PATHOLOGISTS IN DIFFERENT INSTITUTIONS IN THIS 19 COUNTRY THAT ARE METHODICALLY REVIEWING LUNG MATERIALS 20 FROM BOTH ANIMAL STUDIES AND FROM COLLECTIONS OF HUMAN 21 LUNG MATERIALS TO COMPARE TYPICAL RESPONSES. 22 NOW, OF COURSE, THE EXPOSURES OF HUMANS ARE 23 NOT KNOWN PRECISELY ALTHOUGH THESE ARE FROM OCCUPATIONAL 24 GROUPS WHERE THE PREDOMINANT EXPOSURES ARE KNOWN. AND THE 25 PURPOSE IS NOT TO BE QUANTITATIVE, BUT TO DEVELOP AN 0030

01 UNDERSTANDING AND AGREEMENT AS TO WHETHER OR NOT THE KINDS 02 OF THINGS WE SEE IN RATS AND IN OTHER ANIMALS ARE TYPICAL 03 OF HUMAN LUNGS. 04 WELL, THE FINAL THING I'LL SHOW IS THIS. 05 NOW, THESE ARE THE AGGREGATE DATA FROM EIGHT DIFFERENT 06 STUDIES IN WHICH THERE WERE GROUPS OF RATS THAT WERE LARGE 07 ENOUGH, EXPOSURES LONG ENOUGH, AND SUFFICIENTLY 08 WELL-DOCUMENTED TO BE CONSIDERED ADEQUATE CANCER 09 BIOASSAYS. AND AS MANY OF YOU KNOW, THERE WERE MANY OTHER 10 STUDIES WHICH WERE NOT CONSIDERED ADEQUATE CANCER 11 BIOASSAYS. 12 AND MY POINT IS PRINCIPALLY THIS. THE 13 ABSCISSA IS A WEEKLY CONCENTRATION TIME FACTOR; THAT IS, 14 THE NUMBERS OF HOURS PER DAY THAT THESE ANIMALS WERE 15 EXPOSED, VARIED FROM STUDY TO STUDY. BUT THE SEQUENCE 16 REPEATED ON A WEEKLY BASIS AND THE DATA FROM SEVERAL KINDS 17 OF DATA FIT NICELY WHEN NORMALIZED ON A WEEKLY C TIMES T 18 PRODUCT FOR THE AIR CONCENTRATION DIESEL SOOT. 19 AND THEN THIS IS THE NET TUMOR RESPONSE, THAT 20 IS, THE DASHED LINE INDICATES THE TUMOR RESPONSE OF THE 21 CONTROL GROUP FOR EACH RESPECTIVE STUDY. SO THIS IS THE 22 NET INCREASE IN EACH RESPECTIVE STUDY. THE FILLED CIRCLES 23 ARE GROUPS IN WHICH THERE WAS A STATISTICALLY SIGNIFICANT INCREASE IN LUNG TUMOR INCIDENTS, AND OF COURSE, THE OPEN 24 25 CIRCLES ARE GROUPS IN WHICH THERE WAS NOT. BUT ALL OF THE 0031 01 CIRCLES ARE EXPOSED, LIFETIME EXPOSED GROUPS. WELL, NOT 02 LIFETIME. TWO YEARS OR LONGER. 03 NOW, YOU CAN SEE SEVERAL THINGS FROM THIS. 04 FIRST OF ALL, THERE IS A ZONE WHICH EXTENDS BEYOND 100, A FACTOR OF 100, IN WHICH THERE WAS NO RESPONSE OR NO HINT 05 06 OF A RESPONSE. I'LL COME BACK TO THAT. 07 THEN THERE IS AN AREA OF EXPOSURE IN WHICH 08 THERE ARE BOTH POSITIVE AND NEGATIVE RESULTS. AND THEN 09 THERE'S AN AREA WHERE ALL THE RESULTS ARE POSITIVE. AND 10 YOUR VIEW OF THE SLOPE HERE DEPENDS ON HOW MUCH WEIGHT WE 11 PUT ON THE RESULTS OF THE IWAI STUDY, WHICH HAVE ALWAYS 12 TENDED TO BE SORT OF AN OUTLYER. BUT ASSUMING THAT WE 13 INCLUDE THAT IN, IT'S VERY EASY TO DRAW A DOSE-RESPONSE 14 SLOPE. 15 THE POINT HERE IS THAT THE AIR RESOURCES 16 BOARD CONTINUES TO SPECULATE ABOUT WHETHER OR NOT THERE 17 MIGHT BE AN ORGANIC AFFECT IN THE RATS AT LOW LEVELS. AND 18 THE STUDIES MIGHT NOT BE POWERFUL ENOUGH TO IDENTIFY IT. 19 WELL, IT'S TRUE THAT THESE STUDIES AT THE 20 MOST INVOLVE 200 ANIMALS PER GROUP, AND THAT IS NOT A 21 SUFFICIENTLY ROBUST STUDY TO -- TO DETERMINE THE RISK AT 22 LOW LEVEL. 23 BUT ONE DOESN'T NEED STATISTICAL FITS. I 24 COULD EASILY PUT A STRAIGHT LINE THROUGH HERE AS MANY 25 PEOPLE HAVE, OR I COULD MAKE IT CURVE SLIGHTLY, BUT THESE 0032 01 DATA SHOW CLEARLY THAT IF ONE LOOKS NOT JUST AT ONE'S 02 STUDY, BUT THE AGGREGATE OF THE DATA THAT WE HAVE 03 AVAILABLE, THERE ARE A LARGE NUMBER OF GROUPS, 10 OF THEM 04 IN FACT, DOWN IN THIS RANGE, IN WHICH THERE IS NO 05 SUGGESTION OF A SLOPE.

06 IF THERE WERE A VISUAL SLOPE HERE, AND IT WAS 07 SIMPLY NOT STATISTICALLY SIGNIFICANT, WE MIGHT FEEL 80 DIFFERENTLY ABOUT IT. BUT IN FACT, THERE IS NO SUGGESTION OF A 09 10 RESPONSE DOWN IN THIS REGION, AND THIS AIR CONCENTRATION, 11 IF NORMALIZED OVER A 168-HOUR WEEK, WOULD BE 12 595 MICROGRAMS PER CUBIC METER, WHICH IS OUITE HIGH 13 RELEVANT TO ENVIRONMENTAL EXPOSURES. BECAUSE OF THIS AND BECAUSE OF -- OF THE 14 15 KNOWN FACT THAT THERE HAS TO BE A PROLIFERATIVE NONCANCER 16 LUNG DISEASE RESPONSE BEFORE THE TUMORS APPEAR, IT IS BOTH 17 PLAUSIBLE AND APPARENT THAT THERE IS A THRESHOLD IN THIS 18 EFFECT. 19 AND SO IF WE'RE -- IF WE'RE ESTIMATING LOW 20 LEVEL EFFECTS, WE'RE ESTIMATING IT FROM THIS LEVER OUT 21 HERE AT THESE VERY HIGH EXPOSURE LEVELS, AND IT IS MY 22 POSITION, AND I THINK THAT OF THE COMMUNITY OF PEOPLE DOING THESE STUDIES, IS THAT THAT IS NOT AN APPROPRIATE 23 24 RESPONSE. THE EVIDENCE IS OVERWHELMING AT THIS POINT THAT 25 IT SHOULD NOT BE USED FOR HUMAN RISK. 0033 01 NOW, WHY WOULD I BOTHER TO SAY THIS? I MEAN, THE CURRENT DOCUMENT DOES NOT USE THE ANIMAL DATA AS PART 02 03 OF THE FINAL RISK ASSESSMENT. BUT THE POINT IS THAT IT 04 CONTINUES TO CALCULATE RISKS FROM THE RAT DATA AND TO 05 PORTRAY THEM IN TABULAR AND FIGURE FORM. EITHER IT'S 06 USEFUL OR IT'S NOT. AND IF IT'S NOT, THEN WE SHOULDN'T 07 EVEN BE CALCULATING THOSE RISKS FROM THE DATA, AND 08 CERTAINLY NOT USING THEM. ALTHOUGH I THINK THAT 09 CALIFORNIA HAS BEEN VERY RESPONSIVE AND PERCEPTIVE IN NOT 10 RELYING ON THE ANIMAL DATA. 11 SO I'LL QUIT WITH THAT. 12 DR. FROINES: THANK YOU. 13 JUST AS EVERY PANEL OF THIS KIND NEEDS A --14 JUST A PATHOLOGIST TO DISCUSS THE DATA, AS A TRAINED CHEMIST, OF COURSE, I FEEL THAT EVERY PANEL HAS TO HAVE A 15 CHEMIST, AT LEAST ONE, AND -- IF NOT MORE. 16 17 OUR NEXT SPEAKER IS BARBARA ZIELINSKA. SHE'S 18 AT THE DESERT RESEARCH INSTITUTE IN RENO, NEVADA, WHICH IS 19 ASSOCIATED WITH THE UNIVERSITY OF NEVADA. 20 SHE IS AN ENVIRONMENT ANALYTICAL CHEMIST AND 21 HAS BEEN LOOKING AT COMPOSITION OF DIESEL EXHAUST AS A 22 RESEARCH MATTER FOR SOME YEARS, AND SO SHE'S GOING TO BE 23 TALKING, I THINK, ABOUT CHEMICAL COMPOSITION OF DIESEL 24 EXHAUSTS AND OTHER RELATED WORK. SO BARBARA ZIELINSKA. 25 DR. ZIELINSKA: GOOD MORNING. CAN YOU HEAR ME 0034 01 GOOD? NO? OH, I'M SORRY. NOW, THAT WILL BE BETTER. 02 OKAY. HOW I CAN SWITCH THIS ON? OKAY. I'M SORRY. I INJURED MY KNEE SOME 03 04 TIME AGO, AND I HAVE TO USE THIS CRUTCH. SO IT IS A 05 LITTLE BIT UNCOMFORTABLE TO ME. 06 WELL, ACTUALLY THE TITLE OF MY TALK IS THE 07 SUBJECT I WOULD LIKE TO TALK ABOUT. IT'S THE DEVELOPMENT 08 OF THE DIESEL AND GASOLINE VEHICLE CHEMICAL EMISSION 09 PROFILES FOR USE IN THE APPORTIONMENT OF ATMOSPHERIC 10 CARBONACEOUS AEROSOL. AND THIS IS WHAT I'M GOING TO TALK

11 ABOUT. 12 BEFORE I STOP TALKING, I WOULD LIKE TO 13 ACKNOWLEDGE PEOPLE WHO WERE VERY MUCH INVOLVED IN THIS 14 DIFFERENT -- DIFFERENT ASPECTS OF THE STUDY. AND FROM THE 15 DESERT INSTITUTE AND MY COLLEAGUES, DR. ERIC FUJITA AND 16 DR. JOHN SAGEBIEL AND MY GRADUATE STUDENT, TERRY HAYES. 17 AND THE OTHER CONTRIBUTORS, THEY ARE LIKE 18 GENERAL MOTORS, R & D CENTER; S.H. CADLE AND P. MULAWA; 19 COLORADO SCHOOL OF MINES AND COLORADO STATE UNIVERSITY; 20 DOUG LAWSON WHO WAS OUR PROJECT MANAGER FROM THAT STUDY. 21 OUR OBJECTIVES IN THIS TYPE OF STUDIES, IT'S 22 REALLY TO DEVELOP A DISTINCT CHEMICAL EMISSION PROFILES 23 FOR VARIOUS CATEGORIES OF MOTOR VEHICLE, SUCH AS 24 HEAVY-DUTY DIESEL, LIGHT-DUTY GASOLINE, LOW AND HIGH 25 PARTICULATE EMITTING VEHICLE FOR USE IN THE APPORTIONMENT 0035 01 OF ATMOSPHERIC CARBONACEOUS AEROSOL BY CHEMICAL MASS 02 BALANCE. 03 WE ALL PROBABLY KNOW WHAT IS CHEMICAL MASS 04 BALANCE. THIS IS ONE OF THE MOST -- MOST WIDELY USED 05 RECEPTOR MODELS FOR A PORTION OF AMBIENT PARTICLES TO THE 06 SOURCES. 07 THE CHEMICAL MASS BALANCE COMPARE THE 08 CHEMICAL COMPOSITION OF THE SOURCE KNOWN AS THE SOURCE 09 PROFILES, WITH THOSE CHEMICAL COMPOSITIONS OF THE 10 RECEPTORS OF AMBIENT AIR. 11 AND HISTORICALLY, THE CHEMICAL MASS BALANCE 12 USED MOSTLY INORGANIC SPECIES WHICH ARE ELEMENTS, IONS, 13 TOTAL ORGANIC CARBON, TOTAL ELEMENTAL CARBON, THIS TYPE OF 14 SPECIES. 15 HOWEVER, TO DISTINGUISH BETWEEN DIFFERENT 16 KIND OF SOURCES MIGHT BE A LITTLE BIT DIFFICULT JUST BASED 17 ON THIS CONSTITUENTS, CHEMICAL CONSTITUENTS, AND THIS IS 18 ESPECIALLY THE KIND OF CASE FOR MOTOR VEHICLE, TO 19 DISTINGUISH BETWEEN GASOLINE AND DIESEL BASED ON INORGANIC 20 SPECIES ARE VIRTUALLY IMPOSSIBLE BECAUSE THEY ARE SO 21 SIMILAR. 22 WE SAY THAT THE PROFILES ARE COLLINEAR AND WE 23 CAN NOT REALLY DISTINGUISH BETWEEN THAT. THAT'S WHY WE 24 TALKED ABOUT NEW CONSTITUENTS OF CHEMICAL MASS BALANCE OF 25 THE PROFILES, AND THE FIRST THINGS WHICH COMES INTO MIND 0036 01 ARE ORGANIC COMPOUNDS BECAUSE ALL COMBUSTION SOURCES EMIT 02 THOUSANDS AND -- AND HUNDREDS OF THOUSANDS OF ORGANIC 03 COMPOUNDS, AND THERE CERTAINLY HAS TO BE SOME UNIQUE 04 COMPOUNDS WHICH COULD BE USED IN THE CHEMICAL CONSTRUCTION 05 OF THE PROFILES. 06 SO OUR GOAL WAS REALLY TO DEVELOP THE 07 DISTINCT PROFILE TO USING BOTH TRADITIONAL SPECIES, WHICH 08 ARE INORGANIC MOSTLY SPECIES AND ORGANIC COMPOUNDS. 09 WELL, THE QUESTION ALWAYS IS HOW 10 REPRESENTATIVE THE PROFILES ARE. COULD WE EXTRAPOLATE 11 FROM THE SEVERAL DIESEL TO THE WHOLE POPULATION OF DIESEL, 12 FOR SAMPLE, OR FROM SEVERAL CARS TO THE WHOLE POPULATION 13 OF GASOLINE CARS. 14 THIS IS SOME DATA COMPILED CONSERVING THE 15 TRADITIONAL SPECIES ORGANIC AND INORGANIC -- I MEAN, IS

16 MOSTLY ORGANIC AND ELEMENTAL CARBON IN DIFFERENT STUDY 17 WHICH WERE CARRIED OUT AROUND THE COUNTRY. 18 THE FIRST STUDY WERE CARS IN DENVER, 19 COLORADO, WHICH I'M GOING TO TALK A LITTLE MORE LATER. 20 IT'S A STUDY WHICH TESTS AT 15 DIFFERENT DIESEL CARS, AND 21 IT WAS PART OF N.F.R.A.Q.S. STUDY. 22 AND IF YOU LOOK AT THE SPLIT BETWEEN ORGANIC 23 ELEMENT AND CARBON, IT'S VERY DIFFERENT IN DIFFERENT 24 GROUPS OF STUDY. THE ELEMENTAL CARBON COMPOSITION RANGED 25 FROM 75 IN DENVER TO APPROXIMATELY 22 IN PHOENIX. AND THE 0037 01 SAME FOR ORGANIC CARBON IS FROM LIKE 19 IN N.F.R.A.Q.S. 02 THE LOWEST TO 60 IN PHOENIX. 03 NOW, WHAT DOES THE DIFFERENCE MEAN? IS IT A 04 DIFFERENCE HOW THIS STUDY WERE DONE? WELL CERTAINLY. 05 THIS STUDY IN DENVER, COLORADO WEREUSING DYNAMOMETER, AND SOME KIND OF A CYCLE. THEN THE STUDY IN LOS ANGELES AND 06 07 BAKERSFIELD, THEY ALSO USE A DYNAMOMETER. THERE ARE A FEW 08 CARS HERE, BUT THE NUMBERS ARE DIFFERENT. 09 SO IS THERE A DIFFERENCE IN THE AREA? MAYBE 10 THERE IS A DIFFERENT GASOLINE INVOLVED -- I MEAN, A 11 DIFFERENT DIESEL FUEL. THEN THE -- THE STUDY IN PHOENIX DONE BY 12 13 D.R.I., THEY WERE -- SAMPLING WAS DONE IN THE INSPECTION 14 AND MAINTENANCE STATION. IT MEANS IT WAS A MANY VEHICLE 15 COMBINED TOGETHER IN DIFFERENT SAMPLES. 16 IT'S AGAIN A DIFFERENT -- DIFFERENT TESTING 17 PROCEDURE. IT'S NOT THE FULL CYCLE. 18 SO THIS TYPE OF THINGS MAY HAVE HAD SOME 19 BEARING ON OUR EXHAUST. THIS IS JUST TO SHOW YOU HOW IT 20 LOOKS LIKE, AND IT'S INTERESTING TO ASK IF THIS ELEMENTAL 21 OR ORGANIC CARBON ARE TRUE FOR OTHER CONSTITUENTS, LIKE 22 SOME PARTICULATE ORGANICS. 23 JUST TO COMPARE SOME DIFFERENCES AND TO LOOK 24 AT DIFFERENT AREA AND DIFFERENT MODES OF DOING THE TEST, 25 I'M GOING TO TALK A LITTLE MORE ABOUT THREE DIFFERENT 0038 01 STUDY ONLY. 02 THE FIRST STUDY WAS DONE IN PHOENIX, ARIZONA 03 IN INSPECTION AND MAINTENANCE STATION. AND IT WAS DONE BY 04 US. IT WAS PART OF MY EXPLORATORY GRANT. 05 WE DECIDED TO USE PHOENIX BEFORE BECAUSE 06 ARIZONA HAS CENTRALIZED INSPECTION AND MAINTENANCE 07 PROGRAM. IT MEANS THAT A LOT OF VEHICLES ARE TESTED IN 80 THE RELATIVELY FEW FACILITIES IN THE TOWN. 09 IN CASE OF DIESEL, THEY HAD TWO DIFFERENT 10 FACILITIES, AND WE TESTED -- WE WERE SAMPLING ON THE ROOF 11 ON ONE OF THESE FACILITIES. IN ADDITION, DIESEL AND AUTOS WERE SEPARATE 12 13 PHYSICALLY IN THOSE FACILITIES. SO WE WERE ABLE TO BE 14 BACK ON THE ROOF AND SAMPLE ONLY DIESEL AND SAMPLE ONLY 15 AUTO. SO THIS WAS HOW IT WAS DONE. IT WAS DONE IN 1995, 16 THE SAMPLING. SO IT WAS RELATIVELY NEW. 17 THE OTHER STUDY I WOULD LIKE TO TALK ABOUT IS 18 DYNAMOMETER TESTS OF IN-USE, IN-SERVICE LIGHT-DUTY 19 GASOLINE VEHICLE DOWN IN NEVADA. IT WAS DOWN IN RENO AND 20 LAS VEGAS, AND THIS WAS PART OF THE REMOTE SENSING STUDY.

WHAT IT MEANS WE HAD THE REMOTE SENSOR ON THE 21 22 ROAD, WHICH WAS MEASURING CO AND HYDROCARBON EMISSION, AND 23 VEHICLE WHICH WERE EMITTING MORE WERE PULL OUT AND GIVEN 24 DYNAMOMETER TEST ON PORTABLE DYNAMOMETER. WE DID IT 25 TOGETHER WITH GENERAL MOTORS AND E.P.A., AND WE MEASURE --0039 01 WE COLLECTED SAMPLES AND DO CHEMICAL ANALYSIS FOR THAT. 02 AND THE FINAL IS THE LAST STUDY -- IT'S THE 03 LAST STUDY WHICH DONE LAST WINTER IN DENVER, COLORADO, IN 04 SO-CALLED NORTHERN FRONT RANGE AIR OUALITY STUDY, WHICH 05 WERE -- WHICH MAIN OBJECTIVE WAS REALLY TO APPORTION 06 CARBONACEOUS AEROSOL IN DENVER TO THE DIFFERENT SOURCES, 07 AND HERE I WAS PLANNING CONTRACTOR. 08 AS PART OF THIS STUDY, WE DID A LOT OF SOURCE 09 TESTING JUST TO HAVE A PROFILE FOR DIFFERENT SOURCES. 10 FOR HEAVY-DUTY DIESEL, A COLORADO SCHOOL OF 11 MINE WAS DOING TESTING, AND WE AT D.R.I. WERE DOING 12 ANALYSIS. FOR LIGHT-DUTY VEHICLE, GENERAL MOTOR WAS DOING 13 THIS; HOWEVER, IT IS PART OF STUDIES NOT FINAL YET. SO I 14 CANNOT TALK ABOUT GENERAL MOTOR STUDY. 15 SO I'M GOING TO USE ONLY HEAVY-DUTY DIESEL. 16 JUST FOR IF ANYBODY IS INTERESTED, THIS IS A WEB-PAGE 17 ADDRESS FOR NORTHERN FRONT RANGE AIR QUALITY STUDY. ALL 18 DATA ARE PUBLISHED THERE, WHATEVER IS AVAILABLE. AND I 19 THINK THE WHOLE REPORT FROM HEAVY-DUTY STUDIES IS POSTED 20 ON THE WEB PAGE AS WELL. 21 OKAY. IF -- STARTING FROM THE LAST COLORADO 22 SCHOOL OF MINE TESTING, THIS TABLE SHOWS BRIEFLY WHAT KIND 23 OF A -- OF A VEHICLE WERE TOXIC. THIS IS ALL HEAVY-DUTY DIESEL. THERE WERE 15 OF THEM. THOSE ARE THE SAMPLES 24 WHICH WERE COLLECTED. ACTUALLY THEY TESTED MORE THAN 15, 25 0040 01 BUT WE DID ANALYSIS ONLY FOR 15 VEHICLES WHICH WAS 02 SELECTED LIKE THAT. THEY ARE VERY DIFFERENT, BUSES OR 03 GARBAGE TRUCK OR FOR DELIVERY, AND WITH DIFFERENT GROSS 04 VEHICLE WEIGHT AND DIFFERENT ODOMETER MILES. I WOULD LIKE TO -- TO SHOW YOU THE 05 06 PASSAGES -- SOME OF THE OPACITY DATA WHICH IS REALLY 07 CORRELATED WITH PARTICLE EMISSION, AND THEY ARE VERY 08 DIFFERENT. THEY RANGE FROM LIKE FEW PERCENT UP TO 09 75 PERCENT, THE LAST TRUCK, AND 75 PERCENT IS VERY HIGH. FOR EXAMPLE, IN ARIZONA THE STANDARD IS 10 11 20 PERCENT. SO THOSE ARE VERY DIFFERENT VEHICLE, VERY 12 DIFFERENT BEHAVIOR. SO IT WAS INTERESTING -- AND THEY WERE ALL 13 14 TESTED ON DYNAMOMETER USING DIFFERENT CYCLES. 15 THIS IS WEST VIRGINIA, TRUCK CYCLE, CENTRAL 16 BUSINESS DISTRICT, AND HEAVY-DUTY TRANSIT CYCLE. THERE IS 17 NO REALLY OFFICIAL E.P.A. CYCLE LIKE F.T.P. FOR LIGHT 18 DUTY. AND SO THEY WERE USING SOME DIFFERENT WHICH ARE 19 ACCEPTED BY THE COMMUNITY. 20 THIS SLIDE SHOW THE TRADITIONAL MEASURING 21 ELEMENTS, ORGANIC CARBON, ELEMENTAL CARBON, SULFATE, 22 NITRATE, AND ELEMENTS IN THE EMISSION RATES OF THIS 15 23 DIFFERENT TRUCKS. 24 WELL, IF YOU LOOK AT THIS CASE HERE, THIS IS 25 MILLIGRAM PER MILE. THE EMISSION GOES UP TO 5,400,

01 5.4 GRAMS PER MILE BASICALLY FOR THE HIGHEST -- THIS IS 02 VEHICLE WHICH HAS THE 75 PERCENT OPACITY. 03 WHAT IS INTERESTING TO -- TO NOTE IS IT'S 04 VERY HIGH PERCENTAGE OF ELEMENTAL CARBON, AND THIS IS 05 BASICALLY FOR ALL OF THEM. 06 SO THE AVERAGE, IT WAS LIKE 75 PERCENT OF 07 ELEMENTAL CARBON, AND MUCH LOWER THAN 20 PERCENT AN 08 AVERAGE OF ORGANIC CARBON, NOT VERY MUCH OF ION, ONLY A 09 LITTLE OF ELEMENTS HERE IN THIS -- THIS TWO. 10 THERE WERE SOME REPLICATES, SIX, SEVEN, IS 11 THE REPLICATE, DONE WITH DIFFERENT CYCLE. AND THEN 14, 15 12 IS REPLICATE, AND 12, 13, THOSE ARE THE SAME VEHICLES 13 TESTED WITH DIFFERENT CYCLE. AND THEY ARE KIND OF 14 SIMILAR, AT LEAST IF YOU WOULD LOOK HERE. 15 CENTRAL DIESEL DISTRICT, IT SAYS A LITTLE BIT 16 MORE, IT TENDS TO PRODUCE MORE BECAUSE IT IS SUPPOSED TO 17 REPRESENT URBAN DRIVING. 18 WELL, I MENTIONED THAT THIS -- THAT WE ARE 19 DOING A LOT OF ORGANICS HERE FOR OUR PROFILING, AND THIS 20 IS BASICALLY THE LIST OF COMPOUNDS WHICH WE USE FOR 21 ORGANIC PROFILING. I'M NOT REALLY GOING TO TALK MORE 22 ABOUT IT. THOSE ARE ALL POLYCYCLIC AROMATIC HYDROCARBONS, 23 AND THEY ARE LISTED HERE IN THE ORDER OF POLLUTION FROM 24 THE CHROMATOGRAPHIC COLUMN FROM THE LATEST, NAPHTHALENE 25 UP, TO VERY HEAVY, CORONENE. 0042 THOSE WERE WHAT IS ONLY WHAT I WOULD LIKE TO 01 02 SHOW IS IN THIS FIRST COLUMN, THEY ARE MOSTLY GAS PHASE 03 P.A.H.'S, BECAUSE THOSE ARE LIGHT P.A.H.'S. THOSE ARE 04 CONTAINED IN THE GAS PHASE. 05 THIS COLUMN HERE CONTAINS MOSTLY P.A.H.'S 06 WHICH ARE DISTRIBUTED BETWEEN GAS PHASE AND PARTICLE 07 PHASE. 08 AND THEN FINAL COLUMN HERE, IT'S MOSTLY 09 PARTICLE PHASE P.A.H.'S. SO WE ARE DOING BASICALLY BOTH 10 PHASES. WE ARE DOING -- WE'RE COLLECTING COMPOUNDS WHICH 11 ARE BOTH IN THE GAS PHASE, AND IN THE PARTICLE PHASE, EVEN 12 IF WE ARE TALKING ABOUT THE PORTION OF THE PARTICLES. HOWEVER, SINCE THIS DISTRIBUTION IS VERY MUCH 13 14 DEPENDENT ON TEMPERATURE AND SOME OTHER FACTORS, WE 15 BELIEVE IT'S IMPORTANT ESPECIALLY FOR THE COMPOUNDS WHICH 16 ARE DISTRIBUTED BETWEEN PHASES TO COLLECT THEM ALL. BECAUSE THEN YOU HAVE THE SAME THING BETWEEN SOURCE AND 17 18 THE RECEPTOR. SO WE LOOK IN THE SAMPLE. 19 WELL, HOW ORGANICS LOOKS LIKE? THIS IS THE 20 SAME 15 SAMPLES FROM HEAVY-DUTY DIESEL, AND THOSE ARE ALL 21 COMPOUNDS, AT LEAST IN THE GROUP OF COMPOUNDS. I CANNOT SHOW ALL 68, BUT I GROUP THEM TOGETHER, AND FROM 22 23 METHYLNAPHTHALENE TO CORONENE IN DIFFERENT VEHICLE. 24 IF YOU LOOK AT THIS LAST VEHICLE HERE, WHICH 25 IS REALLY VERY HEAVY IN METERS, IT DOESN'T EMIT A LOT OF 0043 01 ORGANICS, BUT IT DOES EMIT A LOT OF ELEMENTAL CARBON, FOR 02 EXAMPLE. SO IT DOESN'T NECESSARILY MEAN IF IT EMITS A LOT 03 OF CARBON, IT DOESN'T NECESSARILY EMIT A LOT OF P.A.H.'S. 04 THERE COULD BE SOME OTHER ORGANICS, TOO.

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05 WELL, THIS CONTAINS A LOT OF GAS PHASE, AND 06 WHAT YOU CAN SEE HERE, THE GAS PHASE P.A.H.'S, THE FIRST 07 FOUR P.A.H.'S, ARE REALLY THE MOST ABUNDANT. SO IT WAS 80 INTERESTING TO LOOK ALSO IN THE PARTICLE P.A.H.'S, HOW 09 THEY LOOK LIKE. 10 AND FOR -- YOU CAN SEE THE SAME THING THAT IT 11 IS NOT NECESSARILY THE HIGHEST VEHICLE WITH THE HIGHEST 12 P.A.H.'S IN THERE, BUT THEY ALL LOOK KIND OF VERY -- VERY 13 SIMILAR. SO AT LEAST IN THIS GROUP OF 15 VEHICLE, WE HAD 14 A DEFINITE PATTERN OF THE P.A.H.'S, HOW THEY ARE 15 EMITTING. 16 ALSO, WHAT IS INTERESTING TO NOTE IS THAT 17 THIS MIDDLE P.A.H.'S HERE ARE MUCH MORE ABUNDANT THAN THE 18 HEAVY P.A.H.'S AT THE END, AND LIKE, FOR EXAMPLE, CORONENE 19 IS VIRTUALLY NOT PRESENT IN THIS EMISSION. IT IS PRESENT 20 IN VERY LOW AMOUNTS. 21 SO IT WAS INTERESTING FOR ME TO LOOK -- OH, 22 JUST TO -- LIKE TO MENTION, TOO, THAT WE WERE LOOKING AT 23 NOT ONLY P.A.H.'S, BUT IN HOPPING AND STERINGS (PHONETIC) 24 WHICH ARE TRACERS FOR ALL LUB (PHONETIC) BASICALLY BECAUSE 25 THEY ARE COMING FROM UNBURNED LUBRICATED OIL, AND THOSE 0044 01 COMPOUNDS ARE ALSO EMITTED IN VERY LOW AMOUNTS. THEY 02 TURN OUT NOT TO BE SO IMPORTANT. SO I WILL CONCENTRATE 03 MOSTLY ON P.A.H.'S. 04 SO I WOULD LIKE TO COMPARE THIS DATA WITH 05 THE -- OUR NEVADA DATA WHEN WE WERE TESTING MOSTLY 06 LIGHT-DUTY GASOLINE VEHICLE. IT'S A VERY BUSY TABLE. I 07 REALIZE THAT. IT'S JUST ONLY TO SHOW YOU THAT WE WERE 80 TESTING 24 VEHICLE, LIGHT-DUTY GASOLINE, IN THE RANGE FROM LIKE '76 TO 1990, AND THEY WERE FEW VISIBLE SMOKE 09 10 EMITTERS. SO THEY WERE EMITTING VISIBLE SMOKE BASICALLY. 11 THERE WERE SIX OF THEM. 12 THE OTHER WERE NOT NECESSARILY DIESEL SMOKE, 13 BUT THEY HAD SOME HIGH P.M. EMISSIONS AS WELL, AND THEY 14 WOULD KIND OF DISTRIBUTE IT BETWEEN DIFFERENT AGES. THIS -- THIS SLIDE SHOW MAYBE BETTER WHAT IS 15 THE DISTRIBUTION OF THE EMISSION RATES. SO P.M. EMISSION 16 17 RATES WERE VERY HIGH, FOR ESPECIALLY FOR THIS GROUP. IT 18 IS LIKE 1400 MILLIGRAM PER MILE. IT'S BASICALLY IN THE 19 DIESEL RANGE. 20 AND FOR SOME OF -- THEY WERE REALLY VERY LOW. 21 SO WE CAN TALK HERE ABOUT HIGH EMITTERS AND LOW EMITTERS, 22 SMOKERS AND NO SMOKERS. 23 THIS IS VERY SIMILAR SLIDE. I WILL SHOW IT 24 FOR HEAVY-DUTY DIESEL. THIS SHOWS THE ORGANIC CARBON AND 25 ELEMENTAL CARBON AND SOME IONS HERE FOR NONSMOKING 0045 01 VEHICLE, BUT EVEN NONSMOKING, THEY WERE SOME WHICH WERE 02 EMITTING UP TO 250 MILLIGRAMS PER MILE, BUT WE CAN SEE 03 THERE IS A LIGHT DISTRIBUTION BETWEEN THEM. 04 WHAT IS INTERESTING TO LOOK AT THE HIGH --05 MUCH HIGHER PERCENTAGE OF ORGANIC CARBON THAN IN DIESEL. 06 IT WAS APPROXIMATELY 75 PERCENT FOR MINI-VALUE FOR THIS --07 THIS CARS. 08 THERE IS NO MANY ITEMS, ONLY ONE, SOME 09 SULFATE, AND IT WAS KIND OF A LITTLE BIT STRANGE.

FOR A SMOKING VEHICLE -- WE CALLED IT SMOKING 10 11 VEHICLE SINCE THEY EMIT VISIBLE SMOKE. I KNOW IT'S NOT 12 VERY GOOD TERM, BUT IT'S EASIER TO SAY THIS WAY. AND 13 THOSE ARE -- THESE ARE DISTRIBUTION BETWEEN DIFFERENT --14 THEY HAVE VERY DIFFERENT EMISSION RATES AS WELL, SOME 15 WHICH ARE QUITE LOW, EVEN IF THEY EMIT VISIBLE SMOKE, AND 16 SOME ARE VERY, VERY HIGH. 17 AND WHAT IS INTERESTING THAT ORGANIC CARBON 18 IS VERY HIGH. IT'S IN THE RANGE OF 90 PERCENT FOR THIS 19 VEHICLES. 20 WE LOOK AT THE P.A.H. EMISSIONS AS WELL, AND 21 THIS IS THE -- THIS IS THE MILLIGRAM PER MILES, AND I 22 JUST -- WHAT I DID, I JUST COMBINED SMOKERS AND NONSMOKERS 23 AND LOW P.M. EMITTING VEHICLE AND HIGH P.M. EMITTING 24 VEHICLE. LOW P.M. IT'S THE AVERAGE FOR VEHICLE WHICH IS 25 BELOW 50 MILLIGRAMS PER MILE, AND HIGH IS AVERAGE FOR MORE 0046 01 THAN 150 MILLIGRAMS PER MILE. 02 SO YOU CAN SEE THAT THE GAS PHASE P.A.H.'S 03 ARE EMITTED QUITE -- IN QUITE BIG AMOUNTS, BUT YOU CAN SEE 04 THOSE ARE THE HIGHEST MOLECULAR WEIGHT P.A.H.'S. AND I 05 WOULD LIKE TO SHOW IT FOR PARTICULATE P.A.H.'S, WHAT IS 06 INTERESTING IS TO LOOK AT THE CORONENE, FOR EXAMPLE, WHICH 07 IS REALLY EMITTED IN QUITE BIG AMOUNTS FOR HIGH P.M. AND 08 NONSMOKERS. 09 THERE IS, HOWEVER, ONE IMPORTANT THINGS THAT 10 I HAVE TO TELL, THAT THIS EMISSION RATES ARE NOT VERY 11 USEFUL PROFILES BECAUSE THEY COULD BE VERY BIG DIFFERENCES 12 IN EMISSION RATES, BUT IF THE COMPOUNDS ARE EMITTED IN THE 13 SAME PROPORTION, THE PROFILE WILL BE COLLINEAR. 14 WHAT WE DO FOR PROFILES WE USE WEIGHT 15 FRACTION, OR WEIGHT PERCENT. SO WE DIVIDE THE 16 CONCENTRATION OF GIVEN COMPOUNDS BY USUALLY SOME OF 17 SPECIES WE MEASURE, OR RATHER BY MASS. 18 SO EITHER OF THESE, IT IS EITHER A 19 CONSTRUCTIVE SPECIES OR MASS, GRAVIMETRIC MASS COLLECTED 20 ON THE -- ON THE FILTER. SO IF I DO THAT FOR THIS TYPE OF A -- THEN WE 21 22 HAD A DIFFERENT -- DIFFERENT -- IT LOOKS A LITTLE BIT 23 DIFFERENT. NONSMOKERS REALLY EMIT ON THE WEIGHT PERCENT 24 MUCH MORE THAN SMOKERS OF P.A.H.'S. THIS IS BASED ON 25 THEIR WEIGHT PERCENT, OF COURSE, AND THIS WOULD HELP US TO 0047 01 DISTINGUISH BETWEEN THE DIFFERENT GROUPS OF -- OF 02 COMPOUNDS -- OF VEHICLE. 03 AND THIS LOOKS VERY SIMILAR FOR -- FOR HEAVY 04 P.A.H.'S, AND AGAIN, CORONENE IT'S VERY IMPORTANT HERE. 05 WELL, I REALIZE THAT THIS IS A LITTLE BIT 06 LONG SO I'M -- I HAVE TO SKIP SEVERAL SLIDES I HAVE HERE, 07 AND TALK A LITTLE BIT OUR DATA FROM INSPECTION AND 80 MAINTENANCE FROM PHOENIX, ARIZONA. AND THIS IS JUST HOW 09 MANY DIFFERENT TRUCKS WERE -- WERE TESTED OVER THERE. 10 THEY WERE DIFFERENT -- SEVEN RUNS AND EVERY 11 RUN HAS AROUND 15 TO -- 12, 15, 17 TRUCKS, IN THAT 12 ALTOGETHER IT WAS 99 TRUCKS AND DISTRIBUTED BETWEEN THE 13 DIFFERENT RUNS. 14 WHAT IS IMPORTANT TO NOTICE THAT ALL

15 BASICALLY WERE A VERY LOW OPACITY BECAUSE THE TRUCKS WERE 16 GOING TO BE INSPECTED AND THE CUTOFF POINT IS 20 PERCENT. 17 SO THEY ALL WERE QUITE LOW EMITTING -- EMITTING TRUCKS. 18 WE DON'T HAVE, OF COURSE, THE EMISSION RATES 19 FOR THIS TYPE OF TEST, BUT WE HAVE PROFILES. SO THIS IS 20 P.A.H. PROFILE FROM -- FOR THIS TRUCKS, AND IT LOOKS 21 REALLY QUITE SIMILAR AS FAR AS P.A.H.'S ARE CONCERNED TO 22 TRUCKS WHICH WERE -- WHICH WERE TESTED IN N.F.R.A.Q.S. 23 SO EVEN IF IT -- WE SAW SUCH A DIFFERENCE IN 24 THE EMISSION COMPOSITION ELEMENTAL AND INORGANIC CARBON, 25 THE P.A.H. ARE SIMILAR. THIS IS IN WEIGHT PERCENT. AND 0048 01 THIS IS ESPECIALLY VISIBLE WHEN WE LOOK AT HIGHER P.A.H. 02 AGAIN, QUITE A LOT OF P.A.H.'S IN THE AREA, IN THE MIDDLE 03 AREA, NOT VERY MANY IN THE VERY HIGH AREA. I WOULD LIKE TO SHOW YOU JUST MAYBE ONE OR 04 05 TWO SLIDES FROM LIGHT-DUTY GASOLINE TESTING FROM 06 INSPECTION AND MAINTENANCE, AND AGAIN, WE WERE DOING SIX 07 RUNS OF APPROXIMATELY 120 VEHICLES TESTED. SO IT IS A BIG 08 POPULATION OF VEHICLE. 09 THE PROBLEM WE HAD, HOWEVER, THAT IN EVERY 10 RUN THERE WERE SOME OLD CARS, AND SOME WHICH WERE EMITTING MORE THAN OTHER. IT MEANS THAT THE PROFILE ARE GOING TO 11 BE INFLUENCED BY HEAVY EMITTING VEHICLE, AND WE DON'T KNOW 12 13 IF THEY WERE PARTICLE -- HEAVY PARTICLE EMITTING VEHICLE 14 OR NOT SINCE INSPECTION MAINTENANCE DOESN'T MEASURE THIS. 15 BUT IF WE LOOK AT THE PROFILES OF DIFFERENT 16 RUNS, WE CAN SEE THAT THEY ARE VERY SIMILAR BASICALLY TO 17 THOSE WHICH WE HAD FROM NEVADA FOR HIGHER EMITTING 18 VEHICLE, AND QUITE A LOT OF GAS PHASE P.A.H.'S, BUT ALSO 19 VERY IMPORTANT HIGHER MOLECULAR WEIGHT P.A.H.'S HERE. 20 AND SIMILAR FOR THE HIGHER MOLECULAR WEIGHT, 21 YOU CAN SEE CLEARLY CORONENE HERE AND SOME HIGHER 22 MOLECULAR WEIGHT HERE, WHICH WERE NOT REALLY VISIBLE IN 23 DIESEL. WHEN WE PUT THIS TOGETHER, I JUST WOULD LIKE 24 25 TO SHOW YOU SOME PROFILES WHICH ARE LIKE SUMMARIZED 0049 01 TOGETHER FOR ALL DIESEL TRUCKS FROM N.F.R.A.Q.S. STUDY. 02 YOU CAN SEE THIS IS ORGANIC CARBON, ELEMENTAL 03 CARBON, THEN WE HAVE SOME HOPPING TO A VERY NOT VISIBLE IN THE PROFILE, AND WE HAVE P.A.H.'S GAS PHASE AND PARTICLE 04 PHASE. YOU CAN SEE THE P.A.H. GAS PHASE ARE VERY, VERY 05 06 LITTLE. THEY ARE REALLY NOT VERY IMPORTANT HERE, AND 07 THE -- THE VERY SIMILAR THINGS WE CAN SEE FROM INSPECTION 08 AND MAINTENANCE. GAS PHASE P.A.H.'S ARE REALLY VERY TINY 09 FRACTION OF THE WHOLE EMISSION, EVEN IF ORGANIC CARBON WAS 10 MUCH HIGHER HERE. AND IN CONTRAST, FOR LIGHT-DUTY GASOLINE 11 12 VEHICLE FOR PHOENIX, WE CAN SEE THESE GAS PHASE P.A.H.'S, 13 THOSE ARE THIS RED HERE, ARE VERY HIGH. IT IS ABOVE 1, 14 BECAUSE IT IS EVERYTHING GAS PHASE. SO ANYTHING WHICH IS 15 ABOVE 1 IS A GAS PHASE. 16 AND THIS IS BASICALLY SOMETHING WHICH HELPS 17 US TO DISTINGUISH BETWEEN DIFFERENT CLASS COMPOUNDS OF THE 18 VEHICLE. 19 SO JUST TO FINISH THIS VERY BRIEF

20 PRESENTATION, I REALIZE A LOT OF DATA WHICH I JUST CAN 21 ONLY SUMMARIZE HERE, I HAVE TO SAY FIRST OF ALL THAT EVEN 22 IF LIGHT-DUTY GASOLINE VEHICLES DO EMIT PARTICULATE METAL, 23 THAN MOST OF THE EMISSION COMING FROM THE OLDER, 24 POOR-MAINTAINED VEHICLE. 25 THE MIXED PHASE PROFILES WHICH I WAS TALKING 0050 01 ABOUT GAS AND PARTICLE PHASE SPECIES CAN BE REALLY 02 UTILIZED TO APPORTION FINAL PARTICULATE METAL EMITTED FROM 03 GASOLINE- AND DIESEL-POWERED VEHICLES. SO WE CAN 04 DISTINGUISH BETWEEN THESE TWO, BASED ON THAT. 05 AS WELL AS USING EXTENDED PROFILES WHICH IS 06 INORGANIC AND ORGANIC SPECIES IN THE C.M.B. APPORTIONMENT 07 OF FINAL PARTICULATE METAL. IT REALLY ALLOWS US TO 08 DISTINGUISH BETWEEN DIFFERENT EVEN VEHICLE CATEGORIES. WE 09 DID IT FOR N.F.R.A.Q.S., AND WE CAN DISTINGUISH FOR EXAMPLE BETWEEN SMOKING VEHICLE, BETWEEN COLD START, 10 11 BETWEEN HIGH EMITTING VEHICLE, AND BETWEEN DIESEL. 12 AND TO AGAIN, AS I POINTED OUT, MOST OF THE 13 DISCRIMINATORY POWER OF THE MIXED PHASE EXTENDED PROFILES 14 RESIDES IN THE POLYCYCLIC AROMATIC HYDROCARBONS. I'M NOT 15 TELLING YOU THAT THERE ARE OTHER COMPOUNDS AVAILABLE WHICH 16 COULD BE USED, BUT THOSE ARE CERTAINLY VERY GOOD 17 CANDIDATES WHICH MIGHT BE USED FOR PROFILING. 18 SO THIS IS BASICALLY ALL WHAT I HAD. JUST TO 19 SHOW YOU THAT THERE IS A POSSIBILITY OF BY DOING 20 CHEMICAL -- BY DOING CHEMICAL MASS BALANCE AND AMBIENT 21 MEASUREMENTS, THE POSSIBILITY OF KNOWING HOW MUCH 22 PARTICLES HAVE COMING FROM WHICH KIND OF VEHICLE. 23 AND AT THE END I WOULD JUST LIKE TO 24 ACKNOWLEDGE THE FINANCIAL SUPPORT OF NORTHERN FRONT RANGE 25 AIR QUALITY STUDY, AND E.P.A., AND TO GENERAL MOTORS AS 0051 01 WELL FOR NEVADA STUDY. THANK YOU. 02 DR. FROINES: NOW, I WANTED TO, AS I SAID, I FIRST 03 WANTED TO GIVE AN OPPORTUNITY TO JOE AND BARBARA TO 04 COMMENT ABOUT EACH OTHERS TALKS IF THEY CHOSE TO. IF NOT, 05 WE'LL JUST OPEN IT UP TO THE PANEL AND OTHER SPEAKERS. 06 DR. MAUDERLY: WHILE BARBARA IS GETTING DEFROCKED 07 THERE, WHY, I'LL JUST SAVE TIME BY STARTING AND ASKING 08 OUESTIONS AND VERY, VERY GOOD PRESENTATION. CERTAINLY YOU 09 SAID NOTHING I COULD ARGUE WITH, BUT I DO HAVE A QUESTION 10 AND THAT IS, I'M TRYING TO FORMULATE IN MY OWN MIND, IF I 11 WANT TO ASSUME AN ORGANIC FRACTION FROM EXHAUST PARTICLES 12 IN CITY ATMOSPHERES, WHAT IS A GOOD SORT OF AVERAGE RULE 13 OF THUMB ORGANIC FRACTION TO ASSUME? 14 I MEAN, FOR INSTANCE, YOU MENTIONED ONCE THAT 15 MOST OF THEM WERE BELOW 20 PERCENT. SOME DATA ARE HIGHER, SOME ARE LOWER. I KNOW WITH OUR OWN STUDY, WE WENT FROM 16 AN OLD ENGINE THAT HAD 20 PERCENT, AND THE NEXT STUDY, IT 17 18 WAS ONLY 8 PERCENT. I MEAN, THAT BECOMES IMPORTANT IN 19 SOME CALCULATIONS OF DOSE. 20 WHAT -- WHAT WOULD BE A GOOD CONTEMPORARY 21 FIGURE TO USE? 22 DR. ZIELINSKA: THERE IS A PROBLEM BECAUSE IT'S --23 LIKE I WAS SHOWING YOU, IT REALLY DEPENDS ON THE AREA AND 24 WHAT -- HOW THE VEHICLE REALLY LOOKS LIKE. BUT I THINK

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25 PERSONALLY, IF YOU TAKE SOME KIND OF MEAN VALUE,
0052
01 70 PERCENT IS TOO HIGH, LIKE 30 OR 40 PERCENT FOR
02 ELEMENTAL ORGANIC -- OR ELEMENTAL, LET'S SAY, I'M TALKING.
03 OKAY. FOR ELEMENTAL TO BE 30, 40 THAT WOULD BE TOO LOW.
04
                 BUT I THINK IF YOU GO AROUND 50, RIGHT NOW,
05 FOR THE NEWEST VEHICLE. IT PROBABLY WOULD BE VERY -- VERY
06 REALISTIC BECAUSE WE HAVE ALL OF THIS MIXTURE ON THE ROAD
07 OF DIFFERENT VEHICLE -- DIFFERENT -- DIFFERENT, YOU KNOW,
08 AGE AND DIFFERENT -- DIFFERENT TECHNOLOGY, DIFFERENT
09 EMISSION.
10
                 SO THIS WOULD KIND OF BE THE RULE OF THUMB,
11 BUT I STILL NOT COMPLETELY SURE. I THINK WE NEED A LITTLE
12 MORE COMPARISON AND DOING A LITTLE BIT MORE BIGGER VEHICLE
13 POPULATIONS BECAUSE, YOU KNOW, ALL OF THIS -- OF THIS
14 DYNAMOMETER STUDY IS HOW MUCH? 15 MAYBE THE MOST VEHICLE?
15 AND WE ARE SUPPOSED REPRESENT EVERYTHING.
16
           DR. MAUDERLY: DID I UNDERSTAND YOU CORRECTLY NOW
17 THAT YOU ARE SAYING YOU THINK 50 PERCENT --
18
           DR. ZIELINSKA: I THINK IT WOULD BE --
19
           DR. MAUDERLY: -- ORGANIC, SOLUBLE ORGANIC?
           DR. ZIELINSKA: NO. FOR ELEMENTAL.
20
           DR. MAUDERLY: OKAY. I'M ASKING FOR A --
21
22
           DR. ZIELINSKA: FOR ORGANIC?
23
           DR. MAUDERLY: -- AN AVERAGE ORGANIC FRACTION.
24
           DR. FROINES: ARE YOU ASKING DIESEL OR GASOLINE OR
25 BOTH?
0053
01
           DR. ZIELINSKA: DIESEL, I HOPE.
           DR. MAUDERLY: WELL, DIESEL, SHE SHOWED DATA FOR
02
03 BOTH, I THINK. AND OF COURSE, ONE INTERESTED IN BOTH IF
04 THEY ARE INTERESTED IN THE TOTAL POOL OF MATERIAL THAT IS
05 OUT THERE, BUT DIESEL WOULD BE FINE.
06
           DR. ZIELINSKA: YEAH, I WOULD THINK --
           DR. MAUDERLY: I MEAN IS 20 PERCENT A REASONABLE
07
08 NUMBER?
           DR. ZIELINSKA: NO, I THINK IT IS A LITTLE TOO LOW
09
10 FOR 20 PERCENT.
11
           DR. MAUDERLY: LOW.
12
           DR. ZIELINSKA: IT'S LOW FOR ORGANIC. I THINK IT
13 IN THE ORDER OF 30, 40 PERCENT REALLY.
           DR. MAUDERLY: FOR DIESEL?
14
15
           DR. ZIELINSKA: FOR DIESEL. BUT IT'S AGAIN, IT'S
16 A -- YOU KNOW, IT'S A VERY MEAN VALUE. IT COULD BE ENOUGH
17 STUDY AND AVERAGE THIS, IT MIGHT BE A LITTLE BIT DIFFERENT.
18
           DR. MAUDERLY: THANK YOU.
19
           DR. FROINES: AND SEE, IT'S NOT FAIR TO BE THE
20 CHAIR AND THEN TO PICK IN ON THE TWO OF YOU TALKING, BUT
21 I'LL DO IT ANYWAY.
                 IF IT'S 30 TO 40 PERCENT, WHAT PERCENT OF
22
23 THAT DO YOU THINK IS IN THE VAPOR PHASE?
24
           DR. ZIELINSKA: OH, I'M NOT TALKING ABOUT VAPOR
25 PHASE HERE AT ALL. THE PERCENTAGE OF VAPOR PHASE MIGHT BE
0054
01 MUCH HIGHER THAN THAT. I'M TALKING ABOUT WHAT IS
02 ASSOCIATED WITH PARTICLES.
03
                 HOWEVER, DIESEL IN GENERAL DON'T EMIT VERY
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04 MUCH -- VERY GAS PHASE, SO WE CAN -- YOU CAN SEE IT FROM 05 THERE, EVEN THOSE P.A.H.'S WHICH IS KIND OF 06 REPRESENTATIVE. SO THE GAS PHASE IS REALLY NOT VERY BIG 07 PERCENTAGE. 80 DR. FROINES: THE GAS PHASE IS INTERESTING IN 09 LOS ANGELES, OF COURSE, WHERE YOU GET A LOT OF NITRATION 10 ASSOCIATED WITH OUR --11 DR. ZIELINSKA: YES. 12 DR. FROINES: -- OUR AMBIENT AIR AND THAT PARTICLES 13 THAT -- I MEAN RATHER VOLATILES WILL BECOME NITRATED AND 14 MAY END UP BEING PARTICLE ASSOCIATED --15 DR. ZIELINSKA: YES. 16 DR. FROINES: -- SO YOU HAVE A DIFFERENT CHEMISTRY 17 GOING ON. 18 DR. ZIELINSKA: YES. IT'S TRUE. IT'S QUITE A LOT 19 FLUORANTHENE AND PYRENE, FOR EXAMPLE, THAT IS PART OF THIS WHICH IN THE GAS PHASE, AND THIS COULD REACT WITH O.H.I. 20 21 FOLLOWED BY AND NATURAL REACTION FORMING NITROPYRENES OR 22 NITROFLUORENE. 23 DR. FROINES: IT SHOWS THAT THERE'S STILL CONTINUED 24 WORK NEEDED ON LOOKING AT P.A.H. COMPOSITION IN BOTH 25 GASOLINE AND DIESEL VEHICLES, IT SEEMS TO ME, BECAUSE 0055 IT'S -- AS WE GET INTO THE MORE HEALTH ORIENTED WE --01 02 WE -- IF WE DON'T HAVE THAT DATA, IT'S VERY DIFFICULT TO 03 DEVELOP QUANTITATIVE ESTIMATES OF EXPOSURE AND THEN 04 SUBSEQUENTLY, SUBSEQUENT RISK. 05 DR. ZIELINSKA: WELL, OUR WORK WAS REALLY NOT FROM 06 THE POINT OF HEALTH EFFECT. IT WAS MOSTLY JUST TO OBTAIN 07 PROFILES TO GET A GOOD COMPOUNDS WHICH WOULD BE USED FOR 80 PROFILING. BUT AT THE SAME TIME, YOU HAVE A LOT OF DATA 09 AND P.A.H.'S AS WELL. 10 DR. FROINES: QUESTION. GARY FIRST. GARY AND THEN 11 PETER AND THEN JIM. 12 DR. FRIEDMAN: THIS IS A QUESTION FOR DR. MAUDERLY. YOU SHOWED A SLIDE OF A RAT LUNG TUMOR THAT 13 14 LOOKED TO ME -- I'M NOT A PATHOLOGIST, BUT IT LOOKS SORT OF LIKE A CYST CONTAINING CAROTENE MATERIAL. 15 WERE YOU SAYING THAT THAT IS TYPICAL OF THE 16 17 RAT LUNG TUMORS THAT ARE USED TO ESTIMATE RISK IN HUMANS, 18 OR IS THIS AN ATYPICAL ONE OR IS THAT WHAT THEY ALL LOOK 19 LIKE? 20 DR. MAUDERLY: WELL, THEY ARE NOT ATYPICAL, BUT NO, 21 THAT'S NOT THE TYPE OF LESION THAT'S BEING USED CURRENTLY 22 IN RISK ASSESSMENTS. THE REASON I SHOWED IT WAS AS -- AS 23 AN ILLUSTRATION, ANOTHER ILLUSTRATION OF THE MARKED 24 DIFFERENCES BETWEEN THE CELLULAR RESPONSES OF THE SPECIES. 25 THAT PARTICULAR LESION, WHICH WE DO NOT CALL 0056 01 A TUMOR, MOST PEOPLE IN THE UNITED STATES DON'T. THERE 02 ARE SOME PEOPLE WHO DO, ALTHOUGH THEY READILY ADMIT THAT 03 THAT KIND OF LESION DOES NOT OCCUR IN HUMANS, THAT -- THAT 04 TYPE OF LESION IS NOT TYPICAL OF OTHER SPECIES. 0.5 IT'S TYPICAL OF THE RAT. IT'S VERY 06 DISTINCTIVE. IN SOME STUDIES, IT'S IN HIGH PREVALENCE; 07 THAT IS, IT CONSTITUTES OR IT AFFECTS A NUMBER OF THE 08 ANIMALS.

09 NOW, FOR A NUMBER OF YEARS THERE WAS A DEBATE 10 AS TO WHETHER THAT LESION SHOULD BE COUNTED IN -- IN THE 11 TUMOR COUNT, IF YOU WILL, FROM WHICH RISK ASSESSMENTS WERE 12 DEVELOPED. 13 AT THIS TIME, IT IS NOT BEING COUNTED BECAUSE 14 IT'S -- IT'S ESSENTIALLY UNIVERSALLY AGREED THAT IT IS NOT 15 APPLICABLE. 16 SO THE REASON I SHOWED IT WAS NOT THAT IT WAS 17 THE PREDOMINANT LESION, AND I SHOWED YOU NO OTHER TUMOR 18 TYPES REALLY, BUT TO -- AS AN ILLUSTRATION THAT THERE ARE 19 MARKED DIFFERENCES IN THE CELLULAR RESPONSES. 20 DR. FRIEDMAN: WHAT DO THE TUMORS THAT ARE USED TO 21 ESTIMATE HUMAN RISK, WHAT DO THEY LOOK LIKE 22 HISTOLOGICALLY? 23 DR. MAUDERLY: WELL, I COULD SHOW THEM BUT DIDN'T 24 FOR TIME'S SAKE. THEY ARE ADENOMAS AND ADENOCARCINOMAS. 25 THERE ARE A FEW SQUAMOUS CELL CARCINOMAS, BUT THAT'S 0057 01 TYPICALLY A VERY SMALL PERCENTAGE. 02 A SUBSTANTIAL PORTION OF THE TOTAL TUMOR 03 COUNT, IF YOU WILL, ARE BENIGN TUMORS, ADENOMAS, AND THESE 04 RANGE IN SIZE FROM JUST VERY SMALL NODULES TO SUBSTANTIAL 05 LESIONS. 06 THE ADENOCARCINOMAS ARE VERY SIMILAR EXCEPT 07 IN THE CENTER OF THAT LESION, THEY PROGRESS, AND YOU'VE 08 LOST ANY SEMBLANCE OF NORMAL ARCHITECTURE AND SOMETIMES 09 THEY ARE NECROTIC IN THE CENTER. 10 SO THEY ARE ADENOMAS AND ADENOCARCINOMAS. 11 THEY ARE ALL PERIPHERAL TUMORS. THEY ARE NOT TUMORS OF 12 THE CENTRAL AIRWAYS IN THE RATS. 13 DR. FRIEDMAN: THANK YOU. 14 DR. FROINES: PETER. 15 DR. WITSCHI: YEAH. I WOULD LIKE TO COMMENT ON HOW 16 YOU SAW THE LAST SLIDE BECAUSE OF WITHIN THE CONSTRAINTS 17 WE HAVE IN ANIMAL NUMBERS, AND IF WE GO TO APPROPRIATE LOW 18 DOSES, WE WOULD GET EXACTLY THE SAME GRAPH FOR ANY 19 CARCINOGEN IN ANY TISSUE IN ANY SPECIES. 20 YOUR AST SLIDE REALLY DOES NOT ADDRESS AT ALL 21 THE QUESTION OF THRESHOLD OR NOT BECAUSE WE COULD GET THE 22 SAME ANSWER WITH ANYTHING. 23 DR. MAUDERLY: WELL, THAT'S EXACTLY WHY I SHOWED 24 THE SLIDE TO MAKE EXACTLY THE OPPOSITE POINT, AND THE 25 POINT IS THIS. THAT IS I -- I WAS PORTRAYING THAT THERE 0058 01 WAS A LARGE NUMBER OF GROUPS IN THAT LOW DOSE REGIME IN 02 WHICH THE DATA SHOWED NO SUGGESTION OF SLOPE. IT'S NOT 03 THE FACT THAT THEY WERE STATISTICALLY INSIGNIFICANT. 04 THAT'S NOT THE POINT. OF COURSE, THEY WOULDN'T BE AT THAT 05 LOW LEVEL. BUT LET'S ASSUME THAT THERE WERE 200,000 06 07 ANIMALS PER GROUP, AND EACH ONE OF THOSE POINTS, THEY 80 STILL DO NOT CONSTITUTE A SLOPE. AND THERE WAS NO SLOPE 09 WITHIN THAT REGION. 10 NOW, IF THERE IS A RESPONSE IN THAT REGION, 11 THEN -- THEN ONE WOULD ASSUME THAT IN SO MANY STUDIES AND 12 SO MANY GROUPS THAT ONE WOULD BEGIN TO SEE A SLOPE, AND 13 YOU CAN PICK STUDIES IN WHICH THERE IS A POINT ABOVE THE

14 LINE. YOU CAN ALSO PICK STUDIES IN WHICH THERE IS A POINT 15 BELOW THE LINE. 16 BUT THE ABSENCE OF SLOPE IN THAT REGION, 17 COUPLED WITH THE FACT THAT THESE CELL RESPONSES DON'T 18 OCCUR UNTIL YOU GET UP IN THE HIGHER EXPOSURE REGIMES, I 19 THINK FITS TOGETHER, AND IT -- CONVINCINGLY TO ME THAT 20 THERE IS A THRESHOLD FOR THIS HIGH-DOSE-RESPONSE. 21 NOW, THAT DOES NOT MEAN THAT THERE IS NOTHING 22 OCCURRING IN THE RATS THAT HAS TO DO WITH THE ORGANIC 23 MUTAGENS. IT'S JUST THAT IF THERE IS, WE CERTAINLY HAVE 24 NOT BEEN ABLE TO SEE IT, EITHER FROM THE TUMOR RESPONSE OR 25 FROM THE D.N.A. ADDUCT WORK THAT'S BEEN DONE. 0059 01 DR. FROINES: JIM. I KNOW STAN'S GOING TO WANT TO 02 COMMENT ON THAT SO --DR. GLANTZ: WELL, MY SHORT-TERM MEMORY IS -- I 03 04 THINK. 05 DR. SEIBER: WELL, MY QUESTION ISN'T ON THAT 06 SUBJECT. SO WHY DON'T YOU GO AHEAD AND FOLLOW UP. 07 DR. GLANTZ: WELL, I WAS ALSO BOTHERED BY THAT 08 SLIDE IN YOUR INTERPRETATION. COULD YOU MAYBE PUT IT UP 09 THERE JUST SO WE CAN ARGUE ABOUT IT WITH -- IN A REVERENT 10 WAY --11 DR. MAUDERLY: I DON'T KNOW IF IT'S POSSIBLE FOR 12 THE PROJECTIONIST TO --13 DR. GLANTZ: CAN YOU PUT THE SLIDE BACK UP? 14 DR. MAUDERLY: IT'S THE THIRD TO THE LAST. DR. FROINES: JIM, DO YOU WANT TO TRY AND SNEAK IN 15 16 A QUESTION? 17 DR. GLANTZ: WELL, OKAY. DR. MAUDERLY: ACTUALLY, I MAY HAVE THAT IN THE 18 19 VIEW GRAPH. I'M NOT SURE, BUT LET ME LOOK. 20 DR. GLANTZ: I MEAN, THE FIRST QUESTION I HAVE 21 WHICH I CAN ASK YOU WHILE YOU'RE LOOKING. IF YOU CAN LOOK 22 AT LISTEN AND THE SAME TIME. OH, THERE WE GO. 23 DR. MAUDERLY: YES. DR. GLANTZ: NO, NOT THAT ONE. IT'S THE GRAPH IS 24 25 THE ONE WE WANT. 0060 01 DR. MAUDERLY: I'LL MOVE THIS UP HERE. THERE YOU 02 GO. 03 DR. GLANTZ: OKAY. WELL, THE FIRST QUESTION I HAD 04 IS WHAT IS THE DIFFERENCE BETWEEN THE OPEN CIRCLES AND THE 05 CLOSED CIRCLES? 06 DR. MAUDERLY: THE DIFFERENCE BETWEEN THE OPEN 07 CIRCLES AND THE CLOSED CIRCLES, AS I STATED, WAS THAT THE 08 CLOSED CIRCLES REPRESENT GROUPS IN WHICH THE STATISTICS 09 SHOWED A SIGNIFICANT DIFFERENCE FROM CONTROL. THE OPEN CIRCLES DID NOT SHOW A SIGNIFICANT 10 DIFFERENCE. ALL OF THEM ARE TREATED GROUPS. 11 12 DR. GLANTZ: OKAY. WELL, I JUST MISSED THAT 13 DETAIL. 14 I MEAN, I THINK THOUGH IF YOU WERE -- IF YOU 15 TAKE ESPECIALLY THE LEVERAGE POINT THERE, THE ONE VERY 16 HIGH POINT OFF ON THE -- ON THE TOP POINT, RATHER, I MEAN, 17 IT JUST SEEMED TO ME THAT YOU COULD QUITE REASONABLY DRAW 18 A STRAIGHT LINE THROUGH THE REST OF THOSE POINTS THAT

19 WOULD END UP WITH AN INTERCEPT THAT WASN'T SIGNIFICANTLY 20 DIFFERENT FROM THE ORIGIN. 21 I MEAN, HAVE YOU TRIED THAT AND TESTED 22 WHETHER OR NOT YOU GET A SLOPE THAT'S -- THAT ENDS UP 23 PRETTY MUCH GOING THROUGH THE ORIGIN, OR WHETHER THERE IS 24 A STATISTICALLY DIFFERENCE IN THE INTERCEPT FROM ZERO? 25 DR. MAUDERLY: I HAVE NOT --0061 01 DR. GLANTZ: WOULD --02 DR. MAUDERLY: -- ALTHOUGH MANY PEOPLE HAVE 03 MASSAGED THESE DATA. I WOULD AGREE. 04 DR. GLANTZ: WELL, I'M NOT TALKING ABOUT 05 MASSAGING. 06 DR. MAUDERLY: NO, I THINK THAT IT IS CLEAR THAT 07 ONE COULD FIT A STRAIGHT LINE THROUGH THESE DATA, AS WE 08 OFTEN DO. 09 DR. GLANTZ: OKAY. 10 DR. MAUDERLY: I'M NOT ARGUING THAT. 11 DR. GLANTZ: WELL, BUT YOU SEE --12 DR. MAUDERLY: I'M SAYING THAT ONE DOES NOT NEED TO 13 FIT LINES AND USE STATISTICS TO SEE THAT THERE IS NOTHING 14 HAPPENING IN THIS REGION. 15 DR. GLANTZ: WELL, EXCEPT I DON'T THINK THAT'S A 16 FAIR CONCLUSION TO DRAW FROM THESE DATA. I MEAN THAT'S 17 WHAT ONE DOES -- I MEAN, IT'S LIKE JOHN SAID, CHEMISTS 18 LOOK AS THESE FROM A CHEMICAL PERSPECTIVE, AND YOU KNOW, I 19 THINK -- I THINK THAT YOU'VE GOT SOME DATA THERE, AND I 20 MEAN, YOU COULD DO A FORMAL TEST TO SEE IF THERE'S A 21 THRESHOLD EFFECT, WHICH I WOULD BET YOU'RE GOING TO NOT BE 22 ABLE TO SHOW --23 DR. MAUDERLY: STATISTICALLY --24 DR. GLANTZ: STATISTICALLY --25 DR. MAUDERLY: -- I WOULD BET WITH YOU --0062 01 DR. GLANTZ: OKAY. WELL --DR. MAUDERLY: -- THAT STATISTICALLY YOU CAN NOT 02 03 PROVE A THRESHOLD. 04 DR. GLANTZ: WELL, OKAY. AND FURTHERMORE, I MEAN, 05 IF -- IF YOU WERE TO HAVE JUST A SIMPLE STRAIGHT LINE 06 THERE, WHAT YOU WOULD EXPECT, AND THIS IS SORT OF THE 07 POINT THAT PETE MADE, THAT AS YOU GET DOWN CLOSE TO ZERO, 08 YOU ARE GOING TO START SHOWING SMALL EFFECTS, AND THE 09 THINK THE POINT YOU MADE ABOUT, YOU KNOW, WELL, YOU SEEM 10 TO SEE -- HAVE A FLAT EFFECT AT THE LOW DOSES, AND THEN 11 NOT A FLAT EFFECT. 12 I MEAN, THAT AGAIN, IS SOMETHING THAT YOU 13 COULD TEST. I MEAN, THERE ARE VERY STRAIGHT FORWARD, 14 SIMPLE STATISTICAL MEASURES THAT YOU COULD TEST FOR A TEST 15 THE COINCIDENCE BETWEEN THE REGRESSIONS IN THOSE TWO 16 POINTS EVEN. 17 AND I MEAN, I THINK THAT IT -- TO ME TO 18 JUST -- TO GET THE DATA AND TO PUT IT UP AND TO NOT GO 19 THROUGH THE FORMAL ANALYSIS OF IT IS -- IS REALLY A SHAME 20 BECAUSE THAT'S THE WHOLE REASON THAT ONE HAS STATISTICS, 21 IS TO TRY TO COME UP WITH SOME KIND OF OUANTITATIVE 22 ESTIMATE OF THE UNCERTAINTIES IN THE LACK OF PRECISION 23 THAT YOU RUN INTO IN MAKING THESE DECISIONS.

24 SO I MEAN, I THINK -- I MEAN, YOU'RE FREE TO 25 INTERPRET THESE -- OBVIOUSLY, FREE COUNTRY, BUT I MEAN, 0063 01 YOU'RE FREE TO INTERPRET THESE DATA HOWEVER YOU WANT, BUT 02 I MEAN, IF YOU WERE TO SHOW THIS TO ME, I WOULD COME UP 03 WITH -- WITH AN EQUALLY DEFENDABLE, I THINK, 04 INTERPRETATION THAT THERE ISN'T THE THRESHOLD, YOU KNOW. 0.5 AND I THINK, I MEAN, I'M VERY SURPRISED THAT 06 YOU DIDN'T SHOW US A FORMAL ANALYSIS TO EITHER SUPPORT OR 07 REFUTE THE ASSERTIONS THAT YOU'RE MAKING. BECAUSE IT'S A 08 PRETTY EASY THING TO DO WITH THE DATA YOU'VE GOT THERE. 09 DR. MAUDERLY: WELL, I'LL RESPECT THAT OPINION --10 DR. GLANTZ: YEAH, AND I MEAN --11 DR. MAUDERLY: -- AND I CAN'T ARGUE WITH THE FACT 12 THAT STATISTICALLY -- I'M AGREED. STATISTICALLY, I DON'T 13 THINK YOU CAN EVER PROVE A THRESHOLD. THE DATA ARE NOT 14 ROBUST ENOUGH DO THAT. 15 THE REASON THAT I SHOWED IT, AND I DO 16 CONSIDER THIS A STRONG SUPPORTING EVIDENCE FOR THE PREMISE 17 OF THE THRESHOLD, I'M COMING FROM THE OTHER SIDE. FROM 18 THE BIOLOGICAL SIDE, WE SEE A CLEAR THRESHOLD BETWEEN 19 THOSE EXPOSURE GROUPS IN WHICH THIS CHRONIC, INFLAMMATORY, 20 PROLIFERATIVE RESPONSE DOES NOT OCCUR, AND THOSE IN WHICH 21 IT DOES, AND THEN, OF COURSE, THERE'S THE GRAY ZONE. 22 NOW, MANY PEOPLE HAVE -- HAVE TREATED -- I'LL 23 NOT USE THE TERM MASSAGE. THAT'S PEJORATIVE. 24 MANY PEOPLE HAVE TREATED THESE DATA 25 STATISTICALLY. THEY'VE ALL BEEN IN THE LITERATURE FOR 0064 01 SOME TIME. CALIFORNIA AND OTHER PEOPLE HAVE HAD THE OPPORTUNITY TO LOOK AT THEM, AND -- BUT WHAT'S TYPICALLY 02 03 BEEN DONE IS TO TAKE ONE STUDY AND START WITH ONE STUDY 04 WHERE YOU DON'T HAVE MANY GROUPS DOWN THERE. YOU MAY HAVE 05 ONE, AND TRY TO FIT LINES TO THAT. 06 AND -- AND THE CONCLUSION WOULD BE CLEAR. 07 YOU COULD REACH NO OTHER CONCLUSION STATISTICALLY THAN 08 THERE IS NO THRESHOLD. 09 BUT FROM A BIOLOGICAL RESPONSE STANDPOINT, WE 10 SEE A THRESHOLD, AND I THINK WHEN YOU LOOK AT THE TOTALITY 11 OF THE DATA IT -- WITHOUT FITTING LINES AND TESTING IT, 12 THE TOTALITY OF THE DATA DO -- ARE VERY CONSISTENT WITH 13 THAT BIOLOGICAL THRESHOLD. SO IT IS TWO DIFFERENT WAYS OF LOOKING AT THE 14 15 SAME THING. DR. FROINES: THE PROBLEM, STAN, OF COURSE, THAT 16 17 AGAIN, THE "N" VALUES HERE ARE SMALL. THIS ISN'T EXACTLY 18 THE MEGA MOUSE STUDY WITH 26,000 MICE. AND SO WE ARE --19 THE STATISTICAL ANALYSIS IS GOING TO BE LIMITED BY THE 20 SIZE OF THE STUDY, AND SO YOU'RE -- IT'S GOING TO END UP 21 BEING AMBIGUOUS TO SOME LEVEL, I THINK. 22 DR. GLANTZ: CAN I JUST ASK ONE OTHER QUESTION, 23 PLEASE? 24 DR. FROINES: WE'RE VERY LATE ON TIME. WE HAVE A 25 LARGE NUMBER OF SPEAKERS. 0065 01 DR. GLANTZ: OKAY. WELL, I JUST HAVE ONE OTHER 02 QUICK QUESTION.

DR. FROINES: PEOPLE WANT ASK QUESTIONS. 03 DR. GLANTZ: IF YOU COULD BACK UP TO THE OTHER --04 05 THE SLIDE WHERE YOU WERE COMPARING THE MICE AND THE 06 RATS --07 DR. MAUDERLY: MICE AND THE RATS? 80 DR. GLANTZ: -- WITH THE NUMBERS. SEE, I DON'T 09 UNDERSTAND HISTOLOGY WITH NUMBERS OR --10 DR. MAUDERLY: OH, THAT'S WAY BACK. DR. GLANTZ: WAY BACK. I JUST HAD A QUICK QUESTION 11 12 ABOUT THAT. 13 DR. FROINES: STAN, BEFORE YOU ASK THE QUESTION. Т 14 SEE JIM WANTS TO ASK A QUESTION, GEORGE SAYS SOME STAFF 15 HAVE QUESTIONS, WHICH WE MAY NOT GET TO. PAUL DOES, I DO, 16 KATHIE DOES --17 DR. GLANTZ: OKAY. WELL, KEEP GOING. 18 DR. FROINES: WE'RE IN -- WE'RE IN TIME TROUBLE 19 HERE FOLKS --20 DR. GLANTZ: OKAY. WELL, GO BACK ONE MORE SLIDE. 21 I'LL BE VERY FAST. 22 DR. FROINES: -- SO WE'RE JUST GOING TO HAVE TO DO 23 THE BEST WE CAN. DR. GLANTZ: NO. GO BACK ONE MORE. KEEP GOING 24 25 BACK TO WHERE YOU WERE -- YEAH, THAT ONE. 0066 01 DR. MAUDERLY: OH. DR. GLANTZ: JUST REAL QUICKLY. I MEAN, YOU'VE GOT 02 03 THE POINT ESTIMATE -- THIS IS ANOTHER STATISTICAL 04 QUESTION. 05 YOU'VE GOT THE POINT ESTIMATES. YOU DIDN'T 06 SHOW US ANY MEASURES OF CERTAINTY ON THIS. 07 DID YOU GUYS TEST TO SEE WHETHER THOSE 08 DIFFERENCES ARE SIGNIFICANT? 09 DR. MAUDERLY: YES. IN THE PUBLISHED PAPERS ON 10 THIS, STATISTICS WERE DONE, ERROR BARS ARE GIVEN, ALL THAT 11 IS DONE. THIS IS SIMPLY A SUMMARY SLIDE TO SHOW THAT 12 13 THE TWO SPECIES RESPONDED DIFFERENTLY. DR. GLANTZ: OKAY. BUT WHEN YOU DID THAT, DID YOU 14 15 SHOW -- ARE THESE -- I MEAN, IT'S QUITE BELIEVABLE, BUT 16 THE -- THESE RATIOS YOU SHOW HERE ARE DIFFERENT FROM 1 17 THEN, SIGNIFICANTLY DIFFERENT FROM 1? 18 DR. MAUDERLY: YES, YES. DR. GLANTZ: OKAY. THANK YOU. 19 DR. MAUDERLY: WELL, NOT ALL OF THEM. CLEARLY SOME 20 21 OF THOSE ARE CLOSER TO 1. I MEAN, A 1.2 WOULDN'T BE 22 SIGNIFICANT, BUT THE FACT THAT THE TWO SPECIES HAD QUITE 23 DIFFERENT LEVELS OF INFLAMMATORY RESPONSE AND ANTI-OXIDANT 24 DEFENSES, THAT'S CLEARLY SIGNIFICANT. AND YOU KNOW, I CAN 25 REFER YOU TO THE PAPERS ON THAT. 0067 01 DR. GLANTZ: OKAY. THANK YOU. 02 DR. FROINES: JIM, JIM SEIBER. 03 DR. SEIBER: YES, THANK YOU. DR. MAUDERLY: MAYBE WE CAN HAVE THE SLIDES OFF ON 04 05 THE PROJECTORS THERE SO WE DON'T --06 DR. SEIBER: I HAVE A QUESTION FOR EACH OF THESE 07 PRESENTERS. CAN YOU HEAR ME OKAY?

08 YEAH, IT SEEMS A LITTLE WEAK, BUT I'LL GO 09 AHEAD, I'LL JUST SPEAK UP. 10 WITH REGARD TO EMISSIONS, DR. ZIELINSKA, I'M 11 CONCERNED OR INTERESTED IN EMISSION CHANGES OVER TIME. 12 BECAUSE IT SEEMS TO ME EMISSIONS ARE A FUNCTION OF A LOT 13 OF THINGS, THE TYPE OF VEHICLE, THE TYPE OF FUEL THAT YOU 14 USED, THE YEAR OF THE VEHICLE, AND OF COURSE, WEATHER 15 CONDITIONS, ET CETERA. 16 SOME OF THESE HAVE REALLY CHANGED THE 17 MATERIAL -- MATERIALLY OVER THE LAST FIVE, TEN YEARS, AND 18 WILL CONTINUE TO CHANGE. PARTICULARLY THE TYPE OF FUEL 19 AND THE EFFICIENCY OF THE ENGINE. 20 SO I WONDERED -- NOW -- NOW, MY CONCERN OR MY 21 INTEREST IS FRAMED BY A DRAFT STUDY THAT THE C.C.E.R.T. 22 GROUP HAS DONE AT THE UNIVERSITY OF CALIFORNIA, RIVERSIDE, 23 AND IT'S ONLY A DRAFT REPORT THAT WE WERE SHOWN, BUT THERE WAS SOME RATHER SIGNIFICANT DIFFERENCES IN WHAT COMES OUT 24 25 OF THE TAILPIPE, HOW MUCH P.A.H. IS EMITTED, AND THE 0068 01 MUTAGENICITY ASSOCIATED WITH THOSE EMISSIONS. 02 CAN YOU -- CAN YOU COMMENT IN -- IN FAIRLY 03 SPECIFIC TERMS, AS WELL AS YOU CAN, ON THOSE CHANGES AND 04 HOW THAT MIGHT AFFECT WHAT -- WHAT PEOPLE ARE EXPOSED TO 05 OUT IN THE AMBIENT ENVIRONMENT? DR. ZIELINSKA: CERTAINLY. THERE IS A LOT OF 06 07 CHANGES OCCURRING IN THE NEWER VEHICLE. BUT YOU HAVE TO 08 TAKE INTO ACCOUNT THAT WHAT WE ARE EXPOSED TO IS A MIXTURE 09 OF THINGS. VEHICLE ARE -- WE STILL HAVE HERE IN 10 CALIFORNIA VEHICLE FROM 20 YEARS OLD. 11 OKAY. SO IT'S -- WE CANNOT DISCARD THIS OLD 12 VEHICLE BECAUSE THEY -- BASICALLY, MOST OF THE EMISSION IS 13 COMING FROM THE OLDER VEHICLE, AS A MATTER OF FACT, AND 14 THIS IS THE SAME, NOT ONLY FOR GAS PHASE BUT FOR PARTICLE 15 AS WELL, ESPECIALLY FOR LIGHT-DUTY GASOLINE VEHICLE. 16 AND I WAS JUST TRYING TO SHOW THIS IN MY 17 PRESENTATION THAT MOST OF THAT 90 PERCENT OF EMISSION 18 PROBABLY COMES FROM LIKE 10 PERCENT OF THE VEHICLE FROM 19 P.M. -- AND SO THAT'S AN OLDER VEHICLE. 20 DR. SEIBER: WHAT ABOUT FUEL CHANGES THAT HAVE 21 OCCURRED IN THE LAST FIVE, TEN YEARS? 22 DR. ZIELINSKA: AS FAR AS OXYGENATED FUEL, IT 23 DOESN'T REALLY SEEMS TO AFFECT VERY MUCH THE PARTICLE 24 EMISSION FOR OLDER VEHICLE. 25 WE ARE GOING TO DO THE STUDY IN CONNECTION 0069 01 WITH N.F.R.A.Q.S. THIS YEAR, WHICH WE WILL BE TESTING 02 OXYGENATES IN THE FUEL; HOWEVER, OUR LAST YEAR DATA WERE 03 FROM DENVER WITH OXYGENATED FUEL. SO WE CAN -- WE CAN 04 KIND OF COMPARE THAT, AND WE DIDN'T SEE VERY MUCH 05 DIFFERENCE BETWEEN SUMMER AND THE -- AND THE WINTER. 06 WHAT IS IMPORTANT I THINK IS TO GROUP THIS 07 VEHICLE, LIKE WE DID IN DENVER, FOR EXAMPLE, STUDY, ON 08 OLDER VEHICLE -- EVEN NOT REALLY THE -- THE AGE, BUT HOW 09 THEY EMIT. LOW EMITTER, MEDIUM EMITTER, HIGH EMITTER, 10 COLD START, WARM START. WE HAVE PROFILES FOR EVERY ONE OF 11 THOSE, AND WE CAN COMPARE THAT. 12 DR. SEIBER: WHAT ABOUT THE DIESEL FUEL CHANGES

13 THAT HAVE OCCURRED? 14 DR. ZIELINSKA: I THINK SULFUR WAS THE MOST 15 IMPORTANT THING REALLY IN DIESEL FUEL CHANGE, AND WE DO 16 SEE THAT THERE'S NOT A LOT OF SULPHATES EMITTED ANYMORE ON 17 THE DIESEL. BEFORE IT WAS IN THE OLDER VEHICLE. YEAH, IT 18 WAS TRUE. 19 THE STUDY I WAS SHOWING FROM N.F.R.A.O.S. ALL 20 RAN ON THE WINTER FUEL. SO THE FUEL HERE WAS VERY 21 CONSISTENT. 22 I -- I THINK THAT P.A.H.'S, AS FAR AS 23 EMISSION RATES, OF COURSE, IS VERY MUCH DEPENDENT ON THE 24 TYPE OF VEHICLE AND CONDITION, BUT WEIGHT FRACTIONS, IT'S 25 MORE CONSISTENT. WE CAN DO SOME GROUPING OF VEHICLE. 0070 01 HOWEVER, I'M NOT SO SURE EXACTLY HOW THE 02 GEOGRAPHICAL AREA DIFFERENCES IN FUEL CAN REALLY AFFECT 03 TΤ. 04 DR. SEIBER: WELL, LET'S SAY, IF YOU DID A TEST OF 05 VEHICLE EMISSIONS FROM TEN YEARS AGO AND DID ONE TODAY, ON 06 THE AVERAGE, WHAT WOULD YOU SEE IN TERMS OF P.A.H. 07 COMPOSITION IN PARTICULATE MATTER FROM DIESEL BURNING 08 ENGINES? DR. ZIELINSKA: I THINK WE WOULD SEE MUCH LESS 09 10 EMISSIONS OF PARTICLES FROM NEWER TECHNOLOGY VEHICLES. 11 THERE IS A NEW CONCEPT, HOWEVER, COMING AND 12 THERE WAS SOME LATER STUDY PUBLISHED IN '96 THAT -- THAT 13 THE NEW ENGINE DESIGN ON THE -- ESPECIALLY ON THE 14 HEAVY-DUTY DIESEL, TENDS TO PUT MORE FINE PARTICLES --15 ULTRAFINE PARTICLES, WHICH IS A CONCERN CURRENTLY 16 OCCURRING. 17 OKAY. YOU HAVE LESS MASS, BUT YOU HAVE MORE 18 PARTICLES, MUCH SMALLER PARTICLES AS A MATTER OF FACT. 19 BUT THIS IS SOMETHING WHICH COMING UP RIGHT NOW, AND 20 BASICALLY THERE'S NOT ENOUGH DATA YET CONCERNING THAT. 21 DR. SEIBER: THE SECOND QUESTION FOR DR. MAUDERLY, 22 GIVEN THAT THE PARTICLES CONSIST OF BOTH ELEMENTAL CARBONS 23 SOME INORGANICS AND SOME ORGANICS, IS IT POSSIBLE THAT THE 24 RESPONSE THAT YOU SEE IN RATS ARE DUE TO A COMBINATION OR 25 IS IT STRICTLY A PHYSICAL PARTICLE ASSOCIATED OR COULD --0071 01 COULD THERE BE A ROLE FOR THE ASSOCIATED ORGANIC AND 02 INORGANIC FRACTION? 03 DR. MAUDERLY: WELL, I GUESS THE BEST ANSWER TO 04 THAT IS CERTAINLY THERE COULD BE. THAT IS, IF WE ARE STARTING OUT AND WE DIDN'T HAVE DATA, AND -- AND WE WERE 05 06 HE SEEING A TUMOR RESPONSE AS WE DID WHEN WE WERE SEEING 07 THIS FIRST TEN YEARS AGO OR SO, IT -- IT'S VERY PLAUSIBLE 08 TO ASSUME THAT THE ORGANIC FRACTION, AND ITS MUTAGENIC 09 ACTIVITY, D.N.A. ADDUCTION AND ALL THESE SORTS OF THINGS 10 IS PLAYING A ROLE IN THE RESPONSE. OKAY. 11 THE DATA THAT WE HAVE THOUGH FROM STUDIES 12 THAT WERE DESIGNED TO TEST THAT HYPOTHESIS AS BEST WE 13 COULD SUGGESTS THAT IF THERE IS A ROLE OF THE ORGANIC 14 FRACTION IN THE RAT TUMOR RESPONSE, IT'S NOT EVIDENT. 15 IT'S NOT EVIDENT EITHER IN THE -- SLOPE OF THE INFAMOUS 16 CURVE WE WERE LOOKING AT OR IN THE TUMOR COUNTS WHEN YOU 17 COMPARE CARBONACEOUS MATERIAL TOTAL MASS AGAINST TOTAL

18 DIESEL SOOT, THE RESPONSE IS JUST AS LARGE WITH CARBON 19 BLACK OR WITH TITANIUM DIOXIDE, SOMETHING LIKE THAT. 20 NOW, IF WE ASK IT ANOTHER WAY AND SAY, WELL, 21 THAT TOTAL SOOT MASS THAT YOU'RE COMPARING ON CONSISTS OF 22 BOTH ORGANIC AND INORGANIC, SO DOES THE ORGANIC, TO THE 23 EXTENT THAT IT'S NOT RELEASED FROM THE PARTICLES, AND 24 THAT'S ANOTHER THING WE DON'T UNDERSTAND WELL IS THE 25 EXTENT TO WHICH IT'S BIOAVAILABLE IF YOU WILL, DOES --0072 01 DOES IT PLAY A ROLE JUST BY CONSTITUTING SOME PORTION OF 02 THAT TOTAL MASS, THAT FOREIGN OBJECT IN THE LUNG. AND I 03 WOULD ASSUME THAT IT PROBABLY WOULD. 04 BUT -- BUT THE POINT THAT I WAS MAKING, AND 05 AT LEAST THE LEVEL OF OUR UNDERSTANDING TODAY, IS THAT 06 WE'RE NOT ABLE TO DETECT A -- A DIFFERENCE IN RESPONSE 07 THAT WOULD SUGGEST THAT THE ORGANIC FRACTION IS PLAYING A 08 ROLE IN THIS HIGH-DOSE-RESPONSE IN RATS. SO I DO NOT --09 DR. SEIBER: SO IT'S OVERWHELMED THEN BY THE 10 PARTICLE EFFECT. IS THAT WHAT YOU'RE SAYING? 11 DR. MAUDERLY: IT'S EITHER OVERWHELMED OR IT'S NOT 12 THERE. DR. SEIBER: ALL RIGHT. IT'S NOT THERE. 13 14 DR. MAUDERLY: I DON'T KNOW. D.N.A. ADDUCT STUDIES 15 HAVE SHOWN THAT THERE ARE D.N.A. -- SIMILAR D.N.A. ADDUCT 16 INCREASES BY PARTICLES WITH AND WITHOUT ORGANICS, AND 17 THOSE ARE INCREASES IN ADDUCTS THAT EXIST NORMALLY. SO 18 THAT -- THAT -- WE THOUGHT THAT WOULD RESOLVE THE ISSUE, 19 BUT IT HASN'T RESOLVED THE ISSUE. 20 BUT THAT DOES NOT MEAN -- I MEAN, THAT DOES 21 NOT PROVE THAT THE -- THAT THERE NOT RISK FROM THAT 22 ORGANIC FRACTION IN HUMANS. IT JUST STRONGLY SUGGESTS, 23 CONVINCINGLY TO ME, THAT THAT FACTOR IS NOT PLAYING A ROLE 24 IN THE HIGH-DOSE RAT RESPONSE. 25 DR. SEIBER: THANK YOU. 0073 DR. FROINES: BUT THAT'S NOT ENTIRELY TRUE, JOE, 01 02 WHEN YOU TAKE INTO ACCOUNT NITRO P.A.H. ADDUCTS. THAT THERE ARE ADDUCTS THAT'S ARE ASSOCIATED -- THAT ARE NOT 0.3 04 THE, QUOTE, "TYPICAL ADDUCTS," THAT YOU'RE TALKING ABOUT. 05 DR. MAUDERLY: THERE'S ONLY ONE STUDY THAT I KNOW 06 THAT THOUGHT THEY IDENTIFIED AN ADDUCT THAT MIGHT NOT BE 07 WHAT RANDERATH (PHONETIC) WOULD CALL AN "I" COMPOUND OR 08 THE NORMAL SPOTS, IF YOU WERE, AND THAT WAS A GERMAN STUDY 09 AND I -- AND THERE'S BEEN NO FOLLOW UP ON THAT. I DON'T 10 KNOW WHAT THE STATUS OF THAT IS. 11 BUT IN THE OTHER STUDIES THAT HAVE BEEN DONE, 12 THERE ARE A CLEAR INCREASE IN ADDUCTS, ALTHOUGH THAT THERE 13 IS NOT A PROGRESSIVE DOSE-RELATED INCREASE, BUT THE 14 INCREASES ARE IN BULKY ADDUCTS THAT ARE -- THAT ARE 15 REPRESENTED NORMALLY. 16 BUT CLEARLY THERE ARE -- THERE ARE COMPOUNDS IN DIESEL EXHAUST THAT -- THAT ARE VERY PLAUSIBLE TO INCUR 17 18 RISK FROM -- FROM D.N.A. DAMAGE. THAT'S NOT MY POINT AT 19 ALL. 20 DR. FROINES: LET ME -- LET ME STOP EVERYONE. PAUL 21 HAD A QUESTION AND KATHIE DID. WERE THERE OTHER QUESTIONS 22 FOR JOE OR BARBARA? PETER HAD ONE, THE STAFF HAD ONE, AND

23 I HAVE ONE. 24 WE HAVE TOO MANY QUESTIONS, AND WE ARE 25 ALREADY FINISHED OUR BREAK WITHOUT HAVING TAKEN IT. 0074 01 SO SOME -- I THINK WHAT I'M GOING TO HAVE TO 02 DO IS EXERCISE SOME LEADERSHIP HERE, AND I THINK WE'LL 03 TAKE OUR BREAK, AND WE'LL JUST HAVE TO GET TO THESE 04 QUESTIONS AS THE DAY PROGRESSES SOMEHOW. 05 I THINK THAT THERE ARE SOME IMPORTANT ISSUES, 06 AND I THINK THE OUESTIONS NEED TO BE ASKED, BUT WE'LL TRY 07 AND FILTER THEM IN. AND SO PEOPLE WHO HAVE QUESTIONS, 08 WOULD YOU WRITE THEM DOWN BECAUSE AS THE DAY GOES ALONG 09 YOU MAY FORGET. BUT I THINK FOR NOW WE SHOULD TRY AND 10 STICK ON SCHEDULE, AND WE'LL TAKE A 15-MINUTE BREAK, AND 11 WE'LL BE RUNNING A LITTLE BIT LATE. AND EVERY SPEAKER SHOULD BE AWARE THAT WE'RE 12 13 RUNNING A LITTLE BIT LATE NOW. SO LET'S TRY AND STAY 14 WITHIN THE 15- TO 20-MINUTE GUIDELINES, AND SO LET'S TAKE 15 A BREAK. AND BILL SAYS THAT IT'S THROUGH THIS DOOR; IS 16 THAT CORRECT? I DON'T KNOW WHAT YOU MEAN WHEN YOU JUST 17 SHAKE YOUR FINGER. PUT IT INTO WORDS. THERE'S COFFEE 18 BEHIND THAT DOOR. OH, PARDON ME, IT'S A -- IT'S FOR THE PANEL AND SPEAKERS. AND IT'S THE REST OF YOU WILL HAVE TO 19 GO TO THE FIRST FLOOR AND FIND MACHINES AND MAKE DO THE 20 21 BEST YOU CAN. SORRY. 22 (BREAK) 23 DR. FROINES: OKAY. THERE ARE CONSIDERABLE 24 QUESTIONS. IF WE DON'T GET TO THOSE QUESTIONS SOMEHOW, WE 25 WILL DEFINITELY GET TO TRY AND GET ANSWERS TO THEM BETWEEN 0075 01 NOW AND APRIL. AND I'M HOPING WE CAN GET TO EVERYTHING 02 TODAY. BUT WE'LL JUST HAVE TO SEE HOW TIME PROGRESSES. 03 OUR NEXT SPEAKER -- AND THIS BEGINS THE 04 SECTION ON EPIDEMIOLOGY, IS -- OUR FIRST SPEAKER IS 05 ERIC GARSHICK, WHO IS ERIC GARSHICK M.D., WITH A MASTER OF 06 OCCUPATIONAL HEALTH. ERIC WAS, AS YOU REMEMBER, THE LEAD IN THE EARLIER RAILROAD STUDY, AND HE IS CURRENTLY AT THE 07 08 VETERAN'S AFFAIRS MEDICAL CENTER IN WEST ROXBURY, 09 MASSACHUSETTS, AND SO WITH NO FURTHER INTRODUCTION, ERIC. 10 DR. GARSHICK: THANK YOU. THANK YOU, JOE. THANK 11 YOU FOR INVITING ME. 12 AND THIS IS, AGAIN, A VERY LARGE DOCUMENT, 13 AND I'VE REVIEWED AS BEST AS I CAN IN THE TIME AVAILABLE, 14 THE SECTION OF THE DOCUMENT THAT WERE RELEVANT TO HUMAN 15 EPIDEMIOLOGY AND THE RISK ASSESSMENT. 16 SO AN OVERVIEW OF WHAT I'M GOING TO TALK 17 ABOUT TODAY IS OTHER GAPS IN THE EPIDEMIOLOGIC STUDIES, 18 WHAT ARE THE LIMITATIONS OF THESE STUDIES FOR RISK 19 ASSESSMENT, AND WHAT OTHER RESEARCH NEEDS, BOTH IN THE 20 RAILROAD WORKER COHORT AND OTHER STUDIES. 21 NOW, WHAT ARE THE RESULTS OF THE 22 EPIDEMIOLOGIC STUDIES? AND THERE WERE THE INCREASE RISK 23 OF LUNG CANCER IN WORKERS WHOSE JOB TITLES INDICATE THERE 24 HAS BEEN OCCUPATIONAL EXPOSURE. AND THE RELATIVE RISK 25 APPEARS TO BE IN THE 1.2 TO 1.5 RANGE, OR 20 TO 50 PERCENT 0076 01 EXCESS AND TO MAKE THE CONSISTENT FINDING IN VARIOUS

02 STUDIES IN DIFFERENT OCCUPATIONAL GROUPS AS SUMMARIZED 03 IN VARIOUS META-ANALYSES, AND I THINK THAT IS A -- PEOPLE 04 GENERALLY AGREE WITH THESE FINDINGS. 05 NEXT SLIDE, PLEASE. 06 SO HOWEVER, FOR STUDIES OF LUNG CANCER, A 07 LATENCY PERIOD OF AT LEAST 10 YEARS OR MORE, AND 08 PREFERABLY GREATER THAN EOUAL 20 YEARS IS DESIRABLE IN 09 STUDYING THE EFFECT OF AN EXPOSURE SINCE THE EFFECT OF A 10 CARCINOGEN IN LUNG CANCER RATES IS NOTED MANY YEARS AFTER 11 FIRST EXPOSURE. 12 AND THERE IS A GAP IN THESE LITERATURE 13 CONCERNING THIS, AND FEW STUDIES IN DIESEL LITERATURE HAVE 14 CLEARLY REPORTED AN OCCURRENCE OF LUNG CANCER AFTER 15 20 YEARS OR MORE WELL-DOCUMENTED EXPOSURE. AND IT'S 16 REALLY NOT A FAULT OF ANY OF THE AUTHORS. IT'S JUST NOT BEEN POSSIBLE TO DO THIS BECAUSE IN GENERAL WHEN DIESELS 17 WERE INTRODUCED DURING THE -- DURING THE 1950'S IN THIS 18 19 COUNTRY. 20 AND WE'VE -- I'VE IDENTIFIED SEVEN STUDIES 21 THAT SEEM TO HAVE PRESENTING RESULTS OF WORKERS EXPOSED 22 20 OR MORE YEARS. I KNOW THE STAFF IDENTIFIED SIX 23 STUDIES, BUT THERE IS POSSIBLY A SEVENTH. 24 NEXT SLIDE, PLEASE. 25 NOW, FIRST OF ALL IN OUR STUDIES, THIS SLIDE 0077 01 SHOWS THE -- THE RATE THAT THE RAILROAD INDUSTRY CONVERTED 02 FROM STEAMED DIESEL LOCOMOTIVES BETWEEN 1946 AND 1959. IN 1952, ROUGHLY 55 PERCENT OF THE 03 04 LOCOMOTIVES WERE DIESEL POWERED, AND BY 1959, NEARLY ALL 05 THE LOCOMOTIVES WERE DIESEL. 06 THEREFORE, GOING BACK TO 1959, BY ABOUT 1952, 07 ROUGHLY ONLY ABOUT HALF THE COHORT WOULD HAVE BEEN EXPOSED 08 TO DIESEL FUMES ON AVERAGE. 09 NEXT SLIDE, PLEASE. 10 THE SIMPLEST WAY OF LOOKING AT THE RESULTS OF THE RETROSPECTIVE COHORT STUDY, PARTICULARLY GIVEN THE 11 LIMITATIONS IN THE EXPOSURE BEFORE 1959 IS TO EXAMINE THE 12 RELATIONSHIP BETWEEN JOB CATEGORY IN 1959 AND LUNG CANCER 13 14 MORTALITY THROUGH 1976. 15 AND THIS -- THE REASON WHY WE CHOSE 1976 IN 16 THIS PRESENTATION TODAY IS THE FACT THERE WERE 17 CONSIDERABLE NUMBER OF MISSING DEATH -- DEATH --18 UNDERASCERTAINMENT OF DEATHS IN YEAR 1977 THROUGH 1980, 19 AND THE WORKERS WHO ARE THE YOUNGEST IN 1959, AND THIS IS 20 THE RELATIVE RISK OF WORKING IN A DIESEL-EXPOSED JOB, 21 RELATIVE TO NOT WORKING IN A DIESEL-EXPOSED JOB IN THE 22 GROUPS SELECTED FOR STUDY IN THE COHORT, AND THESE 23 WORKERS, 1959, WOULD HAVE HAD THE GREATEST OPPORTUNITY TO 24 HAVE FUTURE DIESEL EXPOSURE THROUGH 1976; WHEREAS IF YOU 25 WERE OLDER IN 1959, YOU WOULD HAVE HAD LESS YEARS OF 0078 01 FUTURE EXPOSURE AHEAD OF YOU. 02 AND A BASIS FOR SELECTING JOB CATEGORY IN 03 1959 IS THAT THE JOB CATEGORIES IN THE RAILROAD INDUSTRY 04 TEND TO BE RELATIVELY STABLE. AND A JOB IN 1959 WOULD 05 HAVE BEEN PREDICTIVE OF FUTURES JOBS. 06 AND SO THE ADJUSTING FOR ATTAINED AGE AND

07 CALENDAR YEAR USING POISSON REGRESSION MODELS, THE OLDER 08 WORK -- THE YOUNGER WORKERS WOULD HAVE HAD AN INCREASED 09 RELATIVE RISK OF DYING OF LUNG CANCER THROUGHOUT THE FOLLOW-UP PERIOD OF 1959 TO 1976 WITH LESSER RISKS THAN 10 11 THE WORKERS WHO WOULD HAVE HAD LESS CHANCE FOR EXPOSURE, 12 AND THIS IS QUITE SIMILAR TO OUR PUBLISHED INFORMATION 13 WITH MORTALITY THROUGH 1980. 14 NEXT SLIDE PLEASE. 15 NOW, WHEN DIVIDED BY SPECIFIC OCCUPATIONAL 16 GROUPS IN JOB -- BASED ON JOB TITLE IN 1959, THE 17 ENGINEERS, AND FIREMEN, BRAKEMEN, CONDUCTORS, AND SHOP 18 WORKERS, ONE CAN SEE STILL WITH FOLLOW UP THROUGH 1976, 19 THAT THE WORKERS WHO ARE YOUNGER IN 1959 HAD THE GREATEST 20 RISK OF -- INCREASED RISK OF DYING OF LUNG CANCER, THESE 21 STARS ARE P-VALUES THAT I LEFT IN .05, AND THE POINT 22 ESTIMATES HERE THOUGH ARE GENERALLY HIGHER THAN THE 23 LOWER -- THE WORKERS WHO WERE YOUNGER, AND WITH SOME --24 SOME -- THIS IS SLIGHTLY HIGHER, AND IT'S POSSIBLY THAT 25 THAT REPRESENTS, JUST I MEAN, INACCURACY IN THE EXPOSURE 0079 01 HISTORIES WHERE THAT WE'RE USING JOB TITLES HERE FOR 02 EXPOSURE, BUT IN GENERAL IT LOOKED LIKE THE YOUNGER WORKERS HAD -- HAD THE HIGHER RISK. 03 04 A LOT OF THEM MADE ABOUT THE SHOP WORKER 05 GROUP WHERE THESE WORKERS DID HAVE HIGHER LEVELS OF 06 EXPOSURE BASED ON OUR SAMPLING; HOWEVER, THE WORKERS 07 SELECTED FOR INCLUSION, THE JOB TITLES WERE VERY GENERAL 08 JOB TITLES, AND THESE WORKERS WORKED IN OTHER NONDIESEL 09 SHOPS. SO THE EFFECT WOULD BE DILUTED OF ANY POSSIBLE 10 EFFECT OF DIESEL EXPOSURE. 11 NEXT SLIDE PLEASE. 12 NOW, IN THE CASE CONTROL STUDY, DEATHS WERE 13 COLLECTED BETWEEN 1981, 1982, OVER 12 MONTHS, AND WORKERS 14 WITH -- WHO WERE -- CASES WITH LUNG CANCER WHO WERE LESS 15 THAN 64 AT DEATH IN THE SERIES WHERE THERE WERE 16 MATCHED TO TWO CONTROLS, THEY HAD INCREASED RELATIVE ODDS OF DYING OF LUNG CANCER OF 1.41 WITH 20 YEARS OF EXPOSURE 17 18 COUNTING YEARS OF EXPOSURE STARTING IN 1959. 19 RECOGNIZING THAT EXPOSURE CATEGORIES -- AFTER 20 1959 WITH A SURROGATE FOR PRE-1959 EXPOSURE. AND USING 21 THE SAME WAY OF CLASSIFYING EXPOSURE WITH CASES GREATER 22 THAN 65 AT DEATH, THERE WAS NO ELEVATED RISK DUE TO WORK 23 IN A DIESEL-EXPOSE JOB, AND THESE RESULTS WERE ADJUSTING 24 FOR CIGARETTE SMOKING USING NEXT OF KIN CIGARETTE SMOKING 25 HISTORIES. 0080 01 NEXT SLIDE, PLEASE. 02 SO -- SO IN OUR STUDY, EVEN THOUGH THERE IS SOME INACCURACIES OF CATEGORIZING EXPOSURE BEFORE 1959, WE 03 04 DID HAVE WORKERS WITH MORE THAN 20 YEARS' EXPOSURE. 05 THE SECOND STUDY THAT LOOKS AT WORKERS WITH 06 MORE THAN 20 YEARS' EXPOSURE WAS PUBLISHED BY STEENLAND 07 AND CO-WORKERS, THEY ARE A TEAMSTERS UNION STUDY. AND 08 THEY FACE THE SAME LIMITATIONS THAT WE DID; THAT DIESEL 09 TRUCKS ARE GRADUALLY INTRODUCED IN THIS COUNTRY IN THE 10 1950'S AND EARLY 1960'S, WHEREAS IN THE LARGE COMPANIES 11 WERE LARGELY CONVERTED TO DIESEL BY 1960. AND THE DEATHS

12 WERE COLLECTED -- THE 1982 TO 1983. 13 SO AGAIN, ROUGHLY A LITTLE MORE THAN 20-YEAR 14 FOLLOW UP WHEN MOST OF THE COHORT WOULD HAVE BEEN EXPOSED. 15 THE POTENTIAL FOR OTHER WORKERS SOME, RATHER, DRIVERS AND 16 MECHANICS TO BE EXPOSED TO DIESEL BEFORE THEN DEPENDING ON 17 THE -- WHEN THEIR TRUCKING COMPANY CONVERTED TO DIESEL. 18 ALTHOUGH THAT WAS NOT SPECIFICALLY KNOWN IN THE STUDY. 19 BY USING TEAMSTER JOB HISTORY RECORDS, IF YOU 20 LOOKED AT LONG-HAUL DRIVERS WITH MORE THAN 20 YEARS OF 21 TEAMSTER MEMBERSHIP, THERE WAS AN INCREASED POINT ESTIMATE 22 OF THE RELATIVE ODDS OF THE LUNG CANCER. IF ONE LOOKED AT 23 THOSE WORKERS -- AND IT WAS INCREASED -- INCREASING RISK, 24 BUT INCREASING YEARS OF WORK. 25 IF ONE LIMITED WORK HISTORY TO THOSE WORKING 0081 01 AFTER 1959, THOSE WITH MORE THAN 18 YEARS OF EXPOSURE ALSO HAD AN ELEVATED RISK. AND THESE WERE ADJUSTED FOR 02 03 SMOKING. AND THESE STUDIES I'M QUOTING HERE, THIS STUDY 04 AND OUR STUDY IS THE ONLY STUDIES THAT HAD EXPOSURE 05 CHARACTERIZED BY -- BY SAMPLING, AIR SAMPLING. 06 NEXT SLIDE, PLEASE. 07 NOW, WHAT ABOUT THE LEVELS IN THE TEAMSTER 08 UNION STUDY, AND THIS -- THESE LEVELS WERE COLLECTED SOME TIME IN THE MID 1980'S BEST AS I CAN TELL FROM THEIR 09 10 PAPERS, AND THIS SLIDE TALKS ABOUT WHAT THE OVERALL RISK 11 WAS FOR LONG-HAUL DRIVERS, SHORT-HAUL DRIVERS. THESE 12 DRIVERS WOULD HAVE DRIVEN DIESEL TRUCKS, THESE DRIVERS 13 WOULD HAVE DRIVEN GASOLINE TRUCKS, MECHANICS, RELATIVE --14 R.R. IS RELATIVE RISK -- TRUCK MECHANICS, LOADING DOCK WORKERS, AND RESULTS OF SAMPLING DONE ALONG THE HIGHWAYS 15 16 AND RESIDENTIAL SAMPLES. 17 AND THEY SAMPLED FOR ELEMENTAL CARBON, AND 18 THEN THESE ARE OUR VALUES USING DATA IN THEIR PAPER THAT 19 CAN CONVERT THEM TO RESPIRABLE PARTICLES THAT WE'RE MORE 20 USED TO SEEING AND INTERPRETING LEVELS IN THIS RANGE. 21 AND YOU CAN SEE THAT -- YOU KNOW, A SAMPLING 22 DONE A GOOD -- OVER 20 YEARS AFTER THESE PEOPLE WERE PROBABLY EXPOSED TO THE EXPOSURE: THE LEVELS EXPERIENCED 23 24 BY TRUCK DRIVERS WERE IN THE RANGE OF 26, 25 MICROGRAMS 25 PER CUBIC METER; THE SHORT-HAUL DRIVERS HAD SIMILAR 0082 01 LEVELS, EVEN THOUGH THEY WEREN'T REALLY DRIVING DIESEL TRUCKS; MECHANICS HAD THE HIGHEST LEVELS WITH AN ELEVATED 02 RELATIVE RISK; THE DOCK WORKERS, THE LOADING DOCK WORKERS 03 0.4HAD ELEVATED LEVELS OF RESPIRABLE PARTICULATE, BUT HAD NOT 05 BEEN WORKING LONG ENOUGH TO SEE AN EFFECT PROBABLY. 06 THE DIESEL -- THE FORK -- THE EXPOSURE IS 07 BLAMED ON FORK-LIFT TRUCKS, AND THESE HAVE BEEN RECENTLY 08 INTRODUCED -- MORE RECENTLY INTRODUCED. RATHER, DIESEL 09 FORK-LIFT TRUCKS HAVE BEEN RECENTLY INTRODUCED. 10 HIGHWAY LEVELS WERE 17 MICROGRAMS PER CUBIC METER, 11 AND THIS SUGGESTED THAT PERHAPS MOST OF THE EXPOSURE HAD 12 COME FROM THE HIGHWAY RATHER THAN THE PARTICULAR TRUCK THE 13 DRIVER WAS DRIVING, EXPLAINING THESE -- THIS INFORMATION. 14 AND RESIDENTIAL LEVELS WERE -- WERE MUCH 15 LOWER. 16 THIS IS QUITE INTERESTING IF, IN FACT, THESE

17 LEVELS ARE RESPONSIBLE FOR INCREASE IN LUNG CANCER, IN 18 THAT IT -- YOU KNOW, IT SUGGESTS THAT DIESEL MAY BE 19 CONTRIBUTING TO SOME OVERALL ENVIRONMENTAL POOL. BUT 20 AGAIN, WE ARE LIMITED BY NOT KNOWING THE HISTORICAL LEVELS 21 OF EXPOSURE IN THESE -- IN THESE DRIVERS. 22 THERE ARE FOUR ADDITIONAL STUDIES THAT AREN'T 23 ACCOMPANIED BY EXPOSURE INFORMATION WHERE WORKERS WITH 24 MORE THAN 20 YEARS OF EXPOSURE HAVE AN ELEVATED RISK OF 25 LUNG CANCER, ALTHOUGH THESE ARE MUCH WEAKER STUDIES AND 0083 01 MUCH WEAKER WHERE THE EXPOSURE THE HISTORY IS NOT QUITE 02 AS -- QUITE AS WELL SORTED OUT. SO NOW, I'LL NOT REVIEW 03 THOSE HERE FOR TIME CONSTRAINTS. 04SO THE CONSISTENCY OF THESE RESULTS SUGGESTS 05 THAT THE RESULTS ARE LIKELY TO BE EXPOSED -- EXPLAINED BY 06 EXPOSURE TO DIESEL EXHAUST AND NUMEROUS BODIES HAVE 07 PRESENTED A STATEMENT WORDED SIMILARLY TO THIS ONE ON THE 08 SLIDE. 09 AND IN THE -- I LOOKED AT THE WAY THE STAFF 10 HAS WORDED THEIR QUALITATIVE COMMENT, AND IT'S QUITE 11 SIMILAR TO THE COMMENTS OF H.E.I., W.H.O., AND I.A.R.C. 12 AND YOU KNOW, THIS OVERALL CONCLUSION BASED ON WHAT'S IN THE EPIDEMIOLOGY IS NOT IN LINE WITH OTHER BODIES. 13 14 HOWEVER, THE MOST IMPORTANT FACTORS THAT 15 LIMIT THE USE OF MORE DEFINITIVE LANGUAGE IS THE LACK OF 16 THE ABILITY TO LINK ACTUAL EXPOSURE TO OUTCOME IN STUDIES 17 OF WORKERS WITH WELL-DOCUMENTED EXPOSURE OVER 20 TO 30 18 YEARS OR MORE, GIVEN WHAT WE KNOW ABOUT HUMAN LUNG CANCER 19 BIOLOGY. 20 AND FOR RISK ASSESSMENT, AN ASSESSMENT OF 21 HISTORICAL EXPOSURE NEEDS TO BE AVAILABLE TO TRY TO LINK 22 ACTUAL LEVEL EXPOSURE TO CANCER OUTCOME. 23 NEXT SLIDE, PLEASE. 24 SO WHAT ABOUT THE RAILROAD WORKER 25 EXPOSURE-RESPONSE RELATIONSHIPS? IN PUBLISHED PAPERS, WE 0084 INITIALLY STARTED COUNTING EXPOSURE AT 1959 BECAUSE OF THE 01 02 UNCERTAINTY OF PREDICTING WHO ACTUALLY WAS EXPOSED BEFORE 03 1959. 04 WE CURRENTLY BELIEVE THAT ACCOUNTING FOR 05 EXPOSURE BEFORE 1959 IS IMPORTANT IN UNDERSTANDING THE 06 EXPOSURE-RESPONSE RELATIONSHIP IN THIS -- IN THIS COHORT. 07 NEXT SLIDE, PLEASE. NOW, THERE IS SOME SPECIFIC COMMENTS ABOUT 08 09 THE DOSE-RESPONSE ANALYSES PRESENTED IN THE -- IN THE 10 DOCUMENT. THIS IS BASED ON THE CASE CONTROL STUDY, AND IN THE DOCUMENT, AS FAR AS I CAN TELL, THE RISK OF LUNG 11 CANCER -- THE RISK OF LUNG CANCER ESTIMATED FROM OUR PAPER 12 WAS ASSUMED TO INCREASE OVER 20 YEARS BASED ON OUR 13 14 REGRESSION RESULTS. AND THIS IS WHAT WAS USED TO ESTIMATE 15 THE RISKS OF OVER 20 YEARS OF EXPOSURE IN THE CASE CONTROL 16 STUDY IN THE DOCUMENT. 17 HOWEVER, BECAUSE OF THE 1959 EXPOSURE --18 EXPOSURE BEFORE 1959, THE RISK ACTUALLY ACCUMULATED OVER 19 20 TO 30 YEARS, DEPENDING ON THE LIKELIHOOD THAT WORKER 20 WAS EXPOSED, WHICH OF COURSE, WE'RE -- FOR INDIVIDUAL 21 WORKER, UNCERTAIN OF.

22 THEREFORE, IN THE DOCUMENT, THE CALCULATED 23 SLOPE MAY THEN OVERESTIMATE THE RISK BASED ON THE RISK 24 ASSESSMENT BASED ON CASE CONTROL DATA. 25 NEXT SLIDE, PLEASE. 0085 01 NOW, GOING TO THE COHORT STUDY. THIS GRAPH 02 ILLUSTRATES THE RELATIONSHIP BETWEEN OBSERVED OVER 03 EXPECTED DEATHS WITH YEAR OF DEATH IN THE RETROSPECTIVE 04 COHORT STUDY WITH INITIALLY THE OBSERVED OVER EXPECTED 05 DEATHS STARTING AT ABOUT .08 -- .8, RATHER, CONSISTENT 06 WITH THE HEALTHY-WORKER EFFECT, AND THEN AS THE WORKERS 07 AGE, THE OBSERVED OVER EXPECTED RATES BASED ON U.S. 08 NATIONAL RATES BECOMING ABOUT 1. AND CAN YOU SEE THEN IN 09 ABOUT 1977 THE RATES DROPPING OFF, SUCH THAT BY 1980, THE 10 RATIO OF OBSERVED TO EXPECTED IS ROUGHLY ABOUT .3, AND FOR THIS REASON, WE'VE -- WE AGREE IT'S IMPORTANT TO TRUNCATE 11 12 FOLLOW UP WITH THIS COHORT IN 1976. 13 HOWEVER, YOU CAN ALSO SEE IF ONE WAS EXPOSED 14 AT ABOUT THIS TIME PERIOD, 1959 OR BEFORE, YOU WOULD LIKE 15 TO BE LOOKING AT ABOUT HERE TO SEE EVIDENCE OF SOME -- OF 16 SOME RESPONSE, AND UNFORTUNATELY, WE CAN'T -- WE CAN'T DO 17 THAT ACCURATELY. NEXT SLIDE, PLEASE. 18 19 NOW, IN THE FIVE-YEAR LAG MODELS IN OUR 20 PUBLISHED WORK AND OUR WORK DONE BY A.R.B. AND DR. CRUMP, 21 A MODEL OF EXPOSURE WAS ADAPTED THAT IGNORES EXPOSURE IN 22 THE YEAR OF DEATH IN THE PRECEDING FOUR YEARS AS 23 CONTRIBUTING TO MORTALITY. AND IF YOU LOOK AT THE GROUP 24 IN OUR WORK AND WORK TALKED ABOUT IN THE DOCUMENT, WITH 25 THE MOST EXPOSURE POSSIBLE, COUNTING AFTER 1959, WITH 15 0086 01 TO 17 YEARS' EXPOSURE, THESE DEATHS ONLY COULD HAVE 02 OCCURRED IN 1978 TO 1980. REALLY ONLY, YOU KNOW, A VERY 03 FEW CELLS IN THE FIRST YEARS OF FOLLOW UP. 04 THEREFORE THE MISSING DEATHS IN 1977 AND 05 1980, AGAIN, PRECLUDE AN ACCURATE RISK ASSESSMENT USING 06 DEATHS IN THESE YEARS, AND WE'RE GLAD THEY'VE BEEN 07 EXCLUDED. 08 HOWEVER, THESE YEARS OF FOLLOW UP ARE 09 IMPORTANT FOR RISK ASSESSMENT BECAUSE THE WORKER WHO HAS 10 THE GREATER DURATION OF EXPOSURE WOULD BE EXPECTED TO HAVE 11 DEATH IN THESE YEARS. 12 NEXT SLIDE, PLEASE. 13 NOW, JUST SOME SPECIFIC QUESTIONS I HAD ABOUT 14 SOME OF THE ANALYSES PRESENTED IN THE PAPER REGARDING THE 15 COHORT STUDY EXPOSURE-RESPONSE. YOU KNOW, IN REGARDS TO THE MODELING OF RISK, 16 WE STILL FEEL THAT THE USE OF FIVE-YEAR AGE -- ATTAINED 17 18 AGE CATEGORIES IS MORE DESIRABLE THAN TEN-YEAR AGE CATEGORIES, RATHER THAN AGE IN 1959, AND THE USE OF 19 20 TEN-YEAR AGE CATEGORIES IS USED IN THE DOCUMENT. 21 THERE'S A FIGURE 7-3, WHICH RELATES YEARS OF 22 EXPOSURE TO RELATIVE RISK, SHOWING A POSITIVE 23 DOSE-RESPONSE, AND WE'RE WONDERING IF ACTUALLY GIVEN THE 24 NUMBER OF YEARS THAT'S LISTED AS EXPOSURE, 25 YEARS, 25 WHETHER -- RATHER THAN THE -- WHETHER EXPOSURE ACTUALLY 0087

01 STARTED IN 1952 TO 1959 AS INDICATED ON THE GRAPH, AND 02 WE'RE ALSO WONDERING AT THE SAME POSITIVE DOSE-RESPONSE 03 RELATIONSHIP WOULD HAVE BEEN FOUND IF FIVE-YEAR AGE 04 CATEGORIES WERE USED TO ADJUST FOR AGE. 05 AND THE OTHER COMMENT IS THAT IT LOOKS LIKE 06 EXPOSURE ACCUMULATED -- EXPOSURE WAS STARTING TO BE 07 ACCUMULATED IN 1952, RATHER THAN BEFORE 1952. ALTHOUGH IN 08 THE APPENDIX, EXPOSURE DATING BACK EARLIER IN THE COHORT 09 WE USED IN SOME OF THE ANALYSES, IT'S NOT CLEAR IF A WHOLE 10 YEAR OR A FRACTION OF A YEAR BASED ON PERCENT DIESEL WAS 11 ADDED TO THE EXPOSURE. AND NOT CONSIDERING EXPOSURE 12 BEFORE 1952, THEN WOULD IGNORE EXPOSURE FOR 45 PERCENT OF 13 THE COHORT. 14 NOW, IT WAS LESLIE STAYNER FROM N.I.O.S.H., 15 WE EXPLORE A MONTE CARLO TO ASSESS THE UNCERTAINTY OF 16 PRE-1959 EXPOSURE. 17 IN THIS GRAPH, WE RANDOMLY ASSIGNED EXPOSURE 18 BASED ON PERCENT DIESEL IN ANY YEAR FOR THOSE WORKERS 19 WHOSE JOB'S STARTING DATE INDICATED THEY WERE WORKING. 20 AND THIS WAS DONE A THOUSAND TIMES, AND FOR 21 EACH SIMULATION A QUASI MODEL WAS FIT STRATIFYING ON 22 ATTAINED AGE IN FIVE-YEAR AGE GROUPS IN A CALENDAR YEAR, 23 AND DIVIDED THE YEARS OF FOLLOW UP INTO -- INTO 24 CATEGORIES. 25 AND WHEN WE LOOK AT EXPOSURE IN THIS WAY, 0088 01 THIS IS THE ZERO TO 7 YEARS, 7 TO 11 YEARS, 11 TO 14 02 YEARS, 14 TO 18 YEARS, AND GREATER THAN 18 YEARS, THE 03 SLOPE LOOKING -- LOOKING AT YEARS OF EXPOSURE APPEARS 04 RELATIVELY FLAT, WHEREAS IF YOU WOULD MODEL YEARS OF 05 EXPOSURE USING A CONTINUOUS VARIABLE STARTING FROM ZERO 06 YEARS, THE REGRESSION LINE APPEARS TO BE ANCHORED IN ZERO, 07 JUST GIVING A POSITIVE SLOPE. 80 SO WE ARE -- WE ARE JUST SHOWING THIS TO 09 POINT OUT THE UNCERTAINTIES OF THE ANALYSES AND OF 10 ASSIGNING THE SLOPES TO THESE DATA WITH CONFIDENCE AT THIS 11 TIME. 12 NEXT SLIDE, PLEASE. 13 NOW, WHAT ABOUT RAILROAD WORKERS HISTORICAL 14 ASSESSMENT, AND JUST TO GO THROUGH THIS RELATIVELY 15 OUICKLY, WE'VE IDENTIFIED -- IT'S VERY IMPORTANT TO 16 IDENTIFY WHEN THESE WORKERS STARTED -- DIESEL EXPOSURE 17 ACTUALLY STARTED. 18 AND THERE ARE HISTORICAL RECORDS ABOUT THE 19 TRANSITION TO DIESEL AND A CHANGE IN ROSTER THE RAILROADS 20 OVER TIME THAT ARE AVAILABLE. WE HAVE ACTUALLY ON DATA 21 TAPE THE LAST RAILROAD EMPLOYER AVAILABLE. AND THAT 22 WOULD PERMIT ESTIMATION OF THE START DATE OF EXPOSURE FOR 23 WORKERS. 24 WE'VE ALSO IDENTIFIED RECORDS DESCRIBING 25 EMISSION FACTORS AND FUEL CONSUMPTION FOR VARIETY OF 0089 01 ENGINES THAT MIGHT PERMIT A BETTER ESTIMATION OF 02 HISTORICAL EXPOSURES, AND THIS IS ONE WAY OF GETTING A 03 BETTER HANDLE ON RAILROAD EXPOSURE IN THE PAST. 04 NEXT SLIDE, PLEASE. 05 SO WHAT ARE THOSE LIMITATIONS? THE DEATH

06 INFORMATION AVAILABLE FOR 1976 AND FOLLOW UP WOULD IMPROVE 07 THE DESCRIPTION ON THE EXPOSURE-RESPONSE RELATIONSHIP WITH 08 MORE CONFIDENCE. THERE IS UNCERTAINTY OF THE EXPOSURE 09 ASSIGNMENTS PRE-1959, AND NO HISTORICAL MEASUREMENTS WERE 10 AVAILABLE, BUT THERE MAY BE A WAY OF DEALING WITH THIS. 11 AND CURRENTLY, THERE IS NO SPECIFIC MARKER OF EXPOSURE 12 MEASURED. THIS MIGHT BE SOLVED BY GOING BACK TO THE 13 RAILROADS AND DOING SOME ADDITIONAL SAMPLING USING THE 14 OLDER METHODS IN SAMPLING FOR ELEMENTAL CARBON. 15 LAST SLIDE, PLEASE. 16 SO WHAT ARE THE RESEARCH NEEDS? ONE IS TO 17 ASSESS THE LUNG CANCER RISK OVER A LONG PERIOD OF EXPOSURE 18 IN TIME SINCE FIRST EXPOSURE; EFFECTIVE EXPOSURE FROM THE 19 1960'S SHOULD BE DETECTIBLE IN LATE 1980'S AND BEYOND; 20 INCLUDES LARGE NUMBERS OF SUBJECTS OVER RANDOM EXPOSURES 21 TO DESCRIBE RISK; USE STATE OF THE ART EXPOSURE 22 MEASUREMENTS; AND CONDUCT AN EXTENSIVE RETROSPECTIVE 23 EXPOSURE ASSESSMENT TO LINK PERSONAL EXPOSURE TO OUTCOME. 24 THANK YOU. 25 DR. FROINES: WE ARE NOW INTENDING TO BRING 0090 01 TOM SMITH INTO THE PICTURE, LITERALLY. 02 HI, TOM. 03 DR. TOM SMITH: CAN YOU HEAR ME? 04 DR. FROINES: YES. 05 DR. TOM SMITH: OKAY. IT SOUNDED LIKE YOU CAN HEAR 06 ME. DR. FROINES: YES. CAN YOU HEAR US? 07 08 DR. TOM SMITH: YES. I CAN ACTUALLY EVEN SEE YOU. 09 IT'S A LITTLE BIT WEIRD. I FEEL LIKE THE NEWSCASTER OR 10 SOMETHING. ALL RIGHT. DR. FROINES: YOU HAVE ABOUT 15 MINUTES NOW. 11 12 DR. TOM SMITH: OKAY. I'LL SKIP TELLING YOU WHAT 13 HERE AND THEREFORE, AND JUST START WITH SAYING WHO I AM 14 AND WHAT I'M DOING. CAN YOU SEE THE PIECE OF PAPER THERE? 15 16 WHOOPS, JUST A SECOND. THIS HIGH TECH STUFF IS PRETTY --17 GOT IT. OKAY. 18 MY BACKGROUND IS THAT OF THE CO-INVESTIGATOR 19 WHO WAS IN CHARGE OF THE EXPOSURE ASSESSMENT FOR THE 20 GARSHICK STUDY. I'M THE GUY WHO WAS THE SECOND AUTHOR OF 21 MOST OF THOSE PAPERS. THE PEOPLE WHO WERE THE FIRST 22 AUTHOR DID THE HARD WORK, AND JUSTIFIABLY WERE FIRST. BY WAY OF MY BACKGROUND, I HAVE PERFORMED A 23 24 LOT OF DIFFERENT EXPOSURE ASSESSMENT STUDIES, AND THERE'S 25 A LIST SHOWN. FOR THOSE WHO MIGHT CARE, I HAVE OVER A 0091 01 HUNDRED PUBLICATIONS, AND MANY OF THOSE AS YOU MIGHT GUESS WERE CO-AUTHORED WITH SUSAN WOSKIE AND KATHIE HAMMOND. 02 WHAT I WANT TO DO IS REALLY TALK ABOUT TWO 03 04 ASPECTS OF THE RISK ASSESSMENT. I WANT TO TALK A LITTLE 05 BIT ABOUT THE ANIMAL ASPECTS OF THE WORK, AND I OBVIOUSLY 06 WANT TO SAY SOMETHING MORE ABOUT THE APPLICATION OF THE 07 GARSHICK STUDIES TO THE RISK ASSESSMENT. 08 FOR THE ANIMAL WORK, I HAD TWO SPECIFIC AREAS 09 THAT I WAS -- PERHAPS CONCERNED WITH IS A LITTLE TOO 10 STRONG, BUT AS LEAST INTERESTED THAT YOU CONSIDER THEM.

THE FIRST WAS THE USE OF THE RATIO OF THE RAT 11 12 ALVEOLAR DIMENSIONS TO THE HUMAN ALVEOLAR DIMENSIONS, AND 13 THE SECOND ASPECT IS THE MODEL OF DUST OVERLOAD. 14 LOOKING AT -- WELL, NOW, I'LL TALK ABOUT THE 15 GARSHICK STUDY IN A MINUTE. FOR THE FIRST PART OF THE ANIMAL CONCERNS, IT 16 17 SEEMS TO ME THAT THERE WAS A PROBLEM WITH THE WAY THE RISK 18 ASSESSMENT PRESENTED THE SCALING GOING FROM THE RAT LUNG 19 TO THE HUMAN LUNG. 20 THE GOAL IS REALLY TO LOOK AT THE DOSE AT THE 21 SITE OF ACTION OF THE PARTICULATE, THE DIESEL 22 PARTICULATES. IN THE RATS, THE SITE OF ACTION IS THE 23 ALVEOLI, AND WHAT WAS USED WAS THE RATIO OF THE RAT TO THE 24 HUMAN ALVEOLAR AREA. 25 I'M CONCERNED THAT THIS MAY UNDERESTIMATE THE 0092 01 ACTUAL RATIO OF THE DOSES BECAUSE HUMANS DON'T GET 02 ALVEOLAR TUMORS. THEY GET AIRWAY TUMORS, BRONCHIAL 03 TUMORS. AND AS A RESULT, IT MAY MAKE MORE SENSE TO LOOK 04 AT THE RATIO OF THE RAT ALVEOLI TO HUMAN AIRWAY SURFACE 05 BECAUSE I THINK IN THAT CASE, YOU WILL GET A MORE 06 APPROPRIATE RATIO OF THE -- THE DEPOSITION SITE AND THE TARGET AREA, WHICH WILL RAISE THE RISK RATIO, AND I 07 08 BELIEVE WILL MAKE IT MORE COMPARABLE TO THOSE SEEN IN THE 09 HUMAN STUDIES. 10 FOR THE MODEL OF DUST OVERLOAD, THERE IS A 11 POST-DOCTORAL FELLOW, WHO IS PERHAPS AN ASSISTANT 12 PROFESSOR NOW IN DR. FROINE'S LABORATORY, BY THE NAME OF 13 R.C. YU, NOT C.P. YU WHO DID THE MODELING WHICH WAS 14 REPORTED ON IN THE DOCUMENT. 15 HE DEVELOPED A MODEL USING WHAT ARE CALLED 16 MC CALLIS MENTEN (PHONETIC) TYPE KINETICS. THE 17 INTERESTING THING ABOUT THIS IS WITH ONE SIMPLE MODEL, HE 18 WAS ABLE TO DESCRIBE THE KINETICS OF THE RATS AND OTHER 19 ANIMALS TO A WIDE VARIETY OF TOXIC MATERIALS. IT'S A MUCH SIMPLER APPROACH THAN WAS USED BY 20 21 C.P. YU AND INVOLVED THE ESTIMATION OF MUCH FEWER 22 CONSTANTS. SPECIFICALLY, THE OVERLOAD CONDITION I BELIEVE 23 IS -- IS MISREPRESENTED AS A YES-NO KIND OF A CONDITION; 24 THAT IS, YOU EITHER ARE IN OVERLOAD OR NOT. 25 IT MAKES MORE SENSE WHEN YOU LOOK AT THE 0093 01 ANIMAL DATA TO THINK OF IT THAT THE DUST CLEARANCE GETS 02 PROGRESSIVELY SLOWER THE MORE DUST YOU HAVE TOTAL IN THE 03 LUNG, AND WE CAN TALK ABOUT THAT MORE IF SOMEBODY WISHES 04 TO. 05 GOING NEXT TO OUR STUDIES, THE GOAL OF THE 06 APPLICATION OF OUR STUDIES IN THE RISK ASSESSMENT IS TO ESTIMATE THE SLOPE FACTOR; THAT IS TO SAY, HOW MUCH RISK 07 08 DO YOU GET PER UNIT OF EXPOSURE. 09 THE CURRENT DOCUMENT HAS EXTENSIVE 10 DISCUSSIONS OF THE UNCERTAINTIES OR THE ERROR IN THE 11 MORTALITY SCALE, AND THERE ARE ERROR BARS PROVIDED FOR 12 EACH OF THE POINTS, AS YOU WILL RECALL FROM ERIC'S 13 PRESENTATION JUST A MINUTE AGO. 14 THE PROBLEM IS FROM MY PERSPECTIVE, THERE ARE 15 NO EQUIVALENT ERROR BARS OR UNCERTAINTIES INDICATED FOR

16 THE EXPOSURE SCALE. AND SINCE THE SLOPE, AS I'M SURE YOU 17 ALL KNOW, IS THE RATIO OF RISK OF DISEASE TO EXPOSURE 18 INTENSITY TIMES YEARS -- ASSUMING YOU'RE USING CUMULATIVE 19 EXPOSURE AS YOUR DOSE INDEX -- IT SEEMS TO ME THAT WHILE 20 THE YEARS PART ARE RELATIVELY -- READILY QUANTIFIED, IT'S 21 NOT SO EASY TO QUANTIFY THE EXPOSURE INTENSITY. AND BOTH 22 OF THEM ARE NEEDED TO GIVE A DOSE METRIC. 23 BECAUSE AS -- AS I BELIEVE YOU CAN SEE IN THE 24 MODEL THAT WAS USED -- I CAN'T RECALL THE EXACT NAME FOR 25 IT, WHETHER IT WAS THE ROOF FUNCTION OR THE -- I THINK IT 0094 01 WAS THE ROOF FUNCTION, THAT SHOWED A UP-AND-DOWN TYPE OF 02 RISK PROFILE. 03 WHAT THAT MEANS IS THAT EACH YEAR OF EXPOSURE 04 DOES NOT CARRY EQUIVALENT RISKS, IF YOU PRESUME THAT IT'S 05 A QUANTITATIVE RELATIONSHIP. 06 THAT SECONDLY, VARIATION IN INTENSITY OCCURS 07 ACROSS TIME, AND THE PROBLEM WITH OUR EXPOSURE ASSESSMENT 08 WAS THAT WE HAVE A NUMBER OF LIMITATIONS OF OUR STUDY, AND 09 THESE HAVE BEEN NOTED BY A LOT OF PEOPLE, AND ARE 10 DISCUSSED TO SOME DEGREE IN THE DOCUMENT. 11 ONE OF THE THINGS THAT MAY NOT BE FULLY CLEAR 12 IS THAT I HAVE NO PROBLEM WITH WHAT WE MEASURED BECAUSE I 13 BELIEVE THOSE NUMBERS ARE QUITE MEANINGFUL AND REPRESENT 14 WHAT WE SAW. 15 THE DIFFICULTY IS THERE WERE A LOT OF THINGS 16 THAT WE DIDN'T MEASURE. WE MADE -- WE HAD NO MEASUREMENTS 17 OF EARLY EXPOSURE CONDITIONS. WE HAD NO MEASUREMENTS OF 18 COMMON EQUIP TYPES, SUCH AS THE FIRST GENERATION OF 19 LOCOMOTIVES, OR EVEN THE THIRD GENERATION OF LOCOMOTIVES. 20 WE MADE NO MEASUREMENTS IN MANY AREAS, AND IN 21 FACT, MOST AREAS OF THE UNITED STATES. 22 WE HAVE NO MEASUREMENTS FROM THE LARGE 23 RAILROADS AS OPPOSED TO THE SMALL RAILROADS, AND IT'S NOT 24 INCONCEIVABLE THAT THERE COULD BE SYSTEMATIC DIFFERENCES 25 BETWEEN THEM. 0095 AS A RESULT, WE HAVE A PROBLEM KNOWING WHAT 01 02 THE FULL RANGE WAS OF EXPOSURES. WE OBSERVED A RANGE, BUT 03 WE CAN'T SAY WHETHER THIS REPRESENTS THE FULL RANGE. AND 04 AS A RESULT, WE COULD EASILY BE UNDERESTIMATING THE RANGE 05 OR WE COULD BE OVER ESTIMATING IT. AND WE JUST DON'T HAVE 06 ENOUGH INFORMATION TO -- TO SAY. IN CONCLUSION THEN, BECAUSE YOU REALLY WANT 07 08 PRECISE AND ACCURATE -- READ THAT UNBIASED -- ESTIMATE OF 09 CUMULATIVE EXPOSURE, THE MISSING INFORMATION MEANS YOU 10 CAN'T DO THAT. AND AS A RESULT, I BELIEVE THAT SUITABLE 11 ESTIMATES OF THE SLOPE FACTOR ALSO CANNOT BE MADE. AND SO THE QUESTION OF INTEREST HOW MUCH RISK 12 13 PER UNIT OF EXPOSURE CAN'T BE ANSWERED AT THIS TIME. 14 THANK YOU VERY MUCH, AND I'LL BE HAPPY TO 15 ANSWER QUESTIONS THAT ANYBODY MIGHT HAVE, WHOEVER IS OUT 16 THERE IN T.V. LAND. 17 DR. FROINES: THANK YOU, TOM. 18 CAN WE GET THE LIGHTS BACK UP AND --19 DR. TOM SMITH: SURE. 20 DR. FROINES: I ASSUME THAT ALLAN IS GOING TO SHOW

21 SLIDES, OVERHEADS, BUT WE'RE STILL GOING TO NEED THE 22 SCREEN. SO WE HAVE TO MAKE --DR. TOM SMITH: JOHN, WHAT DO YOU WANT ME TO DO? 23 24 I'M ASSUMING I NEED TO STAY AVAILABLE AND POSSIBLY ANSWER 25 QUESTIONS AND STUFF. 0096 01 DR. FROINES: YEAH, I THINK YOU SHOULD STAY 02 AVAILABLE, BUT WE NEED YOU OFF THE SCREEN. DR. TOM SMITH: THAT'S ALL RIGHT. IT DOESN'T HURT 03 04 WHEN YOU TAKE ME OFF. 05 DR. FROINES: YOU CAN GO BACK INTO THE MORE 06 WRITER'S CULTURE OF BOSTON AS OPPOSED TO OUR VISUAL 07 LOS ANGELES. 08 DR. TOM SMITH: ALL RIGHT. 09 DR. FROINES: OUR NEXT SPEAKER IS ALLAN SMITH FROM 10 THE UNIVERSITY OF CALIFORNIA AT BERKLEY, WHO IS KNOWN BY 11 EVERYONE I THINK, AND IS CERTAINLY WELL REGARDED IN 12 EPIDEMIOLOGY IN GENERAL, AND IN THIS PARTICULAR AREA IN 13 PARTICULAR. SO ALLAN. 14 DR. ALLAN SMITH: THANK YOU. 15 I MAINLY WANTED TO FOCUS TODAY ON THE ISSUE 16 OF DOES DIESEL CAUSE HUMAN CANCER, AND I THINK IT'S AT THE HEART OF A LOT OF THE ISSUES THAT SURROUND US. AND THEN I 17 18 WANT TO VERY BRIEFLY PRESENT A SIMPLE APPROACH TO RISK 19 ASSESSMENT BASED ON THE CONCLUSION THAT IT IS A CAUSE OF 20 HUMAN LUNG CANCER. 21 NOW, THIS IS MY FAVORITE ADAPTATION OF THE 22 CRITERIA OFTEN CALLED THE BRADFORD-HILL CRITERIA. 23 WHEN CONSIDERING WHETHER OR NOT AN AGENT 24 CAUSES DISEASE IN HUMAN STUDIES, WE NEED TO CONSIDER 25 WHETHER OR NOT FINDINGS MIGHT BE DUE TO CHANCE. WHETHER 0097 01 THEY MIGHT BE DUE TO SOME SORT OF BIAS. WHETHER THE 02 FINDINGS SHOW SOME CONSISTENCY PATTERN. 03 STRENGTH OF ASSOCIATION CAN HELP US, BUT IN THIS INSTANCE, WE ARE LOOKING FOR AN ASSOCIATION WHICH WE 04 05 BELIEVE AT PRESENT WOULDN'T BE CHARACTERIZED AS STRONG. IT DOESN'T MEAN TO SAY IT'S NOT REAL, BUT WE DIDN'T USE 06 THE STRENGTH OF ASSOCIATION AND VERY HIGH RELATIVE RISKS 07 08 TO HELP US HERE. 09 DOSE RESPONSE IS PERTINENT IN THAT WE WOULD 10 EXPECT TO FIND DOSE-RESPONSE RELATIONSHIPS, BUT AGAIN, IF 11 ONE'S LOOKING EVEN AT THE HIGHER DOSES KNOWN, RELATIVELY 12 LOW RELATIVE RISKS THAT MAY BE DIFFICULT TO QUANTIFY 13 BECAUSE THE IMPLICATION IS THAT WE CAN DETERMINE RELATIVE 14 RISKS THAT EVEN LOWER EXPOSURES THAN THE HIGH ONES THAT 15 HAVE LOW RELATIVES RISKS. SO THAT MIGHT BE DIFFICULT. TEMPORALITY IS IMPORTANT, ALTHOUGH I WOULD 16 17 SAY THAT I DON'T HAVE THE SAME CONCERN ABOUT LATENCY 18 HERE. 19 MOST OF US ARE -- WE'RE EITHER SMOKERS OR 20 PASSIVE SMOKERS, AND IN FACT THE CARCINOGENS AND THE 21 ACTIONS ARE SOMEWHAT SIMILAR TO CIGARETTE SMOKING, YOU 22 ONLY NEED A LATE EFFECT OF DIESEL. AND SINCE WITH 23 CIGARETTE SMOKING WITHIN FIVE YEARS OF STOPPING YOU GET 24 REDUCED RISKS, I WOULD BE QUITE CONTENT SAYING THAT THOSE 25 EVIDENCE JUST FOR TEN YEARS FROM FIRST EXPOSURE.

01 BUT THAT'S A ISSUE THAT RELIES ON THE FACT 02 THAT THE MAJORITY OF THESE WORKERS AND THESE COHORTS 03 ACTUALLY DID SMOKE, AND OTHERS THAT THEY DIDN'T WERE 04 EXPOSED TO PASSIVE SMOKING. SO IT MAY HAVE HAD THE EARLY 05 STAGES OF LUNG CANCER DEVELOPMENT ANYWAY PRESENT. 06 YOU DON'T HAVE TO HAVE THEN A TOTAL LONG 07 LATENCY PICTURE FOR AN INDIVIDUAL SOURCE OF LUNG 08 CARCINOGENIC AGENTS LIKE DIESEL EXHAUST. 09 AND FINALLY, I WANT TO TALK A LITTLE BIT 10 ABOUT PLAUSIBILITY. THE -- A LOT OF WHAT I'M SAYING IS IN 11 A PAPER THAT COME OUT THAT WE PUBLISHED IN THE JOURNAL OF 12 EPIDEMIOLOGY. IT CAME OUT EARLIER THIS YEAR, A 13 META-ANALYSIS OF DIESEL EXHAUST EXPOSURE AND LUNG CANCER. 14 AND IN META-ANALYSIS WE TRY TO PULL TOGETHER 15 INFORMATION TO HELP US WITH CAUSAL INFERENCE. I BELIEVE 16 THAT'S THE MAIN FUNCTION OF IT. I DON'T THINK IT ANSWERS THE CAUSAL QUESTIONS, BUT IT CAN HELP US WITH CAUSAL 17 18 INFERENCE WITH REGARD TO THE POINTS THAT I RAISED EARLIER. 19 WE IDENTIFIED 29 PUBLISHED COHORT AND CASE 20 CONTROL STUDIES. 23 MET THE INCLUSION CRITERIA THAT WE 21 HAVE IN THIS PAPER. 22 CERTAIN STUDIES WERE EXCLUDED, THE COAL AND 23 METAL MINERS BECAUSE OF POTENTIAL ROLE OF MULTIPLE 24 OCCUPATIONAL CARCINOGENS. THIS IS ESPECIALLY RADON. ALL 25 MINERS VIRTUALLY HAVE SOME EXPOSURE TO RADON, AND SO WE 0099 01 JUST LEFT SOME OF THOSE STUDIES OUT. 02AND STUDIES WITH INADEQUATE LATENCY WHICH WE 03 DEFINED FOR PURPOSES OF THIS POOLING IS LESS THAN TEN 04 YEARS FROM FIRST EXPOSURE, AND THEN STUDIES IN WHICH WORK 05 WITH DIESEL EQUIPMENT OR ENGINES COULD NOT BE CONFIRMED OR 06 RELIABLY INFERRED. 07 NOW, THE DETAILS ARE IN THE PUBLICATION, BUT 80 THE BOTTOM LINE OF THE FIRST PART, OUR FINDINGS DUE TO CHANCE, THE POOLED RELATIVE RISK ESTIMATE WAS 1.33, WITH 09 10 VERY NARROW CONFIDENCE INTERVALS OF 1.27 TO 1.4. AND ALSO IT WAS NOTEWORTHY THAT 21 OUT OF 23 11 12 STUDIES HAD RISK ESTIMATES GREATER THAN 1. THE LIKELIHOOD 13 OF THAT BEING DUE TO CHANCE OR THESE FINDINGS HERE BEING 14 DUE TO CHANCE ARE WELL UNDER 1 IN 1,000, DEPENDING ON 15 WHICH SPECIFIC WAY YOU WANT TO LOOK AT IT, BUT IT'S WAY 16 UNDER THAT. 17 SO I WOULD SAY THE LIKELIHOOD OF THESE 18 STUDIES ARE PRODUCING CHANCE FINDINGS, JUST FLUCTUATIONS 19 FROM CHANCE, WE'VE GOT A BIT OF A BLIP THERE, CAN BE RULED 20 OUT AS IMPLAUSIBLE EXPLANATION. 21 NOW, IF WE THEN SAY CHANCE IS NOT A 22 POSSIBILITY, THE SECOND AREA IS BIAS THAT WE WANT TO LOOK 23 AT. 24 THE MAIN ISSUE ABOUT BIAS THAT HAS BEEN 25 RAISED ON THESE STUDIES IS CONFOUNDING BIAS DUE TO 0100 01 SMOKING; HOWEVER, THE POOLED RELATIVE RISK ESTIMATES FOR 02 SMOKING ADJUSTED STUDIES AND UNADJUSTED STUDIES WERE VERY 03 SIMILAR, AS I'LL SHOW IN A MOMENT. 04 SECONDLY, THOSE STUDIES GIVING BOTH SMOKING

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05 ADJUSTED AND UNADJUSTED RISK ESTIMATES THERE'S ONLY A 06 SMALL REDUCTION IN THE POOLED RELATIVE RISK IN THOSE 07 STUDIES WHEN THEY WERE ADJUSTED FOR SMOKING. 08 AND THIRDLY, THE RELATIVE RISK ESTIMATE WAS 09 ACTUALLY HIGHER THAN THE OVERALL WAS 1.43 IN STUDIES WITH 10 INTERNAL COMPARISONS. THESE WERE STUDIES WHERE WITHIN THE 11 WORK POPULATION, WORKERS ARE BEING COMPARED WITH WORKERS, 12 AND WHERE IT'S LESS LIKELY THAT CONFOUNDING DUE TO SMOKING 13 COULD RESULT. 14 THIS HERE IF WE LOOK AT THE SMOKING ADJUSTED 15 STUDIES, THE POOLED ESTIMATE WAS 1.35 AND THOSE STUDIES 16 NOT ADJUSTED FOR SMOKING IT WAS 1.33. VIRTUALLY NO 17 DIFFERENCE. 18 NOW, THERE WAS FEWER STUDIES THAT GAVE WHAT I 19 LIKE TO SEE, AND THAT'S WHAT HAPPENS BEFORE AND AFTER YOU 20 ADJUST FOR SMOKING, AND THEY DO GIVE A MIXED PICTURE. THERE ARE ONLY FOUR OF THE -- RATHER, FIVE THAT HAVE THE 21 22 INFORMATION, AND THEY SURE JUMP AROUND. THIS ONE HAS 23 STAYED ABOUT THE SAME AFTER ADJUSTING FOR SMOKING, AND 24 THIS ONE IN EFFECT DISAPPEARED. THIS ONE IT REDUCED. 25 THIS ONE STAYED ABOUT THE SAME. THIS ONE WENT DOWN FROM 0101 01 ALMOST NO INCREASE, BUT THEY ARE VERY SMALL NUMBERS HERE. 02 NOW, OVERALL WHEN ONE POOLS THIS, THERE IS A 03 SMALL REDUCTION IN THE WEIGHT, AND FROM THAT 1.34 DOWN TO 04 1.26, BUT IT IS NOT, I THINK, SUFFICIENT TO BE WORRIED 05 ABOUT RESIDUAL CONFOUNDING GIVEN ALL THE OTHER INFORMATION 06 THAT I'VE PRESENTED ABOUT SMOKING. 07 THE OTHER ISSUE IS INFORMATION BIAS. THE 08 BIASES WHEN I TALK ABOUT THEM, I ALWAYS JUST DIVIDE THE 09 THREE CONFOUNDING INFORMATION AND SELECTION BIASES THAT BE 10 ONE. 11 IT MAINLY CONCERNS EXPOSURE 12 MISCLASSIFICATION, AND I JUST WANT TO NOTE THAT IN MOST 13 STUDIES, IT WOULD BE NONDIFFERENTIAL BETWEEN THE LUNG 14 CANCER PATIENTS AND OTHERS, THEREFORE REDUCING THE 15 RELATIVE RISK ESTIMATES. NOW, NOT ALL, BUT MANY OF THEM. 16 AND THEREFORE, IT'S NOT, IN MY VIEW, A CRITICAL ISSUE AS 17 FAR AS CAUSAL INFERENCE GOES. THE NEXT ONE IS SELECTION BIAS. AND HERE, IF 18 19 WE NOTE AGAIN, THE STUDY WITH INTERNAL COMPARISONS HAD 20 HIGHER RISK ESTIMATES, AND THEY ARE LESS LIKELY TO 21 SELECTION BIAS PROBLEMS. THEY ARE COMPARING PEOPLE WITHIN 22 THE SAME COHORT. 23 ANOTHER ONE IS THE HEALTHY-WORKER EFFECT, IN 24 WHICH WE TEND TO UNDERESTIMATE RISK, AND DR. GARSHICK 25 SHOWS A VERY NICE EXAMPLE OF THAT IN HIS OWN WORK. 0102 01 I WOULD NOTE, FOR EXAMPLE, ONE OF THE MAJOR STUDIES BY DR. WONG, ET AL., THE ALL CAUSE S.M.R. FOR ALL 02 03 DEATHS WAS 0.81, CLEARLY INDICATING A HEALTHY-WORKER 04 EFFECT. AND WHEN YOU ARE LOOKING FOR SMALL RISKS IN WORK 05 PLACE STUDIES, YOU MUST LOOK FOR THE HEALTHY-WORKER 06 EFFECT, AND IF THERE, I BELIEVE YOU MUST ADJUST FOR IT. 07 NOW, WE POOLED THE STUDIES BEFORE ADJUSTING 08 FOR IT, BUT I NOTE THAT IT HAS A MAJOR IMPACT ON SOME OF 09 THESE STUDIES WHEN YOU ADJUST FOR THE HEALTHY-WORKER

10 EFFECT. 11 IN THIS CASE, THE STUDY BY WONG, IF YOU LOOK 12 AT THE DURATION OF EXPOSURE LESS THAN 5 YEARS, 5 TO 9, 13 10 TO 14, THE S.M.R. SHOWS A TREND, BUT ONLY UP TO A 14 RELATIVE RISK ESTIMATE OF 1.07. 1.5 HOWEVER, SINCE THE OVERALL MORTALITY WAS AT 16 80 PERCENT, IT IS OUITE REASONABLE TO ADJUST THIS 17 ESTIMATE, AND WHEN YOU DO, ADJUST IN A HEALTHY-WORKER 18 EFFECT YOU GET 1.34, WHICH IS EXACTLY WHAT ALL THE OTHER 19 STUDIES ARE FINDING THAT HAVE THINGS LIKE INTERNAL 20 COMPARISONS WHERE YOU DON'T HAVE THAT SAME HEALTHY-WORKER 21 PROBLEM. 22 SO IN THE ARTICLE WE DID LOOK AT -- AND I 23 WANT TO GO THROUGH THEM, BUT THERE ARE ABOUT FOUR STUDIES 24 WHERE WE COULD ADJUST FOR THE HEALTHY-WORKER EFFECT, AND 25 THAT'S ALL PRESENTED THERE. 0103 NOW, THE NEXT CRITERION FOR CAUSAL INFERENCE, 01 02 I WANT TO CONSIDER AS CONSISTENCY ONLY VERY BRIEFLY. 21 03 OF THE 23 STUDIES, AS I MENTIONED BEFORE, HAD RELATIVE 04 RISKS GREATER THAN 1. MORE IMPORTANTLY -- WELL, IN ADDITION. I 05 06 WOULDN'T SAY MORE IMPORTANTLY, THE TWO STUDIES THAT DIDN'T 07 WERE THE TWO SMALLEST STUDIES. 08 SO IN MY VIEW, THESE STUDIES ARE HIGHLY 09 CONSISTENT. IN FACT, I WAS A LITTLE BIT GOING AT THE 10 META-ANALYSIS ALREADY THINKING ABOUT IT. I EXPECTED TO 11 FIND MORE INCONSISTENCIES THAN WERE ACTUALLY FOUND, AND 12 WITH REGARD TO CONSISTENCY, ALSO IN THE PAPER WE HAVE 13 WHAT'S CALLED A FUNNEL PLOT, WHERE YOU WERE LOOKING TO 14 LOOK AT THE LARGER STUDIES OVER THIS SIDE AND SEE IF THEY 15 HAVE DIFFERENT FINDINGS FROM THE SMALLER STUDIES WITH THE 16 VIEW TO LOOKING AT PUBLICATION BIAS. 17 YOU SEE, THE TWO SMALLER STUDIES HAD NO 18 INCREASE RELATIVE RISKS, BUT ALL THE OTHERS DID. AND ALSO 19 THERE IS NO EVIDENCE FROM THIS FOR PUBLICATION BIAS. AGAIN, IT IS IN THE ARTICLE FOR THOSE OF YOU 20 21 WHO WANT TO READ MORE ABOUT THAT ISSUE OF PUBLICATION 22 BIAS. 23 NOW, JUST BRIEFLY ON DOSE-RESPONSE, WE WOULD 24 LIKE TO SEE EXPOSURE-RESPONSE RELATIONSHIP. AS 25 DR. GARSHICK POINTED OUT, THERE WERE TWO STUDIES WITH 0104 01 ACTUAL MEASURES, BUT YOU CAN LOOK AT DURATION OF 02 EXPOSURE. 03 SO IT -- EITHER LOOKING AT MEASURES OR THE 04 DURATION, THERE ARE A VARIETY OF STUDIES THAT HAVE SOME INFORMATION THAT RELATES TO DOSE-RESPONSE, AND IN ALL BUT 05 06 ONE, AND ONLY IN A SUBSET OF ONE, THERE IS A TREND OF 07 INCREASING RISK. HERE YOU SEE .5, .7, 1.8, HERE 11.2, HERE 08 09 INCREASING -- HERE INCREASING IS DR. GARSHICK'S OWN 10 STUDIES, WHICH I WOULD JUST NOTE THAT IN EPIDEMIOLOGICAL 11 DATA, WHERE YOU'VE GOT MAJOR AGE FACTORS, CALENDAR TIMES, 12 VARIABLES, AND EXPOSURE RELATED TO CALENDAR TIME AND 13 DURATION. 14 IT'S VASTLY DIFFERENT FROM ANALYZING ANIMAL

15 STUDIES, AND YOU CAN'T TAKE MULTI-VARIATE MODELS AND THROW 16 THEM AT DATA LIKE THAT AND EXPECT TO GET ANYTHING OTHER 17 THAN FLIP-FLOPPING OF DOSE-RESPONSE RELATIONSHIPS. 18 SO I PERSONALLY FEEL STILL THAT SOME -- THE 19 INITIAL ANALYSES AND SOME THAT DR. GARSHICK HAS PRESENTED 20 DO SHOW THAT THERE IS A TREND WITHIN THAT COHORT, BUT I 21 JUST WANTED TO YOU TO NOTE THAT YOU CAN LOOK AT ALL THESE 22 OTHER STUDIES AS WELL, AND IN ONLY ONE INSTANCE IN THE 23 REPORTED PEER REVIEW PUBLICATIONS ISN'T THERE EVIDENCE OF 24 SOME TREND WHEN YOU CAN LOOK AT IT EITHER BY DURATION OF 25 EXPOSURE OR -- IN PARTICULAR BY DURATION OF EXPOSURE. 0105 01 I WANT TO TURN TO BIOLOGICAL PLAUSIBILITY AND 02 THE DIESEL EXHAUST HAS BEEN SHOWN TO INDUCE LUNG AND OTHER 03 CANCERS IN THE VARIETY OF ANIMALS. NOW, I FRANKLY DON'T CARE WHETHER THINGS 04 05 CAUSE TUMORS IN RATS VERY MUCH OR MICE, NOR AM I OVERLY 06 CONCERNED ABOUT THE MECHANISMS, THAT IF THEY DO, I QUITE 07 EXPECT THEY MIGHT BE DIFFERENT, AND I DON'T WANT TO 08 OVEREMPHASIZE THIS, BUT IT JUST HAPPENS IT DOES PRODUCE 09 TUMORS IN THE RATS. 10 SECONDLY, THE DIESEL EXHAUST HAS BEEN SHOWN 11 TO CONTAIN HIGHLY MUTAGENIC SUBSTANCES, INCLUDING P.A.H.'S 12 AND NITRO AROMATIC COMPOUNDS. THESE POINTS ARE TAKEN FROM 13 THE DRAFT DOCUMENT. 14 THIRD, DIESEL EXHAUST CONTAINS MANY 15 SUBSTANCES WHICH OCCUR IN RECOGNIZED COMPLEX MIXTURES OF 16 HUMAN RESPIRATORY CARCINOGENS, INCLUDING CIGARETTE SMOKE 17 AND COAL CARBON EMISSIONS. SO IN MY VIEW, WE CAN DROP THE FIRST ONE IF YOU LIKE, BUT IT IS HIGHLY PLAUSIBLE THAT 18 19 DIESEL EXHAUST MIGHT CAUSE HUMAN LUNG CANCER. 20 WELL, IF WE LOOK BACK AT THIS LIST THEN, 21 CHANCE CAN BE RULED OUT, BUT NO GOOD BASIS FOR BIAS. THAT 22 IS A SURPRISINGLY CONSISTENT -- WELL, WE ARE LOOKING FOR A 23 WEAK ASSOCIATION, SO WE CAN'T DWELL ON THAT. THERE IS EVIDENCE OF DOSE-RESPONSE, AT LEAST 24 25 WITH DURATION OF EXPOSURE; TEMPORALITY IS OKAY; 0106 01 PLAUSIBILITY IS OKAY; SO I WOULD ACTUALLY COME OUT WITH 02 STRONGER WORDING. AND I WAS HEARING THE EPIDEMIOLOGY PART 03 OF THE I.A.R.C. COMMITTEE ON DIOXIN EARLIER LAST YEAR AND 04 WENT THROUGH THAT WHOLE PROCESS OF TRYING TO DETERMINE HOW 05 DO WE REACH CONCLUSIONS EPIDEMIOLOGICALLY? 06 AND THE POINT I WOULD MAKE IS ONE CAN SAY, 07 WELL, WE'RE TRYING TO SOME OF US REACH ABSOLUTE SCIENTIFIC 08 CERTAINTY. OTHERS ARE SAYING, WELL, WE WANT TO HAVE 09 ENOUGH INFORMATION TO KNOW IF WE SHOULD REGULATE. AND A LOT OF OUR DISAGREEMENT I THINK IS 10 11 BECAUSE WE'RE THINKING ON THE DIFFERENT POINTS ON THAT 12 FIELD. AND IF WE SAID, WELL, IS THERE ENOUGH INFORMATION 13 TO SAY THAT WE ALL OUGHT BE PATROLLING THIS SUBSTANCE IN 14 OUR ENVIRONMENTAL, I -- NOT CAUSAL EVIDENCE IN HUMANS, 15 THEN TO ME THE ANSWER IS VERY CLEARLY YES. AND I THINK 16 THAT MOST PEOPLE WHO HAVE CONSIDERED IT WOULD AGREE WITH 17 THAT. 18 THE ONLY ISSUES CAN WE SAY WITH ABSOLUTE 19 SCIENTIFIC CERTAINTY, WELL, THAT'S NOT SO CLEAR.

20 NOW, I WANT TO JUST VERY QUICKLY JUST NOTE 21 PASSIVE SMOKING YOU GET THESE VARIATIONS IN RELATIVE RISK 22 ESTIMATES FROM STUDY TO STUDY, AND IN FACT, THERE ARE A 23 LOT OF STUDIES OUT THERE WITH RELATIVE RISK ESTIMATES. 24 LESS THAN ONE FOR PASSIVE SMOKING, BUT YOU GET A CENTRAL 25 TENDENCY, AND WE'VE NOW CONCLUDED, I THINK, THAT PASSIVE 0107 01 SMOKING IS A CAUSE OF HUMAN LUNG CANCER IN THE PRESENCE OF 02 VARIATIONS AND FINDINGS. 03 TWO SLIDES MORE. 04 I WANT NOW TO SAY, WELL, IF INDEED DIESEL 05 EXHAUST CAUSES HUMAN LUNG CANCER, SHOULD WE BE SPLITTING 06 HAIRS OVER THE MINOR DETAILS OF QUANTITATIVE RISK 07 ASSESSMENT? WELL, I DON'T THINK SO. AND THIS THE REASON. 08 09 IF WE JUST LOOK VERY BRIEFLY AND SAY THAT THE LIFETIME 10 RISK OF DYING FROM LUNG CANCER IN THE U.S. IS AROUND ABOUT 11 1 IN 20, IT'S A LITTLE LOWER NOW, BUT LET'S SAY AROUND 12 ABOUT 1 IN 20, VERY ROUGHLY. 13 LET'S JUST SAY FOR ROUND NUMBERS THAT THE 14 AVERAGE RELATIVE RISK OF LUNG CANCER FOR WORKERS EXPOSED 15 TO DIESEL EXHAUST IS OF THE ORDER OF 1.5. NOW, THAT'S FOR 16 ROUND NUMBERS. YOU CAN SAY 1.3, I DON'T CARE. 17 THAT IS AN EXCESS RELATIVE RISK OF 0.5. 18 THEREFORE, THE INCREMENTAL RISK FOR A DIESEL EXPOSED 19 WORKER IS APPROXIMATELY 0.5 IN 20, OR 25 IN 1,000. NOW, 20 LOOK AT 1 IN 20 BACKGROUND, EXCESS .5 AND 20, AMOUNTS TO 21 25 IN 1,000. 22 NOW, STUDIES WITH QUANTITATIVE EXPOSURE 23 ESTIMATES HAVE RELATIVE RISK AROUND ABOUT 1.5 AND DEPICTED 24 BY THE RAILROAD STUDIES BUT OTHERS ALSO, AND EXPOSURES 25 WERE -- I'M JUST GOING TO SAY, OF THE ORDER, VERY, VERY 0108 01 ROUGHLY 50 MICROGRAMS PER METER DIESEL EXHAUST. 02 STATE-WIDE AVERAGE ESTIMATES, HEAVILY WEIGHED 03 TOWARDS URBAN AREAS, ARE ABOUT 4 MICROGRAMS PER METERED 04 CUBE, ROUNDABOUT 10 OR MORE LOWER, VERY ROUGHLY. 05 ASSUMING A ROUGHLY LINEAR RELATIONSHIP 06 BETWEEN EXPOSURE AND EXCESS RISK, THIS AIR LEVEL COULD BE 07 RESPONSIBLE FOR AN ADDITIONAL TWO LUNG CANCER DEATHS PER 08 THOUSAND PERSONS EXPOSED. 09 NOW, I FRANKLY DON'T CARE WHETHER IT'S 2 OR 5 10 OR 10 OR .5. FROM A PUBLIC HEALTH STANDPOINT, IT'S IN THE DOMAIN OF AREAS OF RISK, WHICH IF THERE IS NO THRESHOLD, 11 12 AND IF ONE DOES THE LINEAR EXTRAPOLATION, HOWEVER YOU DO 13 IT, AND HOWEVER YOU REFINED THESE DATA, IF YOU DON'T GET A 14 NUMBER ROUGHLY LIKE THAT OR SOMEWHERE NEAR THAT, THEN I 15 THINK THERE IS SOMETHING WRONG. SO THIS THEN PUTS DIESEL EXHAUST IN THE 16 17 DOMAIN OF AREAS WHERE IT SEEMS TO ME THAT WE HAVE ENOUGH 18 HUMAN EVIDENCE TO SAY NOT THAT NECESSARILY THAT'S 19 ESTABLISHED WITH ABSOLUTE SCIENTIFIC CERTAINTY. WE CAN 20 NEVER DO THAT, BUT THERE'S ENOUGH EVIDENCE BASED ON HUMAN 21 STUDIES THAT THERE ARE -- IT IS INDEED A CAUSE OF HUMAN 22 LUNG CANCER, AND SIMPLE RISK CALCULATIONS WILL SHOW THAT 23 IT'S IN THE DOMAIN OF THINGS WHERE WE ARE TAKING ACTION TO 24 TRY AND REDUCE HUMAN EXPOSURES.

THANK YOU. 0109 01 DR. FROINES: OUR NEXT SPEAKER IS KATHARINE HAMMOND 02 WHO, AS YOU HEARD, WORKED IN THE PAST WITH TOM SMITH WHEN 03 THEY WERE IN BOSTON WOESTER AREA, AND KATHIE IS NOW 04 ASSOCIATE PROFESSOR OF INDUSTRIAL HYGIENE AT UNIVERSITY OF 05 CALIFORNIA BERKLEY. 06 I THINK IT'S VERY IMPORTANT TO HAVE PEOPLE 07 LIKE R.C. YU, WHO WORKS FOR ME, AND KATHIE AND TOM SMITH 08 COMMENT ABOUT THESE ISSUES BECAUSE MOST OF THE STUDIES WE 09 DO ARE OCCUPATIONAL EPIDEMIOLOGIC STUDIES AS OPPOSED TO 10 ENVIRONMENTAL STUDIES. AND SO IT'S GOOD TO HAVE PEOPLE 11 PROVIDING TESTIMONY WHO -- WHO REALLY DO UNDERSTAND THE 12 DIFFICULTIES IN OCCUPATIONAL EXPOSURE ESTIMATION, AND 13 THOSE DIFFICULTIES ALWAYS EXIST, AND IT ALWAYS MAKES 14 DEFINING THE PERFECT OCCUPATIONAL EXPOSURE MORE DIFFICULT. 15 AND SO I THINK IN -- IN TALKING ABOUT THIS, 16 WE NEED TO LEARN WHAT ARE SOME OF THE LIMITS BECAUSE I 17 THINK THAT SOMETIMES THOSE LIMITS BECOME USED FOR TRYING 18 TO PARALYZE A PROCESS WHICH ACTUALLY NEEDS TO BE MOVED 19 FORWARD. 20 DR. HAMMOND: THANK YOU, JOHN. I'M NOT GOING TO 21 PRESENT THE PERFECT EXPOSURE ASSESSMENT. ALL RIGHT? 22 DISCLAIMER. 23 LET'S SEE. MAY I HAVE THE SLIDES, PLEASE. OKAY. I'M -- WAS ONE OF THE CO-INVESTIGATORS 24 25 ALSO IN THE DIESEL EXHAUST STUDY THAT ERIC GARSHICK SPOKE 0110 01 ABOUT AND TOM SMITH. AND I'M GOING TO TALK SOME ABOUT HOW 02 WE CAN INTERPRET SOME DATA FROM THAT ESPECIALLY HISTORICALLY, AND ALSO SOME OTHER OCCUPATIONAL EXPOSURES 03 TO DIESEL EXHAUST. AND THIS IS REALLY IN THE REALM OF 04 05 WITHOUT HAVING PERFECT EXPOSURE ASSESSMENT, WHAT CAN WE 06 SEE AND WHEN CAN WE KNOW. 07 FIRST OF ALL, SO THIS IS THE STUDY -- THE 08 FIRST PART OF MY TALK WILL BE THE RAILROAD EXPOSURES, AND THEN WE'LL TALK ABOUT OTHER EXPOSURES. 09 10 WE DID SAMPLE, WE COLLECTED OVER 500 PERSONAL 11 SAMPLES, ALMOST 300 AMONG TRAIN CREW AT FOUR RAILROADS, 12 JUST TO GIVE YOU A SENSE THAT THIS IS A LOT OF DATA THAT'S 13 COLLECTED WITH ITS OWN LIMITATIONS. 14 AND THESE WERE COLLECTED AS A SET OF FOUR 15 RAILROADS IN 1982 TO 1983. ONE OF THE QUESTIONS HAS BEEN, WHAT DOES THIS -- THIS DATA COLLECTED IN 1982-'83 TELL US 16 17 ABOUT EXPOSURE IN ANY OTHER TIME PERIOD. 18 AND SO I THINK, FIRST, LET'S GO BACK AND 19 THINK ABOUT WHAT DO WE -- HOW DO WE THINK ABOUT DIESEL 20 EXHAUST EXPOSURE HISTORICALLY AMONG RAILROAD WORKERS AND THIS IS NOT MY ORIGINAL IDEA, OF COURSE. THIS IS COMING 21 22 FROM THE DOCUMENT. BUT THE ROOF MODEL THAT TAKES -- SHOW 23 AN INCREASE FROM 1945 TO 1959, AND THEN A DECREASE, I 24 THINK, IS THE MOST APPROPRIATE MODEL TO LOOK AT THIS. 25 THIS IS NOT TO ANY SCALE, BUT THE CONCEPT 0111 01 HERE IS THAT FROM '45 TO '59, WE AT LEAST THINK THAT THE 02 EMISSIONS FROM THE TRAINS MAY NOT HAVE CHANGED. THE 03 REASON THE AVERAGE WORKER'S EXPOSURE INCREASED IS THAT

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04 THAT THE PERCENT OF WORKERS EXPOSED INCREASES. SO THE 05 OCCUPATIONAL AVERAGE WOULD BE INCREASING IN ANY CATEGORY. 06 BY '59 THERE WAS 95 PERCENT DIESELIZATION. 07 NOW, FROM 1959 TO 1980 THERE'S HAS -- THERE 08 HAS BEEN A LOT OF CONJECTURE ABOUT A DECREASE IN 09 EXPOSURES, AND I'VE HEARD FACTORS OF TEN OR EVEN HIGHER 10 SUGGESTED IN THE -- WHAT WOULD BE THE RATIO FROM THIS PEAK 11 DOWN TO THE 1980 EXPOSURES, AND THE SUGGESTION THAT SINCE 12 OUR MEASURED VALUES WERE MADE IN 1980, IT MEANS THAT IN 13 PAST, THE LEVELS WERE TEN TIMES HIGHER. AND I WANT TO 14 TELL YOU WHY I THINK THAT MAYBE IS AN ERRONEOUS 15 INTERPRETATION OF SOME OF THIS DATA. 16 FIRST, LET'S LOOK AT WHAT'S THE DATA WE DID 17 COLLECT, AND WHAT DO WE NO ABOUT IT. 18 THIS -- THIS IS LOOKING AT THE AGE OF THE 19 LOCOMOTIVES AT THE FOUR RAILROADS WHICH WERE STUDIED. AND AS YOU WILL SEE, ALL FOUR RAILROADS WERE -- HAD OVER HALF 20 21 OF THEIR LOCOMOTIVES WERE FIRST GENERATION DIESEL. 22 OKAY. SO WE'RE NOT TALKING ABOUT HAVING THE 23 LATEST GENERATION CARS. THESE ACTUALLY ARE SMALL 24 RAILROADS. THEY GET THE HAND ME DOWNS; RIGHT? AND ONLY A 25 VERY SMALL PERCENTAGE OF THE DIESELS WERE MADE AFTER 1970. 0112 01 AND IN FACT, TWO OF THE RAILROADS -- ALTHOUGH 02 ALL OF THE RAILROADS HAD OVER 50 PERCENT OF THEIR CARS 03 MADE IN THE FIRST GENERATION OF THEIR LOCOMOTIVES, TWO 04 WERE AROUND 55 PERCENT AND TWO WERE OVER 80 PERCENT, FIRST 05 GENERATION DIESEL. 06 NOW, WHEN THE RAILROADS ARE NUMBERED ONE, 07 TWO, THREE, FOUR, AND THIS ORDER WILL BE MAINTAINED 80 THROUGHOUT MY PRESENTATION, EVEN THOUGH IT MIGHT BE NICER 09 TO GROUP THEM, BUT THAT'S THE STANDARD ORDER WE'VE USED. 10 SO I WOULD LIKE TO JUST QUOTE DIRECTLY FROM 11 SUSAN WOSKIE'S COMMENTS ABOUT OUR DATA. THIS IS FROM HER 12 PAPER. AFTER THE INITIAL DIESELIZATION OCCURRED IN THE 13 EARLY 1950'S, A SECOND GENERATION OF MORE EFFICIENT DIESEL 14 LOCOMOTIVES WAS INTRODUCED INTO THE LARGER COMPANIES 15 DURING THE '60'S. THERE WERE ANECDOTAL REPORTS THE OLDER FIRST 16 17 GENERATION LOCOMOTIVES WERE SMOKIER THAN THE SECOND 18 GENERATION, A CHANGE WHICH MAY HAVE DECREASED DIESEL 19 EXPOSURES TO THE TRAIN CREW OVER TIME. 20 NOW, I HAVE ADDED THESE ITALICS. MOST OF THE 21 LOCOMOTIVES OF THE ROSTERS OF THE SMALL RAILROADS WE 22 SAMPLED WERE FIRST-GENERATION BUILT BEFORE 1960. MANY OF 23 THE LARGER U.S. RAILROADS MAY HAVE MORE MODERN SECOND 24 GENERATION DIESELS. 25 AND THEN THIS LEADS TO THE CONCLUSION, IT'S 0113 01 ASSUMED THAT THE NATIONAL CAREER GROUP EXPOSURES FOR THE 02 BREAKER, CONDUCTOR, AND ENGINEER FIRER GROUPS REPRESENT 03 THE NATIONAL AVERAGE LEVEL AND VARIABILITY OF EXPOSURE 04 THAT OCCURRED DURING THE PERIOD OF THE EPIDEMIOLOGIC 05 STUDIES. 06 NOW, LET'S SEE IF I CAN DO A REVERSE HERE. 07 LET ME GO BACK HERE NOW TO THE -- THIS SLOPE. IN OTHER WORDS, WHAT WE'RE SAYING IS THAT 08

09 ALTHOUGH THE SAMPLES WERE COLLECTED DURING THIS TIME, THEY 10 DON'T REPRESENT THE NATIONAL AVERAGE DURING THAT TIME, 11 1980, BUT RATHER PROBABLY THEY REPRESENT EXPOSURES UP 12 DURING -- NEARER TO THE PEAK OF THIS ROOF. BECAUSE OVER 13 HALF THE CARS WERE PRODUCED BEFORE THAT TIME, TO THE 14 DEGREE THERE'S ANY DIFFERENCE IN EMISSIONS IN THE CARS. 15 I'M GOING THE WRONG WAY. SORRY. 16 NOW, I DID DO A LITTLE LOOKING AT RAILROAD 17 ONE. WE ACTUALLY HAD THE INFORMATION FOR THE AGE OF THE 18 RAILROADS BY THE LOCOMOTIVES, BY WHICH TASK THEY WERE USED 19 FOR, AND COULD SAY FOR EACH OF THESE JOB GROUPS WHAT 20 PERCENTAGE OF FIRST GENERATION DIESELS, THESE SMOKIER 21 DIESELS THEY HAD. 22 AND YOU WILL NOTICE THAT, FOR INSTANCE, AMONG 23 THE ENGINEER FIRERS, WE SEE THAT THE FREIGHT PEOPLE HAD --24 THEY HAD THE NEW CARS, OKAY. THE PASSENGERS IN THE YARD 25 HAD THE OLD CARS. AND YOU KNOW, STAN MAY TRY TO TELL ME 0114 01 THAT THERE'S SOME DIFFERENCE HERE. I'M NOT CLEAR. Т 02 THINK, YOU KNOW, THERE MAY BE SOME DIFFERENCE BETWEEN THIS, BUT THERE'S NOT A HUGE DIFFERENT. THERE'S NO MORE 03 04 THAN A FACTOR OF TWO DIFFERENCE BETWEEN THOSE THAT HAVE 05 THE FIRST GENERATION. IN FACT, WHICH WAY DOES IT GO? THE FIRST 06 07 GENERATION, THOSE THAT HAVE MOST FIRST GENERATION DIESEL 08 HAVE LOWER EXPOSURES. 09 SIMILARLY, IF WE LOOK AT THE BREAKER 10 CONDUCTOR GROUP, WHAT WE FIND IS THE NEWER -- THOSE PEOPLE 11 WHO ARE WORKING THE NEWER ENGINES ACTUALLY DON'T HAVE ANY 12 SIGNIFICANTLY LOWER EXPOSURE. IN FACT, IT MAY BE SLIGHTLY 13 HIGHER, BUT I DON'T WANT TO MAKE A POINT OF THAT. 14 THE REAL POINT HERE IS WE'RE NOT SEEING ANY 15 MAJOR DIFFERENCE BETWEEN ANY EXPOSURES BETWEEN THESE. 16 SIMILARLY, I LOOKED AT THE NITROGEN DIOXIDE 17 BY ALL THE DIFFERENT RAILROADS, AND -- OH, SORRY ABOUT 18 THIS LAST COLUMN MISSING. BUT THE -- RECALL THAT 19 RAILROADS ONE AND FOUR HAVE MORE OF THE NEWER TRAINS THAN 20 RAILROADS TWO AND THREE, AND AGAIN, WITH A COUPLE OF 21 EXCEPTIONS, THERE'S NOT REALLY A HUGE DIFFERENCE IN THE 22 EXPOSURES TO NITROGEN DIOXIDE. 23 THE SAME IS TRUE FOR PARTICLES, BUT I 24 WASN'T -- THIS SLIDE GOT LOST. I'LL SHOW YOU IN JUST A 25 SECOND. 0115 01 SO OKAY. NOW, I WANT YOU TO LOOK HARD AT 02 THIS. THIS IS A LOCOMOTIVE; RIGHT? IT'S NOT TO BE 03 CONFUSED WITH YOUR COMPUTER. YOU MAY NOT HAVE GOTTEN 04 CONFUSED BY IT, BUT LET ME -- YOU THINK ABOUT THE FACT THAT EVERYONE SAYS P.C.'S ARE OBSOLETE AFTER THREE YEARS. 05 06 NOW, MAYBE YOU WOULD SAY FIVE, WHATEVER, THAT WE TURN 07 THESE OVER. 08 RAILROAD LOCOMOTIVES DON'T GET OBSOLETE THAT 09 QUICKLY, AND THERE'S AN UNDERLYING ASSUMPTION THAT IN 10 1980, MOST RAILROAD COMPANIES HAD CARS THAT WERE PRODUCED 11 IN THE LAST FIVE YEARS, AND THAT'S NOT AT ALL TRUE. 12 WHEN WE LOOKED AT THREE MAJOR RAILROADS IN 13 THE COUNTY AND WHAT THEIR ROLLING STOCK LOOKED LIKE DURING

14 THE TIME OF OUR STUDY IN 1982-'83, AND THE TIME IT WOULD 15 BE RELEVANT TO THE EPISTUDY, YOU'LL NOTICE THAT THEY WERE 16 STILL USING -- TWO OF THE THREE RAILROADS STILL HAD 17 SIGNIFICANT AMOUNT OF STOCK IN FIRST GENERATION DIESEL, 18 BETWEEN 25 AND 60 SOME PERCENT. 19 SO THEY DON'T THROW THEM OUT. THERE'RE NOT 20 THE, YOU KNOW, 286'S. THEY ARE NOT MY FATHER'S 21 OLDSMOBILE. 22 NOW, IF WE COMPARE THE AGE OF THE LOCOMOTIVES 23 IN THE RAILROAD WORKERS' EPIDEMIOLOGIC STUDY WHERE HE DID 24 THE SAMPLING WITH THOSE OF THESE THREE MAJOR RAILROADS, WE 25 WILL DEFINITELY SEE THAT IN THIS -- WHERE THE SAMPLING WAS 0116 01 CONDUCTED, WE DID HAVE A HIGHER PROPORTION OF FIRST 02 GENERATION DIESEL COMPARED WITH THE THREE MAJOR 03 RAILROADS. 04 SO DEFINITELY WE WERE LOOKING AT OLDER 05 RAILROADS, BUT EVEN THE MORE -- THE NEW, LARGE RAILROADS 06 ALSO HAD VERY SIGNIGICANT NUMBERS OF THE FIRST GENERATION 07 DIESELS. 80 OKAY. SO -- SO THAT WAS MY FIRST POINT. 09 THE SECOND ONE IS TO SAY QUICKLY ABOUT 10 BACKGROUND CORRECTION. THERE'S BEEN A LOT OF DISCUSSION 11 ON HOW TO CORRECT FOR BACKGROUND. AND I WOULD SAY THAT 12 BASICALLY THERE ARE THREE MAJOR OPTIONS: ONE IS TO DO 13 NOTHING TO CORRECT FOR BACKGROUND; ONE IS TO SUBTRACT THE 14 CLERKS' LEVEL SINCE THE CLERKS ARE ASSUMED TO HAVE NO 15 DIESEL EXPOSURE; AND ONE IS TO SUBTRACT AMBIENT AIR 16 CONCENTRATIONS WHICH WOULD BE APPROXIMATELY 10 TO 20 17 MICROGRAMS PER CUBIC METER RESPIRABLE. 18 AND I WOULD SUGGEST THE LATTER IS REALLY THE 19 BEST WAY. THE CLERKS ARE PROBABLY EXPOSED TO OTHER 20 MATERIAL THAN DIESEL JUST AS PEOPLE IN -- INDOOR AIR WILL 21 HAVE HIGHER EXPOSURES ON A FIXED SAMPLER INDOORS. 22 BUT I THINK THAT, IN FACT, THE -- IT'S AN 23 OVERCORRECTION TO TAKE WHATEVER THE CLERKS' EXPOSURES ARE 24 AND SUBTRACT THEM. THAT'S NOT THE AMBIENT LEVEL IN THOSE 25 AREAS. 0117 01 OKAY. LET ME NOW TURN TO A FEW OTHER 02 OCCUPATIONS FOR WHICH THE META-ANALYSIS WAS DONE, AND AN 03 EXAMINATION OF WHAT THEIR EXPOSURES ARE. SO I'M GOING TO 04 BE TALKING ABOUT BUS GARAGE WORKERS, MECHANICS, HEAVY 05 EQUIPMENT OPERATORS, AND DRIVERS. 06 AND TO START THIS, I HAVE TO EXPLAIN, AGAIN, 07 THE DIFFICULTY WE HAVE THAT DIESEL EXHAUST IS NOT A SIMPLE 08 MATERIAL. IT'S NOT LOOKING AT PHENANTHRENE OR BENZENE OR 09 ASBESTOS, AND HAVE TO -- AND WE'RE LOOKING AT THIS MIXTURE 10 AND WE HAVE SURROGATES RATHER THAN A STRAIGHT FORWARD 11 THING. 12 NOW, ONE SURROGATE -- ONE WAY TO THINK ABOUT 13 THIS IS WE LOOK AT RESPIRABLE PARTICLES BY THEMSELVES. 14 RESPIRABLE PARTICLES CAN BE THOUGHT OF AS -- ALL, AS BEING 15 COMPOSED OF DIESEL, RESPIRABLE PARTICLES FROM 16 ENVIRONMENTAL TOBACCO SMOKE, FROM OTHER SOURCES INDOORS, 17 AND THEN FROM WHATEVER BACKGROUND IS THERE. NOT INDOORS, 18 JUST OTHER SOURCES THAT MAY BE IDENTIFIED IN A PARTICULAR

19 LOCATION. 20 WE USE THE TERM ADJUSTED RESPIRABLE PARTICLES 21 IN OUR STUDIES TO REFER TO WHERE WE HAVE AT LEAST BEEN 22 ABLE TO SUBTRACT OUT THE ENVIRONMENTAL TOBACCO SMOKE 23 COMPONENT OF THAT, SO THAT OURS INCLUDES DIESEL -- BUT IT 24 ALSO INCLUDES WHAT OTHER SOURCES MIGHT BE THERE AND 25 BACKGROUND. 0118 01 ANOTHER THING THAT IS USED IS AN ELEMENTAL 02 CARBON HAS BEEN USED IN A LOT OF STUDIES AS A SURROGATE 03 FOR DIESEL. THERE'S ALSO AN ORGANIC CARBON, AND IF YOU 04 WERE TO TAKE A SAMPLE THAT WAS JUST DIESEL EXHAUST, THE 05 RESPIRABLE PARTICLES WOULD BE COMPOSED OF ELEMENTAL AND 06 ORGANIC CARBON PREDOMINANTLY. 07 HOWEVER, IN THE NATURAL ENVIRONMENT, THERE 08 ARE LOTS OF SOURCES OF ORGANIC CARBONS SO OFTEN -- AND 09 THERE'S NOT SO MANY FOR ELEMENTAL CARBONS. SO ELEMENTAL 10 CARBON IS A MORE SPECIFIC MARKER FOR DIESEL EXHAUST, AND 11 THEN WE JUST NEED TO FIND A WAY TO COMPARE TO CHANGE THIS 12 VALUE TO RESPIRABLE FROM DIESEL. 13 WHAT I'M TRYING -- TRIED TO DO IN THE 14 FOLLOWING ANALYSES IS TO CONVERT EVERYTHING TO RESPIRABLE 15 PARTICLES FROM DIESEL. AND FOR ELEMENTAL CARBON, I HAVE 16 VERY SIMPLY MULTIPLIED THAT VALUE BY TWO BASED ON MY 17 EXAMINATION OF THE DATA, WHICH WE CAN TALK ABOUT ANOTHER 18 TIME IF YOU WANT. BUT ASSUME THAT 50 PERCENT OF DIESEL 19 EXHAUST WAS ELEMENTAL CARBON. SO IF WE MULTIPLIED BY TWO, 20 WE WOULD HAVE AN ESTIMATE OF THE RESPIRABLE FROM DIESEL. 21 SO LET'S TURN TO BUS DRIVERS, AND ONE STUDY 22 THAT IS VERY INTERESTING -- ACTUALLY, IT'S A PAIR OF STUDIES THAT WERE DONE, LOOKING AT EXPOSURES IN 1959 AND 23 24 1979, 20 YEARS LATER, IN SOME LONDON BUS DRIVERS -- AND 25 ACTUALLY THEY USED ANOTHER SURROGATE. IT'S REFLECTANCE. 0119 01 AND FOR THESE PURPOSES AS I LOOKED AT THE DATA AND 02 COMPARED DATA OF TOTAL SAMPLES WITH REFLECTANCE, I DECIDED 03 THEY WERE ALL -- ALMOST ALWAYS LESS THAN THE TOTAL 04 PARTICLES. AND SO I DECIDED JUST SAY REFLECTANCE WAS 05 APPROXIMATELY EQUAL TO THE RESPIRABLES FROM DIESEL. THAT 06 IS THE CURRENT ASSUMPTION. 07 AND THESE WERE ALL CORRECTED FOR VALUES THAT 08 WERE TAKEN ON THE ROOF OF THE GARAGE TO CORRECT FOR 09 AMBIENT SOURCES OF REFLECTANCE. 10 ONE VERY INTERESTING THING IS THEY SAW NO 11 SIGNIFICANT CHANGE IN THESE -- THEY WERE AT TWO DIFFERENT 12 GARAGES OVER THIS 20-YEAR PERIOD, IF NO NEW BUSES CAME IN. 13 THERE WAS NOT A HUGE DIFFERENCE. NOW, THESE WERE AREA SAMPLES, NOT PERSONAL 14 15 SAMPLES, AND THEY WERE PLACED GENERALLY WITHIN THE AREAS 16 WHERE THE HIGHEST LEVELS -- THE HIGHEST CONCENTRATIONS 17 WERE EXPECTED. WHAT I DID WITH THAT DATA IS I MADE -- I 18 19 CALCULATED A TIME WEIGHT AVERAGE OF THE CONCENTRATION 20 DURING THE TIME THE BUSES WERE RUNNING, WHICH WAS ABOUT 21 FOR 20 HOURS, FIGURING THAT WAS WHEN PEOPLE WERE THERE. 22 AND NOW KNOWING THESE ARE AT THE HIGHEST AREAS, AND THAT 23 THEY ARE AREA SAMPLES, I MADE THE ASSUMPTION FROM WHAT I

24 FOLLOW THROUGH HERE THAT THE MAXIMUM AVERAGE PERSONAL 25 EXPOSURE WOULD BE HALF OF THAT. YOU KNOW, MAYBE IT WOULD 0120 01 BE LESS, BUT PROBABLY THAT'S A HIGH ESTIMATE OF THE 02 PERSONAL EXPOSURE BECAUSE THESE WERE AT FIXED LOCATIONS 03 WITH HIGH LEVELS. 04 USING THAT AND GOING THROUGH ALL THE DATA, 05 THEN THE ESTIMATE IS WITH THE RESPIRABLE PARTICLES FROM 06 DIESEL WERE -- AT ONE RAILROAD -- AT ONE GARAGE IT WAS 80, 07 AND THE OTHER IT WAS 300. SO THOSE ARE TWO ESTIMATES AT 08 TWO DIFFERENT LOCATIONS. 09 OKAY. SO THAT BECOMES ONE -- PIECE OF DATA 10 FOR BUS GARAGES. GAMBLE LOOKED AT FOUR GARAGES THAT HAD 11 RESPIRABLES PARTICLES. THESE WERE THE AVERAGE 12 CONCENTRATIONS IN EACH OF THOSE, BUT THOSE INCLUDED 13 SMOKERS. IF YOU TOOK THE AVERAGE OF ALL THE NONSMOKERS, THE AVERAGE WAS 230 MICROGRAMS PER CUBIC METER, AND THAT'S 14 15 RESPIRABLE PARTICLES, BUT THERE'S NOT ENVIRONMENTAL 16 TOBACCO SMOKE, HOPEFULLY OR LESS. 17 BIRCH AND CARY DID ELEMENTAL CARBON, WHICH IS 18 MORE SPECIFIC, AND YOU DON'T GET A CONTRIBUTION FROM 19 TOBACCO SMOKE FROM THAT, AND THEY GOT AN ELEMENTAL CARBON 20 LEVEL OF 98 MICROGRAMS PER CUBIC METER, AN ORGANIC OF 80. ORDINARILY, I WOULD MULTIPLY THIS BY TWO, BUT SINCE THE 21 22 ACTUAL LEVEL WAS LESS THAN TWICE THAT, I JUST TOOK THE 23 ADDITION. SO IT'S 180. 24 SO IF YOU LOOK AT THESE VALUES 80, 300, 230, 25 180, BASICALLY, I SAID MY BEST ESTIMATE IS THAT ON 0121 01 AVERAGE, THE LEVELS ARE SOMEWHERE BETWEEN 50 AND 200 02 MICROGRAMS PER CUBIC METER, WITH A MAXIMUM ESTIMATE THERE 03 AT 500. 04 OKAY. TURNING TO HEAVY EQUIPMENT OPERATORS. 05 DOUG FOWLER DID SOME WORK IN THE EARLY '80'S WHERE HE WAS 06 LOOKING AT PEOPLE WHO -- MEMBERS OF THE LOCAL UNION IN 07 CALIFORNIA, AND HE TOOK PERSONAL SAMPLES ON -- I THINK A 08 COUPLE HUNDRED PEOPLE. AND AGAIN, THEY USED ELEMENTAL 09 CARBON AS THE SURROGATE. THE AVERAGE CONCENTRATION WAS 10 3.2 MICROGRAMS PER CUBIC METER, WHICH IF WE USE THE FACTOR 11 OF 2, GIVES US A RESPIRABLE FROM DIESEL OF ABOUT 6.4. 12 NOW, I THINK IT'S IMPORTANT AS WE LOOK AT --13 THAT MAY BE THE AVERAGE EXPOSURE DURING THE TIME THAT ONE 14 IS EXPOSED, BUT IT'S IMPORTANT TO REMEMBER THAT PROBABLY 15 THESE PEOPLE DON'T HAVE THE STANDARD 40-HOUR WEEK, 16 50 WEEK A YEAR, 40 YEARS LIFETIME EXPOSURE THAT MANY OTHER 17 PEOPLE DO. CONSTRUCTION JOBS ARE MUCH MORE SPORADIC, AND 18 I THINK THAT TO REALLY LOOK AT THAT AND TO TRY TO PUT THIS 19 IN SOME PERSPECTIVE WHEN WE ARE REALLY INTERESTED IN THE 20 CUMULATIVE EXPOSURE, ONE WOULD WANT TO GO BACK AND DO A 21 STUDY OF WHAT THE TYPICAL WORKDAY IN A YEAR OF A 22 CONSTRUCTION WORKER IS. 23 BUT I -- I WOULD IMAGINE THAT MEANS THE 24 AVERAGE YEARLY EXPOSURE WOULD BE LESS THAN WHAT IT IS 25 DURING THE TIME OF HIS WORKING. 0122 01 BUT WITHOUT HAVING -- I DID NOT MAKE THAT 02 ADJUSTMENT. SO DURING -- DURING THE TIME THAT THEY ARE

03 WORKING, THE RESPIRABLE IS 5 TO 10 MICROGRAMS PER CUBIC 04 METER WITH A MAXIMUM OF 50. 05 IF YOU LOOK AT TRUCK DRIVERS, DENNIS ZAEPST 06 HAS DONE A MAJORITY OF THE MEASUREMENT WORK THERE. AND 07 AGAIN, THEY USED ELEMENTAL CARBON AS THE SURROGATE. THEY 08 FOUND THAT LOCAL DRIVERS WERE 5.4, AND ROAD 5.1, WHICH 09 TELLS US THE RESPIRABLE FROM DIESEL IS 10 TO 11. 10 DURING THE SAME STUDY THAT I MENTIONED ABOUT 11 HEAVY EQUIPMENT WORKERS, DOUG FOWLER ALSO MEASURED HIGHWAY 12 CONCENTRATIONS ALONG THE HIGHWAY DRIVING IN THE CAR, AND 13 FOUND ELEMENTAL CARBONS OF 7.2, WHICH WOULD INDICATE 14; 14 IF YOU ASSUME THAT MOST OF THE EXPOSURE OF DRIVERS IS FROM 15 JUST BEING ON THE HIGHWAY. 16 SO THIS WOULD LEAD US TO SAY THAT PROBABLY 17 THE RESPIRABLE FROM DIESEL IS 10 TO 15 MICROGRAMS PER 18 CUBIC METER. 19 NOW, AGAIN, THAT'S DURING THE TIME THAT ONE'S 20 DOING THESE THINGS, AND IT'S DURING THIS TIME PERIOD. 21 SOME CAVEATS I WOULD HAVE. FIRST, IT MAY BE 22 THAT DRIVERS MAY HAVE OVER 40 HOURS A WEEK EXPOSURE. NOW, 23 THIS IS NOT BASED ON MY DOING ANY STUDIES. IT'S MY BEING 24 A DRIVER, AND KIND OF WHAT I'VE HEARD ABOUT TRUCK DRIVERS 25 WORKING MANY MORE HOURS THAN 40 HOURS A WEEK AND DOING 0123 01 LONG DRIVES. AND ALSO THEY MAY SLEEP IN THEIR CABS WHICH 02 03 EITHER -- WITH THEIR ENGINES RUNNING, WHICH MAY PRODUCE 04 SOME EXPOSURE, OR NEAR THE HIGHWAY, WHICH MEANS THEY MAY 05 JUST BE GETTING HIGHWAY EXPOSURE WHILE THEY ARE SLEEPING. 06 SO THEIR EXPOSURES MAY NOT JUST BE FROM WHILE THEY ARE 07 DRIVING. 08 SO AGAIN, I THINK A LITTLE STUDY OF THE FULL 09 DYNAMICS OF WHAT HAPPENS IN THE YEAR OF A TRUCK DRIVER 10 WOULD BE IMPORTANT IN INTERPRETING THIS DATA. 11 ANOTHER IMPORTANT POINT, WHICH DENNIS ZAEPST 12 BRINGS UP THAT THEY HAVEN'T LOOKED AT IS THAT THE OLDER 13 EXHAUST DESIGN -- CURRENTLY, OF COURSE, THE EXHAUST GOES 14 OFF THE TOP OF THE CABIN AND BACK. IT USED TO EXHAUST 15 UNDERNEATH, WHICH MIGHT HAVE LEAD TO MUCH HIGHER 16 EXPOSURES. SO I THINK HISTORICALLY ONE NEEDS TO LOOK AT 17 SOME OF THOSE ISSUES TO UNDERSTAND THAT BETTER. 18 SO WHAT WE HAVE IS WE HAVE CURRENT EXPOSURES. 19 AGAIN, I HAVEN'T DONE AN ADJUSTMENT FOR THOSE. SO JUST TO 20 SUMMARIZE THIS DATA FOR THESE FOUR DIFFERENT OCCUPATIONAL 21 GROUPS, THAT THE RANGE OF BUS GARAGE WORKERS IS ON THE 22 ORDER OF 50 TO 200, WITH A MAXIMUM OF 400 TO 500, AND WE 23 DO HAVE SOME INFORMATION THAT HISTORICALLY, IT WASN'T 24 NECESSARILY HIGHER THAN THAT. 25 MECHANICS BETWEEN 15 AND 150. AND IT'S 0124 01 HIGHLY VARIABLE DEPENDING ON THE GARAGE AND THE DESIGN OF 02 VENTILATION. 03 HEAVY EQUIPMENT OPERATOR 5 TO 10, BUT THESE 04 PEOPLE MAY WORK LESS, SO THEIR CUMULATIVE EXPOSURE MAY BE 05 LESS. 06 TRUCK DRIVERS 10 TO 15. I THINK THEY MAY 07 WORK MORE, AND SO THEIR CUMULATIVE EXPOSURES MAY BE MORE,

08 PLUS I THINK OUR HISTORICAL DATA IS MUCH WEAKER IN THAT. 09 SO I THINK THAT'S IMPORTANT. 10 SO WE ARE PROBABLY -- MY -- MY CONCLUSIONS 11 HERE WOULD BE THAT THESE NUMBERS MAY BE UNDERESTIMATING 12 THE HEAVY EQUIPMENT -- I MEAN, OVERESTIMATING THE HEAVY 13 EQUIPMENT OPERATOR'S EXPOSURES, AND UNDERESTIMATING TRUCK 14 DRIVER EXPOSURES. 15 AND LET ME JUST GO BACK AND SUMMARIZE NOW 16 WHAT WE -- WHAT I SAID ABOUT DIESEL EXHAUST. 17 FIRST OF ALL, I DO THINK THAT THE PROFILE OF 18 THE ROOF IS THE MOST APPROPRIATE TO BE USED. 19 I THINK THE WOSKIE DATA REPRESENTS EXPOSURES 20 TYPICAL OF THE FIRST GENERATION DIESEL LOCOMOTIVES 21 PREDOMINANTLY, ALTHOUGH THERE IS A MIX; THAT THE 22 BACKGROUND CORRECTION IS BEST BY -- IF YOU SUBTRACT THE 23 CLERK ADJUSTED RESPIRABLE PARTICLES, YOU'RE PROBABLY OVERCORRECTING, AND THAT CORRECTION WITH AMBIENT 24 25 RESPIRABLE PARTICLES IS A BETTER CORRECTION. 0125 01 THAT THE MIX OF LOCOMOTIVES MEANS THAT THE 02 ROOF SLOPE FROM 1959 TO 1980 IS NOT A STEEP ONE. RATHER, 03 I THINK -- I BELIEVE THE RATIO IS ONLY 2 -- 2 OR MAYBE 3. I DOUBT IT'S ANYTHING NEAR 10. 04 05 AND FINALLY, THAT THE TROOP -- TRUE CREW 06 MEMBER -- TRAIN CREW MEMBERS EXPOSURE, BASED ON THE WOSKIE 07 DATA, IS PROBABLY WHAT WAS AVERAGED 80 MICROGRAMS PER 08 CUBIC METER OF ADJUSTED RESPIRABLE PARTICLES, WHICH MEANS 09 IT'S PROBABLY 60 TO 70 MICROGRAMS PER CUBIC METER. AND I 10 REALLY DON'T THINK THAT GOING BACK OVER TIME WE'RE GOING 11 TO SEE MORE THAN A TWO OR ABSOLUTELY AT MOST A THREE TIMES 12 INCREASED EXPOSURE. SO THE ESTIMATE OF SAYING A MAXIMUM OF 500, I 13 14 THINK, IS OVERESTIMATING HOW HIGH IT COULD HAVE BEEN IN 15 THE PAST, BUT IT'S CERTAINLY -- I THINK IT'S SAFE. IT'S A 16 SAFE ESTIMATE. 17 OKAY. SO THANK YOU VERY MUCH, AND I THINK 18 IT'S TIME TO END THE DECISION. 19 DR. FROINES: THAT WAS VERY GOOD. 20 CAN WE HAVE SOME LIGHTS? 21 AT THIS POINT, WE WOULD LIKE TO GIVE TOM MACK 22 FROM THE UNIVERSITY OF SOUTHERN CALIFORNIA THE OPPORTUNITY 23 TO COMMENT ON WHAT'S HAPPENED BEFORE HIM, IF HE IS SO 24 INCLINED. I'VE NEVER SEEN HIM NOT SO INCLINED, BUT YOU 25 NEVER KNOW. 0126 01 DR. MACK: THANK YOU. MY CREDENTIALS FOR BEING 02 ASKED TO COME UP AND GIVE COMMENTS BASICALLY COULD BE 03 SUMMARIZED BY SAYING NOBODY HAS EVER GIVEN ME A LOT OF 04 MONEY TO EVALUATE DIESEL EXHAUST, AND THERE'S WHERE I'M 05 RELATIVELY IGNORANT ABOUT THE ISSUE UNTIL THIS MORNING. 06 WELL, THAT'S NOT ENTIRELY TRUE, UNTIL YESTERDAY. 07 I SPENT A FAIR AMOUNT OF TIME WITH THE 08 DOCUMENT AND FIGURED IN THE BEGINNING THAT I KNEW VERY 09 LITTLE, AND I ACCUMULATED A LOT OF PIECES OF PAPERS WITH 10 SOME ERUDITE COMMENTS ABOUT VIRTUALLY EVERY PAGE AND EVERY 11 ASPECT OF THE EPIDEMIOLOGIC STUDIES. 12 AND HAVING LISTENED TO THE PRESENTATIONS THIS

13 MORNING, I BASICALLY CHECKED EACH ONE OF THEM OFF ONE BY 14 ONE. BECAUSE I THINK DR. GARSHICK, ALLAN, KATHIE, AND 15 TOM, ACTUALLY PRESENTED ALMOST -- ALMOST WITHOUT EXCEPTION 16 EVERY POINT THAT I WOULD HAVE MADE OR SPECULATED ABOUT IN 17 THE CASE OF THE ISSUES OF EXPOSURE. SO I HAVE VERY, VERY 18 LITTLE TO STAY. 19 I CAN MAKE ONE OR TWO COMMENTS, WHICH I DON'T 20 REALLY THINK ARE TERRIBLY HELPFUL, BUT I'LL MAKE THEM 21 ANYWAY BECAUSE I'VE GOT TO SAY SOMETHING. 22 WE'RE TALKING WHEN WE MEASURE EXPOSURE AND WE 23 MAKE CONCLUSIONS FROM EXPOSURE OUTCOME RELATIONSHIPS ABOUT 24 BEHAVIORAL ISSUES, AND WE HAVE TO KEEP IN MIND THAT WHEN 25 WE'RE TALKING ABOUT LUNG CANCER, AND STAN WOULD BE THE 0127 01 FIRST TO POINT OUT IF WE GAVE HIM ANYMORE THAN A SPLIT 02 SECOND OF OPPORTUNITY, THAT WE'RE TALKING ABOUT SMOKING, 03 AND SMOKING IS A VERY DIFFICULT THING TO COMPLETELY ADJUST 04 FOR. 05 SO I WOULD HAVE A CERTAIN LEVEL OF HESITATION 06 THAT WE HAVE ACCURATELY ADJUSTED FOR SMOKING IN ANY OF 07 THESE EVALUATIONS. HOWEVER, IN GENERAL, I THINK THAT THE PROBLEM 08 09 IS NOT REALLY CONFOUNDING BY SMOKING SO MUCH AS IT IS 10 MISCLASSIFICATION TO SOME EXTENT. 11 SO MY INCLINATION, IF LOOKING AT THE CATEGORIES OF 12 STUDIES THAT HAVE BEEN DONE, IS TO SAY THAT AMONG THE CASE 13 CONTROL STUDIES THERE ARE A COUPLE IN WHICH MAYBE THERE 14 HAVE BEEN OVERESTIMATIONS ON THE BASIS OF SMOKING 15 CONFOUNDING; FOR EXAMPLE, THE ONE IN WHICH THE STUDIES 16 FROM DETROIT IN WHICH COLON CANCER CASES WERE USED AS A 17 CONTROL, COLON CANCER BEING A DISEASE OF WHITE COLLAR 18 WORKERS AND NOT BLUE COLLAR WORKERS. 19 THERE ARE THOSE IN WHICH CONTROLS WERE 20 SELECTED FROM EITHER HOSPITAL PATIENTS OR FROM OTHER 21 DEATHS, IN WHICH THE LIKELIHOOD OF SMOKING RELATED DISEASE IS LIKELY TO HAVE BEEN THERE MORE THAN IT WOULD HAVE BEEN 22 23 HAD IT BEEN THE GENERAL POPULATION CONTROLS, AND IN THOSE CASES PERHAPS THE RISKS WERE UNDERESTIMATED. 24 25 AND THEN THERE ARE THE COHORT STUDIES IN 0128 01 WHICH -- I THINK THERE ARE PROBABLY MORE MISCLASSIFICATION 02 THAN ACTUAL CONFOUNDING. 03 BUT WE REALLY DON'T KNOW, AND WE HAVE TO --04 AS BOTH KATHIE AND TOM POINTED OUT, WE'RE DEALING WITH 05 SURROGATE MEASURES OF EXPOSURE, EVEN THOUGH THEY MAY BE 06 FINELY DIVIDED BY DETAIL AND OCCUPATION. 07 AND THAT MEANS THERE'S GOING BE TO A LOT OF 08 VARIABILITY IN THE ACTUAL DIESEL EXPOSURE WITHIN EACH OF 09 THESE CATEGORIES, AND BY THE SAME TOKEN SOME MEASURE OF 10 VARIABILITY IN THE DEGREE OF SMOKING. THAT WE CAN'T MEASURE. IN OTHER WORDS, YOU 11 12 CAN MEASURE THE NUMBER OF CIGARETTES, THE CUMULATIVE 13 NUMBER OF CIGARETTES, BUT YOU CAN'T MEASURE THE LENGTH OF 14 THE CIGARETTE THAT'S SMOKED, YOU CAN'T MEASURE THE AMOUNT 15 OF TIME THAT THE CIGARETTE IS KEPT IN THE MOUTH RATHER 16 THAN KEPT IN THE HAND, ET CETERA, ET CETERA, ET CETERA. SO ANYWAY I -- I DON'T THINK IT'S -- IT 17

18 DOES -- I THINK THE NET EFFECT IS THAT, IF ANYTHING, IT'S 19 REDUCED THE MAGNITUDE OF THE MEASURED EFFECTS. 20 OTHER COMMENT I MIGHT MAKE IS ABOUT -- AS 21 WITH EVERYBODY ELSE, ABOUT THE DOSE-RESPONSE RELATIONSHIP 22 STUDIES THAT WE'RE GOING TO HEAR ABOUT LATER. 23 IF ANYTHING, THESE MISCLASSIFICATION ISSUES 24 WITH SMOKING MAKE THOSE ESTIMATES EVEN MORE DIFFICULT TO 25 ASSESS BECAUSE THERE'S GOING TO BE RELATIONSHIP BETWEEN 0129 01 DOSE AND THE KINDS OF BEHAVIORAL ISSUES THAT I WAS 02 SPEAKING ABOUT A MOMENT AGO. 03 AND MYSELF, I'M NOT ENTIRELY SURE EVEN WHY WE 04 SPENT A LOT OF TIME DISCUSSING THOSE DOSE-RESPONSE 05 RELATIONSHIPS, AND THE REASON WHY I DON'T KNOW WHY WE DO 06 IS BECAUSE HISTORICALLY, WE DID THAT IN THE -- IN THE 07 ASSESSMENT OF TOXIC AIR CONTAMINANTS VERY EARLY ON BECAUSE 08 WE WERE TRYING TO EXTRAPOLATE FROM ANIMALS, AND IT 09 REQUIRED THESE DOSE-RESPONSE RELATIONSHIPS TO BE LOOKED AT 10 VERY CAREFULLY. 11 WHEN WE'RE STARTING WITH EPIDEMIOLOGIC DATA, 12 IT'S NOT CLEAR TO ME THAT IT'S THAT IMPORTANT, 13 PARTICULARLY SINCE THE GOAL THAT YOU HAVE IN FRONT OF YOU 14 IS TO DECIDE WHETHER OR NOT DIESEL EXHAUST IS A TOXIC AIR 15 CONTAMINANT. 16 AND I THINK WE HAVE ABUNDANT EVIDENCE TO 17 SUGGEST THAT THERE ARE TOXIC ELEMENTS IN DIESEL EXHAUST. 18 WE HAVE ABUNDANT REASON TO BELIEVE THAT IT IS 19 AN AIR CONTAMINANT; AND GIVEN THAT WE'VE LOOKED AT THE 20 RELATIVE RISKS, AND I WOULD CONCUR WITH ALLAN'S VERY BRIEF SUMMARY ESTIMATE OF THE MAGNITUDE OF THE EFFECTS, I THINK 21 YOU'RE GONG TO BE LEFT WITH NO OTHER CONCLUSION BUT TO SAY 22 23 THAT IT IS A TOXIC AIR CONTAMINANT. 24 THE DIFFICULTY, OF COURSE, THEN COMES AT 25 ANOTHER STAGE IN THE CYCLE, AND I WOULD LIKE TO MAKE VERY 0130 01 CLEAR THAT IT IS ANOTHER STAGE IN THE CYCLE, AND THAT'S 02 THE REGULATORY PROCESS, THE RISK ASSESSMENT AND QUANTITATIVE RISK ASSESSMENT. 03 04 SO WITH THAT, I'M GOING TO STOP, JOHN. 05 DR. FROINES: THANK YOU VERY MUCH. THANK YOU VERY 06 MUCH. 07 HE ALWAYS HAS SOMETHING GOOD TO SAY. NO 08 MATTER WHAT. 09 RATHER THAN SORT OF ASKING KATHIE TO TALK 10 ABOUT ALLAN TO TALK ABOUT ERIC TO TALK ABOUT TOM SMITH, I 11 DON'T EVEN KNOW IF TOM SMITH IS HEARING US. IS HE? CAN 12 HE SPEAK TO US? DR. TOM SMITH: I AM. I'M HIDING BACK HERE. CAN 13 14 YOU HEAR ME? I DEFINITELY AM LISTENING, AND IT WAS 15 TREMENDOUSLY INTERESTING. 16 DR. FROINES: GREAT. 17 DR. GLANTZ: HE CAN WALK INTO THE CAMERA SINCE WE 18 NOW HAVE A GREAT PICTURE OF THIS ROOM. 19 DR. FROINES: HOW L.A. 20 DR. TOM SMITH: RIGHT. AND YOU THOUGHT I HAD LEFT, 21 OH, MAN. DR. FROINES: THE QUESTION THAT WE HAVE TO CONCLUDE 22

23 OUT OF THIS MEETING IS NOT SO MUCH ABOUT DIESEL, BUT 24 WHETHER THIS IS THE FUTURE. IT SEEMS IT MAY BE, BUT THERE 25 MAY BE SOME TINKERING WE HAVE TO DO WITH IT. 0131 01 DR. TOM SMITH: I THINK SO. YOU'VE GOT TO GET 02 ACTORS. 03 DR. FROINES: ACTORS. WHO WOULD YOU LIKE TO PLAY 04 YOU? 05 DR. TOM SMITH: HOW ABOUT ROBERT DUVALL? 06 DR. GLANTZ: I WAS THINKING MATT DAMON. DR. FROINES: WHY DON'T WE OPEN IT UP FOR 07 08 DISCUSSION BETWEEN THE TWO SIDES OF THE TABLE AND 09 INCLUDING OUR HOLLYWOOD PARTICIPANT BACK THERE. 10 PAUL? 11 DR. BLANC: ALLAN, I WOULD TO ASK YOU EXPAND A 12 LITTLE BIT ON A COMMENT THAT YOU SAID AS AN ASIDE ALMOST 13 BECAUSE OF THE TIME CONSTRAINTS THAT YOU HAD REGARDING THE 14 LIKELIHOOD THAT COMPLICATED MULTI-VARIATE PREDICTIVE 15 MODELS OF THE DOSE-RESPONSE THAT INCLUDE AGE IN THE MODEL 16 ARE LIKELY TO FALL -- GIVE A FALSE NEGATIVE-DOSE-RESPONSE 17 BECAUSE OF THE COLLINEARITY BETWEEN THE AGE AND THE YEARS 18 ELAPSED SINCE FIRST EXPOSURE. 19 MY QUESTION IS -- AND I UNDERSTAND THAT THAT 20 WOULD OBVIOUSLY BE A PROBLEM FROM A THEORETICAL POINT OF 21 VIEW. 22 IT -- IN YOUR READING OF THE DRAFT DOCUMENT 23 AS IT CURRENTLY EXISTS, DO YOU BELIEVE THAT SUCH 24 COLLINEARITY HAS INTERFERED WITH ANY OR ALL OR SOME OF THE 25 DOSE-RESPONSE MODELS THAT ARE PRESENTED VIS-A-VIS THE 0132 01 HUMAN EPIDEMIOLOGIC DATA? 02 DR. ALLAN SMITH: I HOPE -- I HAD HOPED NOBODY 03 WOULD ASK ME A QUESTION, BUT TO EXPLAIN WHAT I WAS GETTING 04 AT, FIRSTLY, I -- ONCE WHEN I STARTED IN FULL TIME IN 05 EPIDEMIOLOGY RESEARCH ABOUT 25 YEARS AGO, I THOUGHT THAT 06 MULTI-VARIATE MODELS WERE GOD'S GIFT TO MEDICINE AND WOULD 07 SOLVE EVERYTHING. I DID QUITE A BIT WITH THEM IN MY 80 09 DISSERTATION WORK AND BECAME RAPIDLY DISILLUSIONED WITH 10 THE WAY NUMBERS WOULD JUMP AROUND. 11 I WAS THEN WORKING ON BLOOD PRESSURE IN WHICH 12 I HAD SYSTOLIC BLOOD PRESSURE, DIASTOLIC BLOOD PRESSURE, 13 CHOLESTEROL, BODY WEIGHT, AGE, AND I JUST NOTED THAT 14 EVERYTHING WAS HIGHLY UNSTABLE, PARTICULARLY IF YOU DID 15 SOMETHING A LITTLE BIT WRONG OR TWEEKED THE MODEL. 16 SO I BECAME VERY SUSPICIOUS OF MODELS, AND 17 WHAT I TEACH MY STUDENTS IS THAT FIRST WORK OUT WHAT THE 18 RESULTS ARE ON SIMPLE STRATIFIED ANALYSIS, AND THEN ONCE 19 YOU KNOW WHAT THE RESULTS ARE GOING TO BE MORE OR LESS, 20 THEN DO MULTI-VARIATE MODELS, BUT MAKE SURE YOU KNOW THE 21 ANSWER FIRST BECAUSE OTHERWISE THEY ARE DANGEROUS. 22 NOW, WITH REGARD THOUGH, COMING BACK TO THE 23 DOCUMENT, MY COMMENT WAS IN PART BECAUSE I -- I THINK 24 THAT -- AND I'M GLAD DUNCAN THOMAS IS HERE BECAUSE HE'S 25 MORE AN EXPERT TO COMMENT ON THIS. 0133 01 BUT THE -- YOU -- EACH OF THESE MODELS MAKE

02 CERTAIN ASSUMPTIONS. I ACTUALLY THOUGHT THAT THE MODEL 03 THAT DR. GARSHICK USED ON HIS COHORTS, THAT HE WAS FINE 04 AND APPROPRIATE. IT'S -- IT'S WHEN THOUGH YOU START 05 PLAYING AROUND WITH DIFFERENT WAYS OF JUGGLING AGE, 06 JUGGLING DURATION OF EXPOSURE IN A COHORT THAT HAS A FIXED 07 DATE START OF EXPOSURE, WHICH THE PROBLEM IN THAT COHORT 08 TO ME IT IS NOT EXACTLY FIXED AS KATHIE WAS POINTING OUT. 09 THE EXPOSURE MORE OR LESS STARTED FOR 10 EVERYBODY ABOUT THE SAME TIME, WHICH IS NOT TYPICAL OF 11 COHORT STUDIES. 12 SO THEN YOU HAVE YOUR EXPOSURE VARIABLE MUCH 13 MORE DEPENDENT THAN THIS STUDY ON CALENDAR TIME, AND ONCE 14 YOU THEN GET THAT MIX IN, I THINK THERE'S A WAY THAT 15 DR. KENNY CRUMP DID A VARIETY OF MODELS THAT ALL SHOWED 16 DIFFERENT SLOPES. I WOULD SAY TO ME THAT IS NOT EVIDENCE 17 AT ALL OF ANYTHING. THAT'S WHAT I MIGHT EXPECT IF YOU 18 PLAY AROUND WITH THESE MODELS. 19 WITH REGARD THOUGH TO THE -- MY COMMENT ON 20 THE DOCUMENT, I THINK THAT, IN MY VIEW, THERE IS EVIDENCE 21 OF DURATION AND EXPOSURE TRENDS WITHIN THAT PARTICULAR 22 STUDY, AND I PERSONALLY WOULDN'T GO TOO MUCH FURTHER THAN 23 THAT. 24 BUT NEVERTHELESS I WOULD -- DO BELIEVE THAT 25 THE WORK THAT STAN DAWSON HAS DONE ALSO WOULD SUPPORT AND 0134 01 DOES SUPPORT THAT THERE ARE SOME TRENDS. 02 NOW, I'VE GIVEN A LONG ANSWER, AND I THINK IT 03 IS A COMPLICATED QUESTION. WE COULD DEBATE A LONG TIME, 04 BUT I WAS REALLY GETTING AT, I GET WORRIED IF PEOPLE PUT 05 TOO MUCH CONFIDENCE IN MODERN ANALYSIS; AND SECONDLY, THEY 06 GET TOO WORRIED IF JUGGLING THE MODELS IN DIFFERENT WAYS 07 PRODUCES QUITE DIFFERENT RESULTS. 80 DR. FROINES: PETER IS NEXT. 09 DR. WITSCHI: YEAH, I HAVE A QUESTION TO KATHIE 10 PROBABLY. I LIVE IN DAVIS ON THE WRONG SIDE OF THE 11 TRACKS, AND SO I SEE QUITE A FEW OF THOSE OLD DIESEL 12 13 ENGINES GOING BY. 14 THE QUESTION I HAVE, WHAT DO WE KNOW ABOUT 15 THE FLEET, THE CAR FLEET, TRUCKS AND PASSENGER CARS? DO 16 WE HAVE THE SAME PHENOMENON, MOSTLY OLD MODELS, OR HOW HAS 17 THIS CHANGED OVER THE TIMES? DR. HAMMOND: IS YOUR -- IS YOUR QUESTION 18 19 AUTOMOBILES, CARS? 20 DR. WITSCHI: YES, YES. I MEAN, HOW MANY OF THE 21 CARS OF THE DIESEL FLEET THAT'S STAYED AROUND, WHICH WOULD 22 BE THE EARLIER TECHNOLOGY THAT WAS MUCH MORE DIRTY THAN 23 WHAT'S PRESENT TODAY? 24 DR. HAMMOND: SHALL WE SAY AUTOMOBILES ARE 25 INTERMEDIATE BETWEEN P.C.'S AND LOCOMOTIVES? AUTOMOBILES 0135 01 ARE INTERMEDIATE IN LIFE SPAN. 02 DR. FROINES: IS PETER -- IS PETER TRYING TO DECIDE 03 WHETHER TO MOVE ACROSS THE TRACKS OR NOT? 04 DR. HAMMOND: I THINK -- FIRST OF ALL, I REALLY --05 I HAVE NEVER STUDIES THE LENGTH OF CARS. SO I REALLY 06 PROBABLY CAN'T ANSWER THAT. SO IT'S, YOU KNOW --

07 DR. WITSCHI: I'M TALKING ABOUT CARS, ABOUT TRUCKS, 08 ALL THE MOBILE SOURCES OTHER THAN LOCOMOTIVES. DO YOU 09 HAVE ANY INFORMATION? 10 DR. HAMMOND: NOW, THE REASON I WAS TALKING ABOUT 11 THE LOCOMOTIVES, THE PURPOSE OF THAT WAS TO TRY TO 12 INTERPRET THE EXPOSURES IN THE STUDY AND HOW THOSE 13 EXPOSURES CHANGED OVER TIME. 14 I THINK IN TERMS OF THE CAR FLEETS, THE TRUCK 15 FLEETS AND ALL OF THAT, THAT'S WHERE I WOULD TURN TO --16 SAY, TO BARBARA, FOR INSTANCE, WHO HAS DONE SOME WORK ON 17 THAT, OR PEOPLE WHO HAVE LOOKED AT -- AND THERE HAVE BEEN 18 STUDIES THAT I'VE SEEN, YOU KNOW, THAT CLAIM THAT MOST OF 19 THE PARTICLE EXPOSURES ARE COMING FROM OLDER VEHICLES. 20 BUT THAT -- THAT'S OTHER SOURCE OF DATA THAT 21 I CAN'T TELL YOU ABOUT. 22 DR. FROINES: JIM. 23 DR. SEIBER: I HAD A QUESTION FOR ALLAN. 24 GOING BACK TO YOUR SORT OF BRIEF CALCULATION 25 AT THE END OF YOUR PRESENTATION WHEN YOU TOOK 0136 01 50 MICROGRAMS PER CUBIC METER AND EXTRAPOLATED DOWN, WAS 02 THAT -- IS THAT KIND OF A LINEAR EXTRAPOLATION THAT YOU 03 DID? 04 DR. ALLAN SMITH: YES, IT WAS. AND OF COURSE, AT 05 THE TIME I TOOK THAT 50 NUMBER, I HADN'T HEARD 06 KATHIE HAMMOND'S PRESENTATION, BUT IT'S SORT OF IN THE 07 MIDDLE THERE SOMEWHERE OF THOSE ESTIMATES, BUT YEAH. THE 08 FUNDAMENTAL ASSUMPTION IN COMING WITH THE RISK ESTIMATE I 09 DID IS THAT THERE ISN'T A THRESHOLD AND THINGS ARE MORE OR 10 LESS LINEAR. 11 THE ONLY -- THE BASIS I GET FOR DOING THAT IS 12 IN THE ESTABLISHED HUMAN CARCINOGENS, WE HAVE NOT 13 GENERALLY ESTABLISHED THAT THERE ARE THRESHOLDS. IN FACT, 14 OVER THE YEARS, WE KEEP FINDING IF THEY ARE PROPOSED, THE 15 PROPONENTS PROPOSE THEM LOWER AND LOWER AS MORE DATA COMES 16 IN. SO YOU ARE CORRECT. IT'S ASSUMING A LINEAR 17 18 EXTRAPOLATION. THERE'S NO BASIS FOR IT IN THE 19 EPIDEMIOLOGICAL DATA. IT'S BASED IN THE DIESEL AREA THAT 20 IT IS LINEAR DOWN AT THOSE LEVELS. 21 SO NEVERTHELESS, IF ONE LOOKS HISTORICALLY AT 22 ESTABLISHED HUMAN CARCINOGENS, THEY ARE ROUGHLY LINEAR. 23 THE FINDING FROM SOMEBODY PASSIVE SMOKING MAY BE A BIT 24 SUPRALINEAR, AND THERE ARE ONES OF ARSENIC INHALATION 25 WHICH IS SUPRALINEAR, AND THEN THERE ARE SOME THAT ARE 0137 01 SOMEWHAT SUBLINEAR, BUT THEY ARE PRETTY CLOSE TO LINEAR, 02 MOST OF THEM. DR. SEIBER: SO IF YOU USE THE -- I THINK THE 03 04 O.E.H.H.A. -- THE DRAFT REPORT A.R.B. O.E.H.H.A. USED AS A 05 STATE-WIDE AVERAGE EXPOSURE OF -- LET'S SEE 2.1 IN 1990, 1.5 IN 1995 AND 1.3 IN THE YEAR 2000 AS AN ESTIMATE, WE 06 07 WOULD SIMPLY ADJUST YOUR CALCULATION -- IT'S STILL A 08 SIGNIFICANT NUMBER OF EXCESS CANCERS PER MILLION --09 DR. ALLAN SMITH: RIGHT. 10 DR. SEIBER: -- BUT NOT -- NOT AS GREAT AS THE 11 NUMBER THAT YOU USED, WHICH I THINK WOULD HAVE BEEN

12 2,000 --13 DR. ALLAN SMITH: WELL, I USED A LEVEL OF FOUR 14 MICROGRAMS --15 DR. SEIBER: -- PER MILLION. 16 DR. ALLAN SMITH: -- WOULD BE THE CUBE FOR URBAN 17 AREAS. SO I WOULDN'T SAY THAT CHANGE MADE VERY MUCH 18 DIFFERENCE. THESE ARE ORDER OF MAGNITUDE ESTIMATES. I'M 19 SURE YOU'RE RIGHT. 20 DR. SEIBER: OKAY. THANK YOU. 21 DR. FROINES: DOES -- IS KATHIE'S DATA INCLUDED IN 22 THE REPORT? HER AND -- AND TAKING THESE TWO COMMENTS, IS 23 -- ARE THOSE ESTIMATES OF RISK ASSOCIATED WITH THOSE 24 EXPOSURE ESTIMATES IN THE REPORT? AND DO THEY NEED TO BE? 25 DR. ALEXEEFF: THE -- THE GIST OF KATHIE'S 0138 01 COMMENTS ARE IN THE REPORT BASED UPON THE RANGE OF 02 EXPOSURE, SORT OF PATTERNS THAT ARE THERE. 03 SO WE DON'T HAVE, FOR EXAMPLE, THE SUGGESTION 04 OF -- OF SUBTRACTING THE OUTDOOR AIR RISK NUMBER AS BEING 05 THE BEST ESTIMATE. 06 INSTEAD, WE HAVE THE FIRST TWO PROPOSALS SHE 07 HAD ON THERE. DO NOTHING OR DO EVERYTHING. SO WE'VE 08 BRACKETED WHAT THE EXPOSURE WOULD BE. 09 DR. FROINES: DALE, ARE YOU GOING TO TALK TO THAT 10 AT SOME POINT? 11 DR. HATTIS: YEAH. I'VE GOT BASICALLY -- I THINK I 12 AGREE WITH KATHIE THAT IN FACT THAT OUTDOOR BACKGROUND IS 13 LIKELY THE BEST ANSWER. IT CHANGES THE NUMBER VERY 14 MODESTLY IN THE DIRECTION OF LOWERING THE EXPECTED 15 POTENCY. I WOULD LIKE TO SEE IN ADDITION TO 16 17 KATHIE'S -- I THINK WHAT'S IN THE DOCUMENT AT THE MOMENT, 18 TO ANSWER YOUR QUESTION, IS A BRACKET -- AN OVERALL 19 BRACKETING OF THE POSSIBLE INTERPRETATIONS OF THE CASE 20 CONTROL STUDY DATA IN -- AND THE META-ANALYSIS. I WOULD -- I WOULD -- I THINK IT WOULD BE 21 22 INTERESTING AS A SUPPLEMENT TO INCLUDE THE MORE SPECIFIC 23 ESTIMATES THAT YOU'VE MADE OF THE DIFFERENT CATEGORIES OF 24 WORKERS AND THE IMPLICATIONS OF THOSE FOR THE 25 META-ANALYSIS CALCULATED POTENCY NUMBERS. 0139 01 DR. FROINES: SO EVERYBODY WHO'S HERE IN THE 02 AUDIENCE, STICK AROUND BECAUSE DALE HATTIS HAS SOMETHING 03 NEW TO SAY, BUT IT'S GOING TO BE A FEW HOURS FROM NOW. 04 STAN? DR. GLANTZ: I'D LIKE TO -- YOU KNOW, YOU PUT 0.5 06 GRAPHS IN FRONT OF ME AND I GO NUTS, BUT THE -- COULD YOU PUT UP DR. GARSHICK'S -- THE LAST GRAPH, THE BLUE ONE. I 07 08 WANT TO ASK A COUPLE QUESTIONS. NO, NO. IT WAS THE BLUE 09 ONE WITH THE WEIRD EXTRAPOLATION ON IT. YEAH. 10 DR. BLANC: THE MONTE CARLO PROJECTION, WAS THAT 11 RIGHT? 12 DR. GLANTZ: WELL, WELL -- YEAH, THAT ONE. I -- I MEAN, NOT TO BE RUDE OR ANYTHING, BUT 13 14 THAT LOOKS LIKE A PRETTY STRANGE LINE TO DRAW THROUGH THAT 15 GRAPH. I MEAN, THE WAY I WOULD INTERPRET THAT TOTALLY 16 NAIVELY IS THAT WHAT IT'S SHOWING IS THAT A LITTLE BIT OF

17 EXPOSURE IS BAD AND THEN -- AND THEN, YOU KNOW, YOU GET 18 SORT OF A SATURATION EFFECT OR SOMETHING. 19 I MEAN, CONVINCE ME THAT RATHER THAN DRAWING 20 A LINE WHICH DOESN'T LOOK ANYTHING LIKE THE DATA POINT. 21 DR. GARSHICK: THIS LINE HERE. THIS IS BASED ON IF 22 YOU MODEL CUMULATIVE YEARS OF EXPOSURE, AND THIS IS IF YOU 23 DO THE CATEGORICAL ANALYSIS, SHOWING IF YOU ANCHOR -- IF 24 YOU ANCHOR THE YEARS OF EXPOSURE BASED UPON CUMULATIVE 25 YEARS --0140 DR. GLANTZ: WAIT. NO. 01 02 DR. GARSHICK: -- YOU GET THIS POINT AND THAT 03 POINT. 04 DR. GLANTZ: I UNDERSTAND THAT. 05 DR. GARSHICK: BUT THAT'S NOT -- I DIDN'T ATTEMPT 06 TO PUT A LINE THROUGH THIS. 07 DR. GLANTZ: NO. THAT'S FINE. BUT I WANT TO MAKE 08 SURE I UNDERSTAND THIS. WHAT YOU DID IS YOU HAD -- IF YOU 09 LOOK AT THE ORANGE POINTS AND THE -- AND THE ERROR BARS, 10 THOSE WERE THE RISKS THAT YOU ESTIMATED FOR A GROUP OF 11 PEOPLE WHO HAD LIKE ZERO TO FIVE YEARS --12 DR. GARSHICK: ZERO TO SEVEN, RIGHT, EXACTLY. 13 DR. GLANTZ: AND THEN THE NEXT ONE WAS SEVEN TO TEN 14 AND STUFF LIKE THAT --15 DR. GARSHICK: EXACTLY. 16 DR. GLANTZ: OKAY. WELL, IF THAT'S THE CASE, IF 17 YOU JUST USE THE EYEBALL METHOD, WHICH I WAS CRITICIZING 18 JOE MAUDERLY FOR DOING EARLIER, THE -- IT LOOKS TO ME LIKE 19 WHAT YOU'RE SHOWING THERE IS THAT EVEN THE SHORT-TERM 20 EXPOSURES YOU GET AN INCREASE IN RISK, AND THEN IT SORT OF 21 STABILIZES. 22 AND SO A LITTLE BIT OF EXPOSURE IS ALL YOU 23 REALLY NEED TO HAVE AN EFFECT, AND THEN LONGER-TERM 24 EXPOSURES AREN'T REALLY DOING ALL THAT MUCH. 25 I MEAN, THAT WOULD BE MY INTERPRETATION JUST 0141 01 SEEING THIS GRAPH FOR THE FIRST TIME TODAY. DR. GARSHICK: THE QUESTION IS WHAT'S CAUSING 02 03 THIS. DOES THIS REPRESENT THE EFFECT OF JUST A FEW YEARS 04 OF EXPOSURE? THAT WOULD INDEED MAKE DIESEL A RELATIVELY 05 POTENT CARCINOGEN. 06 DR. GLANTZ: YEAH. 07 DR. GARSHICK: DOES IT REPRESENT THE EFFECT OF --08 OF COMPETING EXPOSURES FROM OTHER COMBUSTION PRODUCTS 09 DURING THE STEAM ERA? DOES IT REFLECT --10 DR. GLANTZ: WELL, NO --11 DR. GARSHICK: -- THE HOMOGENEITY OF SMOKING 12 HABITS --DR. GLANTZ: RIGHT. 13 14 DR. GARSHICK: -- OR DOES IT REFLECT THE LACK OF 15 INCLUDING LATENCY THAT THESE DEATHS ACTUALLY OCCURRED 16 THROUGHOUT THE YEARS OF THE COHORT, AND MAYBE WITH 17 INCREASING LATENCY, YOU KNOW, GIVEN A FIXED EXPOSURE IS AN 18 INCREASE IN RISK. 19 SO THERE IS A NUMBER OF EXPLANATIONS. 20 DR. GLANTZ: WELL, I UNDERSTAND THAT, BUT BY 21 PRESUMABLY BY PUTTING THE GRAPH UP IN FRONT OF US, YOU

22 DON'T THINK YOU'RE PUTTING SOMETHING SILLY UP THERE? 23 DR. GARSHICK: NO. 24 DR. GLANTZ: OKAY. I WOULD PRESUME --25 DR. GARSHICK: I -- I'M -- I'M LOOKING FOR --0142 01 I'M NOT SURE. THIS IS ELEVATED AT THAT POINT. I GUESS 02 MY POINT IS THIS IS VERY DISSIMILAR TO WHAT'S IN THE 03 DOCUMENT REGARDING THE ANALYSIS OF YEARS OF EXPOSURE. DR. GLANTZ: RIGHT. WELL, I'M NOT TALKING ABOUT 04 05 THE DOCUMENT. I'M TRYING TO UNDERSTAND THIS GRAPH. I 06 MEAN, EVEN IF YOU THROW AWAY THE FIRST POINT, OKAY, IT'S 07 DRAWING THAT YELLOW LINE STILL DOESN'T SEEM TO MAKE A LOT 08 OF SENSE TO ME. I MEAN, WHAT THIS IS SHOWING --09 DR. GARSHICK: RIGHT. WE DON'T THINK THIS IS THE 10 RIGHT DOSE-RESPONSE. WE ARE SHOWING HOW IT CAN HAPPEN IF 11 YOU MODEL CUMULATIVE EXPOSURE. THAT'S ALL WE'RE SHOWING, 12 THE DIFFERENCE BETWEEN LINES --13 DR. GLANTZ: OKAY. BUT WOULD A RAT -- ASSUMING 14 THAT -- THAT YOUR ANALYSIS AND -- YOU KNOW, IT WAS 15 COMPETENTLY DONE, AND WHICH I'M HAPPY TO ASSUME, AND THAT 16 YOU CONTROLLED APPROPRIATELY FOR ALL THOSE OTHER THINGS 17 THAT YOU MENTIONED --DR. GARSHICK: RIGHT. 18 DR. GLANTZ: -- I MEAN, WOULDN'T A REASONABLE 19 20 INTERPRETATION OF THIS SLIDE HERE BE THAT YOU GET A HIGH 21 SHORT-TERM POTENCY, AND THEN MAYBE YOU DON'T GET -- MAYBE 22 THERE IS SOME SYSTEM THAT JUST GETS SATURATED. DR. GARSHICK: IF YOU'RE -- I MEAN, WE'RE LOOKING 23 24 FOR REASONS FOR THIS POINT AND THAT MAY BE --25 DR. GLANTZ: NO, I MEAN, I'M LOOKING FOR REASONS --0143 01 NO. I'M LOOKING FOR REASONS FOR ALL THE POINTS. 02 DR. GARSHICK: WELL, THE RISK IS OVERALL ELEVATED, 03 BUT THE CREWDNESS OF THE EXPOSURE DATA IS HARD TO SORT OUT 04 THE EXACT DOSE-RESPONSE JUST USING YEARS --DR. GLANTZ: NO, BUT -- NO, NO, NO. YOU'RE MISSING 05 06 THE POINT I'M MAKING, AND THAT IS, IF YOU LOOK -- IF YOU 07 LOOK AT THE ALL THE POINTS, THE FACT THAT THE FIRST POINT 08 IS A LITTLE HIGHER THAN THE REST ISN'T THE POINT THAT I'M 09 CONCERNED ABOUT HERE. 10 THE POINT THAT I'M CONCERNED ABOUT HERE IS IT 11 LOOKS LIKE YOU GET A FAIRLY RAPID INCREASE IN RISK AT LOW YEARS OF EXPOSURE, AND THEN IT LOOKS KIND OF LIKE IT 12 PLATEAUS. I MEAN, I'M NOT TALKING ABOUT THE REPORT. I'M 13 14 TALK ABOUT THIS SLIDE. 15 DR. GARSHICK: RIGHT. OKAY. OKAY. DR. GLANTZ: SO I MEAN, I THINK -- IS -- WOULD THAT 16 17 NOT BE A REASONABLE INTERPRE- -- LET'S JUST --DR. GARSHICK: THAT WOULD BE ONE INTERPRETATION, 18 19 RIGHT. 20 DR. GLANTZ: AND WHAT'S WRONG -- I MEAN, WHAT'S 21 WRONG WITH THAT INTERPRETATION? 22 DR. GARSHICK: THE INTERPRETATION IS THAT IF YOU 23 ARE LOOKING FOR A SINGLE SLOPE BASED ON THE DATA IN THE 24 STUDY, THERE ARE A LOT OF UNCERTAINTIES. 25 NOW, THE TERMS OF THE STUDY BEING POSITIVE 0144

01 AND NEGATIVE. I NEVER SAID THE STUDY WAS NEGATIVE. I'M 02 SAYING -- I'M COMMENTING ON THE ABILITY TO DEFINE A 03 DOSE-RESPONSE, AND IT'S -- IT'S VERY DIFFICULT. 04 AND I'M -- THIS IS ANOTHER WAY OF LOOKING AT 05 IT. THE DOCUMENT LOOKED AT IT ANOTHER WAY. AND I -- I 06 THINK THAT THIS GETS TO -- I MEAN, TO DECIDE IF THE 07 TOXIC -- THE AIR CONTAMINANT DOESN'T DEPEND ON WHETHER YOU 08 CAN FIT A SLOPE THROUGH THESE DATA. 09 DR. GLANTZ: RIGHT. BUT WHAT I'M SAYING IS, BASED 10 ON THIS DATA, WOULDN'T IT BE -- WOULD YOU SAY THAT A 11 BETTER THING TO DO THAN TO FIT A SINGLE SLOPE, WHICH, YOU 12 KNOW, LOOKING AT THIS GRAPH IS SILLY --13 DR. GARSHICK: RIGHT. 14 DR. GLANTZ: -- TO -- TO SAY, OKAY. WELL, WHAT WE 15 SHOULD DO IS SAY WE'VE GOT SOME KIND OF SATURATING PROCESS 16 WHERE YOU GET A HIGH TOXICITY AT LOW EXPOSURES, AND THEN 17 IT TENDS TO FLATTEN OUT. 18 DR. GARSHICK: I MEAN, WE'RE SPECULATING ABOUT THE 19 BIOLOGY HERE. THAT'S THE ONE THING THAT IS MISSING FROM 20 ALL THESE DISCUSSIONS, AND I MEAN, IF WE'RE SAYING --21 DR. GLANTZ: YEAH, BUT THAT'S NOT THE QUESTION I'M 22 ASKING. 23 DR. GARSHICK: NO, NO. BUT HOW YOU INTERPRET THESE 24 POINTS DEPENDS ON BIOLOGY OF WHAT YOU'RE STUDYING. 2.5 DR. GLANTZ: NO. I --0145 01 DR. GARSHICK: IT IS PLAUSIBLE THAT A FEW YEARS OF 02 DIESEL CAN CAUSE THIS AMOUNT OF RISK? IS IT PLAUSIBLE? I 03 MEAN, THAT'S A QUESTION. DR. GLANTZ: WELL, BUT I'M -- SEE, IF YOU LOOK 04 05 AT -- IF YOU LOOK AT NOW, YOU KNOW, SOMEONE DANGLED THE 06 WORD SMOKING IN FRONT OF ME, BUT IF YOU LOOK AT -- IF YOU 07 LOOK AT PASSIVE SMOKING AND HEART DISEASE, YOU SEE 08 SOMETHING VERY MUCH LIKE THIS, WHERE YOU GET A BIG 09 INCREASE AT LOW DOSES, AND THEN IT TENDS IT PLATEAU. SO I MEAN, I REALIZE THAT HEART DISEASE ISN'T 10 11 CANCER, AND CIGARETTE SMOKE ISN'T DIESEL FUMES, BUT IF YOU 12 LOOK AT THE ERROR BARS THAT YOU'VE DRAWN ON THERE, OKAY, 13 THEY TEND TO -- THERE IS A LOT OF OVERLAP. 14 DR. GARSHICK: THERE IS OVERLAP. 15 DR. GLANTZ: AND SO IT SEEMS TO ME RATHER THAN 16 FOCUSING ON THE FACT THAT THAT FIRST POINT IS ELEVATED, 17 WHICH MAY JUST SIMPLY BE STATISTICAL UNCERTAINTY, I MEAN, 18 WOULDN'T A REASONABLE CONCLUSION BASED ON THE EVIDENCE 19 THAT YOU PRESENTED HERE THAT YOU HAVE A HIGH TOXICITY WITH 20 LOW EXPOSURES, AND THEN IT MIGHT BE A DECAYING -- OR A 21 SATURATING EXPONENTIAL DOSE-RESPONSE. 22 DR. GARSHICK: THE EXPOSURES HERE MAY HAVE BEEN 23 DIFFERENT THAN THE EXPOSURES ACCUMULATED BY THIS GROUP IN 24 TERMS OF INTENSITY AND THIS SUPPOSES WHAT YOU'RE SAYING --25 I KNOW SOMETHING ABOUT THE BIOLOGY OF HOW IT BEHAVES IN 0146 01 HUMANS. ALL I'M SAYING IS THAT THE SLOPE IS UNCERTAIN, 02 BUT THE WHOLE RISK APPEARS TO BE ELEVATED. 0.3 DR. GLANTZ: YEAH. BUT I MEAN, WOULD YOU SAY --04 WOULD YOU SAY BASED ON THIS -- AND I DON'T WANT TO BEAT A 05 DEAD HORSE, AND IF YOU THINK -- YOU -- YOU'RE THE ONE WHO

06 PUT THE GRAPH UP --07 DR. GARSHICK: RIGHT, RIGHT. 08 DR. GLANTZ: -- OKAY? WOULD A REASONABLE MODEL TO 09 ASSUME, INSTEAD OF IT BEING A STRAIGHT LINE HAD A 10 SATURATED EXPONENTIAL WHERE THE THING GOES UP QUICKLY, AND 11 THEN TENDS TO GO UP MORE SLOWLY AT THE HIGHER REALMS? 12 DR. GARSHICK: I MEAN, YOU COULD PROBABLY FIT A 13 SINGLE MODEL TO THIS. I'M NOT SURE HOW TO INTERPRET IT IN 14 LIGHT OF THE BIOLOGY WHAT WE KNOW SO FAR WHICH ISN'T VERY 15 MUCH IN HUMANS. DR. GLANTZ: BUT ANYWAY. OKAY. WELL, I HAD ONE 16 17 OTHER QUESTION FOR YOU JUST BECAUSE --18 DR. TOM SMITH: COULD I MAKE ONE COMMENT ON THAT? 19 DR. FROINES: WAIT A SECOND. 20 DR. GLANTZ: I'M SORRY. 21 DR. FROINES: IS THAT TOM? 22 DR. TOM SMITH: YEAH. 23 DR. FROINES: GO AHEAD. 24 DR. TOM SMITH: JUST ONE -- ONE COMMENT ON THAT. 25 I THINK IT'S IMPORTANT TO REMEMBER THAT YEARS 0147 01 ARE NOT EXPOSURE. YEARS ARE ONLY PART OF THE EQUATION, AND IF YOU PUT SOME ERROR BARS AROUND HOW WELL YEARS 02 03 REPRESENT EXPOSURE, NAMELY, THE ERROR BARS I WAS TALKING 04 ABOUT ON THE EXPOSURE SCALE, I THINK YOU MIGHT DISCOVER 05 THAT THOSE POINTS, IN FACT, WERE NOT TERRIBLY DIFFERENT 06 FROM ONE ANOTHER OVERALL. 07 DR. GLANTZ: OKAY. BUT THAT --80 DR. GARSHICK: NO, I THINK THAT'S RIGHT. I THINK 09 IT'S QUITE -- THEY ARE QUITE SIMILAR, THE POINTS, AND THE 10 ISSUE IS JUST ILLUSTRATING THAT FITTING THE SINGLE SLOPE 11 USING YEARS OF EXPOSURE IS DIFFICULT IN THIS DATA. 12 SO THAT'S THE ONLY POINT TO THIS, AND I'M NOT 13 SURE WHAT THE INTERPRETATION AT THIS POINT REALLY IS. BUT 14 IT GOES TO WHAT YOU SAID AS WELL AS SOME OTHER --DR. BLANC: CAN I JUST CLARIFY SOMETHING FOR A 15 16 SECOND IN THE CONTEXT OF DISCUSSION? 17 I WASN'T INCORRECT, THOUGH, WHEN YOU 18 PRESENTED YOUR ORIGINAL ANALYSIS, IT SHOWED THAT THE 19 LONGER THAT HAD ELAPSED FROM EXPOSURE, THE MORE RISK YOU 20 HAD, AND YOU ALSO SHOWED THAT THE HIGHER EXPOSURE JOBS HAD 21 MORE RISK. 22 SO YOU HAD TWO DIFFERENT WAYS OF COMING AT 23 THE ISSUE OF WAS THERE A DOSE-RESPONSE, WHICH SHOWED THERE 24 WAS A DOSE-RESPONSE; IS THAT CORRECT? DR. GARSHICK: IN THE COHORT STUDY --25 0148 DR. BLANC: IN THE COHORT STUDY; IS THAT CORRECT? 01 DR. GARSHICK: -- THE WORKERS AGED 44 TO 59 HAD THE 02 03 HIGHEST RISK OF DYING OF LUNG CANCER. 04 THE PROBLEM CAME IN SORTING OUT 05 DOSE-RESPONSE, AND THE POINT THAT WAS DRIVING THAT 06 REGRESSION, THE 15- TO 17-YEAR-OLD AGE GROUP IS THE GROUP 07 WHERE THE DEATHS ONLY COULD HAVE OCCURRED DURING THE YEARS 08 OF MISSING DEATHS. ALL RIGHT? SO THAT POINT IS VERY 09 UNSTABLE. 10 AND FURTHERMORE, WHEN YOU DO ADJUST FOR

11 ATTAINED AGE AND CALENDAR YEAR THAT THE -- THAT ELEVATED 12 RISK DISAPPEARS, AND KENNY CRUMP'S WORK --13 DR. BLANC: WE'RE NOT TALKING ABOUT KENNY CRUMP'S 14 WORK RIGHT NOW. 15 DR. GARSHICK: EXACTLY, BUT YOU'RE --16 DR. BLANC: YOUR SIMPLE MODEL SHOWED THAT THE 17 PEOPLE WHO HAD THE LONGEST TIME ELAPSED FROM FIRST 18 EXPOSURE, ARE THE MOST CHANCE TO GET CANCER, GOT CANCER. DR. GARSHICK: THERE'S TWO MODELS. ALL RIGHT? THE 19 20 FIRST MODEL IS JOB IN '59 --21 DR. BLANC: RIGHT. 22 DR. GARSHICK: -- AND THAT SHOWED IN THE JOB GROUP 23 WHO HAD THE MOST FUTURE CHANCE OF WORKING DIESEL ARE MOST 24 LIKELY TO DIE OF LUNG CANCER --25 DR. BLANC: AND ALSO THE PEOPLE WHO HAD THE HIGHEST 0149 01 EXPOSURE JOB --02 DR. GARSHICK: LET ME FINISH, LET ME FINISH. 03 THE SECOND MODEL, USING THE ENTIRE COHORT WAS 04 LOOKING AT THOSE PEOPLE WITH THE MOST EXPOSURE 05 INCORPORATED A FIVE-YEAR LAG MODEL, AND THAT -- IN THAT 06 REGRESSION, THEY ARE RELATIVELY UNIFORM -- THE UNIFORM 07 RELATIVE RISK, EXCEPTING THE PEOPLE WITH 15 TO 17 YEARS OF 08 EXPOSURE. 09 WE CAME TO FIND OUT THAT AGE GROUP, THAT 10 ESTIMATE IS QUITE UNSTABLE, DEPENDING -- NOT ONLY DOES IT 11 DEPEND ON THE FEW NUMBER EVENTS, AND A FEW CELLS, BECAUSE 12 WE'RE MISSING DEATHS, IT DEPENDS ON HOW YOU MODEL CALENDAR 13 YEAR AND AGE. 14 AND WHEN YOU TAKE THAT OUT, THE RELATIVE RISK 15 APPEARS TO BE MORE UNIFORM. AND THIS TAKES -- THIS IS 16 FOLLOW UP FOR 1976, BUT THIS IS WHAT ALLAN WAS REFERRING 17 TO. HE THOUGHT OUR FIRST ANALYSIS WAS PROPER. 18 WE'RE BACKING OFF FROM THAT SAYING THAT 19 ESTIMATE IS VERY UNSTABLE. AND TO REALLY GET AT 20 DOSE-RESPONSE, AT LEAST FROM YEARS OF EXPOSURE FROM '59, 21 WE NEED MORE YEARS OF FOLLOW UP. NOW, WE'VE ALSO SAID THAT THE YEARS BEFORE 22 23 1959 IS IMPORTANT IN DETERMINING THIS DOSE-RESPONSE. AND 24 WE'VE NOT DONE THAT IN OUR PREVIOUS WORK BECAUSE OF 25 RELUCTANCE OF TRYING TO GUESS WHEN PEOPLE STARTED WORKING 0150 01 WITH DIESEL LOCOMOTIVES. THIS ANALYSIS, THIS SIMULATION 02 ATTEMPTS TO MODEL THAT UNCERTAINTY, AND IT COMES UP WITH A 03 RELATIVELY UNIFORM SLOPE. 04 DR. FROINES: BUT IF -- I THINK PAUL STILL HASN'T 05 GOT AN ANSWER. 06 DR. BLANC: NO, I GOT AN ANSWER. THE ANSWER WAS 07 THAT THE INITIAL ANALYSIS SHOWED THAT PEOPLE WHO STARTED 08 EARLIER HAD MORE RISKS THAN THE PEOPLE WHO HAD THE HIGHER 09 EXPOSURE JOBS THAN THE PEOPLE WHO HAD THE HIGHER EXPOSURE 10 JOBS, AND THE OTHER ANALYSIS HAD THE HIGHEST RISK. 11 DR. FROINES: I'M TRYING TO SEPARATE OUT WHAT --DR. GARSHICK: THERE'S TWO ANALYSES. 12 13 DR. FROINES: -- IN FACT, CAN BE LEARNED FROM THE 14 DATA THAT WE HAVE, WHICH IS WHAT ALLAN DREW HIS 15 CONCLUSIONS, FROM AND WHAT DEPENDS UPON DOING FURTHER

16 RESEARCH. AS MUCH AS I RESPECT TOM SMITH AND ERIC, OF 17 COURSE THERE'S ROOM FOR MORE RESEARCH TO CHARACTERIZE 18 TO -- TO FURTHER CHARACTERIZE THESE QUESTIONS. 19 BUT WE'RE HERE IN THE BUSINESS OF TRYING TO 20 DECIDE WHAT IS IT WE KNOW ABOUT THESE DATA, WHAT DO THEY 21 TELL US GIVEN THE CIRCUMSTANCES WE FIND OURSELVES IN. AND 22 I THINK THAT'S WHAT ALLAN IS TRYING TO DO AND I THINK 23 THAT'S WHAT PAUL IS TRYING TO DO. AND I DON'T HAVE ANY 24 PROBLEM WITH LOOKING AT THE 15- TO 17-YEAR --25 DR. GARSHICK: EXACTLY. 0151 01 DR. FROINES: -- ISSUES, BUT I WOULD ALSO ARGUE 02 THAT WE CAN PUT THAT IN ANOTHER BOX AND SAY, THAT'S WHAT 03 WE'LL DO LATER. BECAUSE IT'S NOT GERMANE TO WHAT WE HAVE 04 TO DECIDE HERE AS A BODY. 05 AND I THINK THAT'S WHY WE NEED -- WE NEED TO 06 FIND OUT WHAT THE DATA TELLS US THAT WE CAN THEN USE TO 07 DRAW CONCLUSIONS AND MAKE DECISIONS ABOUT. 80 DR. GARSHICK: YOU KNOW, AND JUST -- JUST FROM MY 09 PERSPECTIVE, THE DOSE-RESPONSE AS INITIALLY PUBLISHED IS 10 NOT OUITE AS CLEAR-CUT. THERE APPEARS TO BE A 11 DOSE-RESPONSE WHEN YOU LOOK AT AGE OF 59, BUT THE YEARS OF EXPOSURE IS NOT AS CLEAR, AND THERE ARE LOTS OF REASONS 12 13 WHY THAT MAY BE -- MIGHT BE THE CASE. DR. BLANC: CAN I ALSO ASK A QUICK QUESTION? 14 Т 15 DIDN'T GET A CHANCE TO THIS MORNING TO DR. MAUDERLY. 16 DR. FROINES: WELL, I THINK LET ALLAN FOLLOW UP AND THEN YOU CAN GO BACK TO THAT. 17 DR. ALLAN SMITH: YES. I JUST WANT TO MAKE A POINT 18 19 THAT THERE'S A DANGER IN GOING BEYOND WHAT THE DATA 20 PERMIT. AND WHAT WORRIES ME ABOUT THAT COHORT -- AND I 21 AGREE. I MEAN, THERE SHOULD BE FURTHER WORK DONE ON IT. 22 IT WOULD BE NICE TO SEE FURTHER FOLLOW UP AND THINGS LIKE 23 THAT. 24 BUT THE QUESTION IS WHAT CAN BE INTERPRETED 25 ABOUT IT NOW, AND IN THAT REGARD, YOU'VE GOT THE 0152 01 ASSOCIATION YOU WOULD EXPECT TO FIND. THE YOUNGER PEOPLE 02 WHO HAD THE LONGER POTENTIAL FOR EXPOSURE HAD THE HIGH 03 RISK. 04 BUT AS SOON AS YOU THEN TRY AND TEASE OUT 05 AGE, CALENDAR TIME, AND LATENCY OF FOLLOW UP, FROM THAT 06 DATA SET, I THINK YOU GET INTO THE SITUATION WHERE YOU JUST PRODUCE NONSENSE. AND I'M NOT BEING CRITICAL OF 07 80 BEING TRYING TO DO IT, BUT I DON'T THINK YOU CAN INTERPRET 09 MUCH BEYOND THOSE -- THAT -- THOSE INITIAL FINDINGS. 10 DR. FROINES: STAN? 11 DR. GLANTZ: YEAH, I MEAN, I AGREE WITH THAT. I 12 MEAN, I THINK THE -- YOU GET A HUGE MULTICOLLINEARITY PROBLEM IN THE ANALYSIS WHEN YOU TRY TO PUT ALL THAT STUFF 13 14 IN AT THE SAME TIME. AND I THINK THAT'S WHY THE ESTIMATES 15 THAT YOU GET GET SO UNSTABLE WHEN YOU -- BECAUSE I THINK 16 YOU'RE OVER -- YOU KNOW, YOU'RE JUST PUTTING MORE INTO THE 17 MODEL THAN THE STATISTICS CAN SEPARATE OUT. 18 DR. FUCALORO: WE HAVE A KIND OF, IT SEEMS TO ME, 19 AN INTERESTING SITUATION HERE WHERE WE'RE GETTING SOME OF 20 THE ADVISE FROM THE PEOPLE HERE, INCLUDING DR. MACK AND

21 DR. ALLAN SMITH ABOUT -- WARNING NOT TO PLACE OVERRELIANCE 22 ON DOSE-RESPONSE CURVES, AND YET WE HAVE SOME PEOPLE HERE 23 WHO ARE WORKING VERY HARD TO GET DOSE-RESPONSE CURVES. 24 I WOULD LIKE TO -- I WOULD LIKE TO ASK 25 DR. TOM SMITH, WHAT DOES HE THINK OF THE ADVICE THAT WE 0153 01 SHOULDN'T OVERLY RELY UPON A DOSE-RESPONSE CURVES IN ORDER 02 TO MAKE THE DECISION WE NEED TO MAKE SOON? DR. TOM SMITH: WELL, THAT'S -- I THINK, IN FACT, 03 THAT CUTS TO THE CENTER OF THE ISSUE. MY PERSONAL VIEW IS 04 05 THAT -- THAT THE DATA, AS SUMMARIZED BY ALLAN, WERE -- ARE 06 RATHER CONVINCING. 07 AND I THINK THAT THE QUESTION, DOES EXPOSURE 08 TO DIESEL EXHAUST IN A VARIETY OF CONTEXTS APPEAR TO 09 ELEVATE RISK OF LUNG CANCER? I THINK WE CAN ANSWER FAIRLY COMFORTABLY, YES. 10 11 BUT THE NEXT QUESTION, ALL RIGHT, GIVEN A YES 12 TO THAT, HOW MUCH EXPOSURE CAUSES HOW MUCH RISK? I DON'T 13 THINK WE HAVE THE DATA TO ANSWER THAT QUESTION, AND THE 14 REASON WE DON'T HAVE THAT DATA IS BECAUSE WE'VE BEEN 15 TRYING TO ANSWER THE FIRST OUESTION. AS A SCIENTIST WHOSE GOAL IN LIFE, IF YOU 16 17 WANT, IS TO DEFINE DOSE-RESPONSE RELATIONSHIPS USING 18 EPIDEMIOLOGIC TYPES OF DATA, THIS STUDY REPRESENTS THE 19 BEST WE COULD DO IN 1980. WE COULD DO MUCH, MUCH BETTER 20 NOW. AND KATHIE POINT OUT A LOT OF THE REASONS AND SO 21 FORTH, SO THAT I'M AFRAID THE BEST YOU CAN HOPE TO DO AT 22 THIS POINT IS DEFINE THAT THERE'S SOME VERY WIDE RANGE 23 THAT THE EXPOSURES MAY HAVE BEEN IN. 24 AND GIVEN THAT, IT'S UP TO THE POLICY SIDE OF 25 THE HOUSE, IF YOU WANT, TO DECIDE IF THAT'S SUFFICIENT TO 0154 01 MOVE AHEAD. AND I'M NOT A POLICY PERSON, SO I CAN'T 02 ANSWER THAT QUESTION. IN FACT, I SUSPECT YOU GUYS ARE 03 MUCH BETTER -- POSITION FOR THAT THAN ME. DR. FROINES: WELL, I THINK, TOM, I THINK WHAT 04 05 YOU'VE JUST SAID IS VERY HELPFUL TO EVERYONE, AND I THINK THAT -- THAT I THINK THE GROUP IN HERE WOULD PROBABLY BE 06 07 VERY HAPPY TO TURN OVER THOSE SUBSEQUENT DECISIONS OF RISK 08 MANAGEMENT BASED ON THE QUALITATIVE FINDINGS TO THE RISK 09 MANAGERS, AND WE SHOULD GIVE THEM HELP IN TRYING TO WORK 10 THAT OUT. 11 BUT -- BUT THE ACTUAL POLICY ISSUES ABOUT HOW 12 ONE THEN TAKES A BROAD RANGE OF RISK ESTIMATE AND THEN USES IT FOR REGULATORY PURPOSES, FORTUNATELY, IS NOT THE 13 14 TASK OF THE PEOPLE SITTING IN THIS ROOM. 15 AND SO I THINK THAT WHAT YOU SAID, THOUGH, IS 16 VERY HELPFUL, AND I THINK THAT WE WILL -- I WOULD GUESS THAT, IN FACT -- AND IT'S CONTAINED WITHIN THE DOCUMENT, 17 18 THAT THERE WILL BE A RANGE OF RISKS THAT'S REASONABLY WIDE 19 PRECISELY BECAUSE OF THAT. 20 DR. GLANTZ: WELL, I JUST WANT TO PICK UP ON THAT 21 WITH TWO POINTS. ONE, JUST FOR THE RECORD, I MEAN, IS 22 THERE ANYBODY HERE -- NOT HERE, BUT ANYBODY AROUND THIS 23 TABLE THAT WOULD -- I MEAN, I JUST WANT TO READ THE 24 DEFINITION -- THIS MAY AVOID A LOT OF FUTURE DISCUSSION. 25 THE DEFINITION OF A TOXIC AIR CONTAMINANT

01 UNDER CALIFORNIA LAW IS AN AIR POLLUTANT WHICH MAY CAUSE 02 OR CONTRIBUTE TO AN INCREASE IN MORTALITY OR AN INCREASE 03 IN SERIOUS ILLNESS WHICH MAY POSE A PRESENT OR POTENTIAL 04 HAZARD TO HUMAN HEALTH. 05 I MEAN, IS THERE -- ARE ANY OF THE 06 SPEAKERS -- I MEAN, I GUESS THE PANEL SHOULDN'T DISCUSS 07 THIS, BUT IS THERE ANYBODY AMONG THE SPEAKERS WHO THINKS 08 THAT DIESEL DOES NOT MEET THAT DEFINITION? DIESEL EXHAUST 09 DOES NOT -- I MEAN, IS THERE ANYBODY AMONG THE SPEAKERS 10 WITHOUT ARGUING ABOUT DOSE-RESPONSE OR POTENCY, WHICH IS A 11 DIFFERENT QUESTION, WHO THINKS THAT -- THAT -- WHO WOULD 12 RECOMMEND TO THIS PANEL THAT DIESEL NOT BE DEFINED AS A --13 DOES NOT MEET THE CRITERIA OF THE DEFINITION OF A TOXIC 14 AIR CONTAMINANT UNDER CALIFORNIA LAW? 15 DR. FROINES: I THINK YOU GOT SILENCE THE FIRST 16 TIME --17 DR. GLANTZ: OKAY. 18 DR. FROINES: AND WITH THAT --19 DR. GLANTZ: OKAY. I JUST WANTED TO BE SURE NO ONE 20 FELL ASLEEP. FELL DR- FROINES: WITH ACADEMICS, YOU ASK THE 21 QUESTION TWICE, YOU'LL GET SOMEBODY POPPING IN. SO GO 22 23 WITH THE FIRST SILENCE. DR. GLANTZ: OKAY. AND THEN I WILL GO ON. 24 25 I WANT TO JUST DISAGREE WITH SOMETHING YOU 0156 01 SAID, JOHN, AND THAT IS, I MEAN, I THINK WE DO HAVE AN 02 OBLIGATION TO TRY -- WELL, WE ARE NOT INVOLVED IN THE RISK 03 MANAGEMENT PHASE OF THIS PROCESS. I MEAN, I THINK WE DO HAVE AN OBLIGATION TO DO THE BEST WE CAN TO TELL PEOPLE, 04 05 YOU KNOW, WHAT WE THINK THE POTENCY OF THIS IS AND GIVE 06 THEM SOME GUIDANCE AS TO WHAT WE THINK THE BEST AVAILABLE 07 INFORMATION IS. AND SO, I MEAN, I THINK THAT THE ALL OF THE 08 09 DISCUSSION THAT SEVERAL PEOPLE HAVE MADE ABOUT THE NEED 10 FOR FUTURE RESEARCH, AND THE FACT THAT WE DON'T KNOW 11 EVERYTHING. I MEAN, THIS IS A MANTRA WE GO THROUGH ON 12 EVERY SINGLE COMPOUND THAT COMES BEFORE US. WE WISH WE 13 KNEW MORE, WE WISH WE KNEW MORE. 14 BUT I MEAN, I THINK DR. GARSHICK RAISED A 15 REAL INTERESTING POINT WITH THIS BLUE SLIDE I WAS JUMPING 16 ALL OVER BECAUSE IT MAY BE THAT BY USING THE LINEAR 17 DOSE-RESPONSE ASSUMPTION WITH EXPOSURE, WE'RE GROSSLY 18 UNDERESTIMATING THE POTENCY, AND MAYBE WE OUGHT TO BE 19 USING SOMETHING LIKE THAN WHAT HE SUGGESTED, WHICH WOULD 20 BE A MUCH HIGHER POTENCY. DR. GARSHICK: WELL, I DIDN'T SUGGEST A MODEL. 21 22 DR. GLANTZ: OH, WELL, NO. I WAS JUST TALKING 23 ABOUT THE DATA THERE. I CAN DRAW THE LINE THROUGH IT. 24 DR. GARSHICK: I THINK WE HAVE TO KNOW SOMETHING 25 ABOUT THE BIOLOGY, THOUGH, I MEAN, OF WHAT'S GOING ON. 0157 01 DR. GLANTZ: WELL --02 DR. FROINES: ANYWAY, THE TWO OF YOU HAVE HAD THIS 03 DISCUSSION AT LEAST THREE TIMES. DR. GLANTZ: OKAY. WELL, THEY WERE ACADEMIC. 04

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05 DR. FROINES: I REMEMBERED IT. I REMEMBERED IT THE 06 FIRST TIME, THE SECOND TIME, AND THE THIRD TIME, AND NOW 07 I'M GOING TO CLOSE IT OFF FOR SAKE OF LUNCH. DR. BLANC: JOHN, JOHN, COULD I --80 09 DR. FROINES: I THINK THAT THE ISSUE YOU'RE RAISING 10 IS REALLY QUITE CRUCIAL, AND CLEARLY THIS IS A DISCUSSION 11 THAT HAS TO OCCUR AMONG THE PANEL IN APRIL WHEN WE TAKE 12 THE DOCUMENT UP IN ITS ENTIRETY. 13 BUT SO I THINK GETTING AS MUCH INFORMATION 14 FROM THIS GROUP OF PANEL AND OTHERS IS GOING TO HELP US BE 15 ABLE TO DO THAT. AND SO THE -- AND SO YOUR QUESTION TO 16 THE PANEL IS HIGHLY RELEVANT. 17 AND SOMEBODY WAS TRYING TO GET MY ATTENTION? 18 DR. BLANC: I WAS BECAUSE I STILL WANTED TO ASK THE 19 QUESTION --20 DR. FROINES: OH, I'M SORRY. 21 DR. BLANC: -- TO DR. MAUDERLY. 22 ACTUALLY, TWO SMALL QUESTIONS. ONE HAS TO DO 23 WITH YOUR COMMENT ON THE CARCINOGENIC RESPONSE IN --24 DESCRIBES SUSCEPTIBLE STRAINS OF MICE. 25 DO YOU HAVE ANY DATA ON WHETHER THOSE 0158 01 SUSCEPTIBLE STRAINS OF MICE SIMILARLY RESPOND TO TITANIUM 02 DIOXIDE OR CARBON BLACK, OR IS THEIR RESPONSE TO DIESEL 03 DIFFERENT THAN THEIR RESPONSE TO NONSPECIFIC OR INERT 04 PARTICULATE? 05 DR. MAUDERLY: I DON'T RECALL ANY STUDIES THAT HAVE 06 BEEN CONDUCTED WITH THOSE STRAINS WITH TITANIUM DIOXIDE OR 07 CARBON BLACK. NOW, I GUESS THAT'S NOT A CERTAINTY IF 08 THERE HASN'T BEEN A STUDY, BUT I'M FAMILIAR WITH THE 09 LITERATURE. I'M NOT AWARE THAT'S BEEN DONE. AND SO I 10 CAN'T ANSWER THAT QUESTION. DR. BLANC: SO YOU DON'T HAVE ANY REASON TO BELIEVE 11 12 A PRIORI THAT THE RESPONSE OF THOSE SUSCEPTIBLE 13 SPECIES -- SUSCEPTIBLE STRAINS OF MICE, ALTHOUGH SOMEWHAT 14 VARIABLE IN WHAT PEOPLE HAVE FOUND REPRESENTS THE SAME 15 MECHANISM THAT YOU ARE DESCRIBING IN RATS, THAT THOSE 16 SUSCEPTIBLE STRAINS ARE SUSCEPTIBLE BECAUSE THEY BEHAVE 17 LIKE RATS. 18 YOU DON'T HAVE ANY REASON, ANY PRIORI TO 19 BELIEVE THAT? 20 DR. MAUDERLY: NO. I REALLY HAVE NO INFORMATION ON 21 WHICH TO -- TO MAKE AN INTELLIGENT GUESS ONE WAY OR THE 22 OTHER. 23 DR. BLANC: OKAY. AND SECOND QUESTION IS IN TERMS 24 OF THE RAT RESPONSE IN THE LOWER END OF THE RANGE AT WHICH 25 THE RATS RESPOND TO DIESEL, AND IN TERMS OF CUMULATIVE 0159 01 DOSE -- AND I DON'T REMEMBER THE EXACT SCALE, BUT LET'S 02 TAKE THAT LOWISH END BEYOND THE AREA IN WHICH YOU --03 YOU'RE EYEBALLING FELT THAT THERE WASN'T A RESPONSE, BUT 04 NOT AT THE FAR RIGHT HAND. 05 IS THAT A CUMULATIVE DOSE-RESPONSE AREA IN 06 WHICH THERE'S ALSO A RESPONSE IN YOUR HANDS WITH TITANIUM 07 DIOXIDE AND CARBON BLACK? OR HAVE YOUR EXPOSURES TO 08 CARBON BLACK AND TITANIUM DIOXIDE IN TERMS OF CUMULATIVE 09 EXPOSURE BEEN MORE AT THE FAR RIGHT END OF THAT SCALE?

DR. MAUDERLY: ALL OF OUR WORK -- WE HAVE NOT 10 11 WORKED WITH TITANIUM DIOXIDE. OTHERS HAVE. WE HAVE 12 WORKED WITH CARBON BACK. ALL OF OUR CARBON BLACK WORK HAS 13 BEEN UP AT THE HIGH DOSE END. 14 DR. BLANC: THE FAR END? 15 DR. MAUDERLY: YES. AND I'M TRYING TO RECALL. I 16 CANNOT RECALL THAT THERE HAS BEEN TITANIUM DIOXIDE OR 17 CARBON BLACK WORK DOWN IN THAT SORT OF LOW DOSE OR 18 INTERMEDIATE DOSE --19 DR. BLANC: IN THE INTERMEDIARY DOSE? 20 DR. MAUDERLY: I WOULD HAVE TO GO BACK TO THE 21 STUDIES TO LOOK TO BE CONFIDENT IN SAYING THAT THAT'S NOT 22 THE CASE, BUT -- BUT THOSE STUDIES HAVE GENERALLY BEEN IN 23 HIGH DOSE REGIMES. 24 DR. BLANC: IN VERY HIGH DOSE? 25 DR. MAUDERLY: UH-HUH. 0160 DR. BLANCK: SO IT WOULD BE ONE POSSIBLE -- TO 01 02 FOLLOW UP TO THAT, ONE POSSIBLE CONSERVATIVE WAY OF 03 LOOKING AT THE RAT DATA MIGHT BE WITH THE DIESEL TO, LET'S 04 SAY, ELIMINATE THE DOSES IN THE -- CUMULATIVE DOSES IN THE 05 RANGE WHERE THERE IS A NONSPECIFIC EFFECT, AND LOOK AT 06 SOME OF THE INTERMEDIATE AND LOW DOSES AND SEE WHAT ONE'S 07 DOSE-RESPONSE LOOKED LIKE IN THAT WAY, POTENTIALLY? 08 DR. MAUDERLY: WELL, IF I INTERPRET YOUR QUESTION 09 CORRECTLY, YOU'RE GETTING THE AT THE ISSUE OF WHETHER OR 10 NOT THERE'S A PORTION OF THAT POSITIVE DOSE-RESPONSE CURVE 11 THAT MIGHT BE RELEVANT IF WE CUT OFF SOME OTHER PORTION? 12 DR. BLANC: THAT'S CORRECT. 13 DR. MAUDERLY: BIOLOGICALLY -- AND I DON'T KNOW 14 ANSWER TO THAT STATISTICALLY. I MEAN THAT I SUPPOSE ONE 15 COULD DO --16 DR. BLANC: I'M ASKING YOU BIOLOGICALLY, AND THAT'S 17 ALL. 18 DR. MAUDERLY: BIOLOGICALLY, WHAT WE SEE IN THESE 19 STUDIES IS THAT WE DO NOT SEE A TUMOR RESPONSE IN ANY 20 STUDY THAT I'M AWARE OF WITH THESE KINDS OF PARTICLES IN WHICH THERE IS NOT ALSO A -- WHAT HAS COMMONLY BEEN TERMED 21 22 OVERLOADING, WHICH IS A VERY POOR NONSPECIFIC TERM. BUT 23 AN ACCUMULATION OF PARTICLES, AN OVERWHELMING OF 24 CLEARANCE, A PERSISTENT INFLAMMATION AND CELL 25 PROLIFERATIVE AND FIBROTIC DISEASE, AND SO I DO NOT SEE 0161 01 THE EARLY PARTS OF THAT POSITIVE SLOPE AS REPRESENTING 02 DIFFERENT MECHANISMS THAN THIS -- THAN THE HIGHER DOSE 03 PARTS. 04 DR. BLANC: BUT ACTUALLY, THE STUDIES HAVE NOT BEEN 05 DONE WITH THE INERT PARTICLES AT THOSE KIND OF CUMULATIVE 06 LEVELS FROM WHAT YOU'RE SAYING? EITHER IN YOUR HANDS OR 07 ANYONE ELSE'S BECAUSE THE CARBON BLACK AND THE TITANIUM 80 HAVE BEEN AT THE VERY HIGH END? IS THAT --09 DR. MAUDERLY: YES, YES. DR. BLANC: IS THAT WHAT I UNDERSTAND -- OKAY. 10 11 THANKS. 12 DR. MAUDERLY: AND YOUR POINT IS WELL-TAKEN, THAT 13 IT WOULD BE INTERESTING TO KNOW WHAT SOME OF THOSE OTHER 14 PARTICLES WITHOUT MUTAGENS DID DOWN IN THAT SORT OF

15 BORDERLINE AREA. AND AGAIN, THERE MAY BE STUDIES. I'M 16 NOT RECALLING. BUT I'M REASONABLY CONFIDENT IN SAYING 17 THAT WE JUST DON'T KNOW THAT ANSWER TODAY. 18 DR. FROINES: THERE ARE A NUMBER -- THIS RAISES A 19 NUMBER OF QUESTIONS, BUT I THINK WE HAVE TO -- MAYBE I'LL 20 COME BACK TO IT LATER. TOM, DID YOU WANT TO SAY SOMETHING? 21 DR. MACK: NO. 22 23 DR. FROINES: NO. KATHIE, THEN. 24 DR. HAMMOND: JUST A QUICK COMMENT. I THOUGHT THAT 25 THERE WAS -- JOE, THAT YOU HAD A VERY INTERESTING SLIDE 0162 01 THAT SHOWED THE RESULTS FOR CHEMICALS WHICH WERE 02 CARCINOGENIC IN RATS AND NOT IN MICE. AND I THINK IT'S 03 IMPORTANT FOR PANEL TO REMEMBER THAT THAT INCLUDED MANY 04 KNOWN HUMAN CARCINOGENS. SO THERE'S EVIDENCE ALREADY OF 05 HUMAN CARCINOGENS THAT ARE CARCINOGENIC IN RATS, NOT IN 06 MICE. SO WE REALLY CAN'T DEDUCE, YOU KNOW, THE LACK OF 07 CARCINOGENICITY OF DIESEL EXHAUST IN MICE TELLS US 08 ANYTHING. 09 DR. FROINES: I -- AND WELL, IN THAT REGARD --DR. HAMMOND: AND THAT INCLUDED SILICA, CADMIUM, 10 11 NICKEL. 12 DR. FROINES: JOE MAY WANT TO RESPOND TO THAT. 13 BUT THERE WAS A GOOD PAPER IN A.J.I.M. LAST 14 YEAR BY JACK SIMIATICKI (PHONETIC) IN WHICH HE DID A HUMAN 15 STUDY, AND IT WAS A PRETTY SOLID PIECE OF WORK. 16 AND HE SEEMED TO INDICATE THAT THERE WAS 17 CONSIDERABLE EVIDENCE FOR CANCER IN CARBON WORKERS. 18 AND THE QUESTION IS HOW DO YOU INTERPRET 19 CANCER IN CARBON BLACK WORKERS GIVEN THE -- GIVEN THE 20 ASSUMPTION THAT THEY SHOULDN'T BE GETTING CANCER IN 21 HUMANS, AND APPARENTLY DO. 22 DR. MAUDERLY: WELL, LET ME -- LET ME TRY TO 23 RESPOND TO BOTH OF THOSE AS BEST I CAN. 24 I -- I WOULD AGREE THAT A PRIORI THE ABSENCE 25 OF A POSITIVE RESPONSE IN MICE, AND THE PRESENCE OF A 0163 01 POSITIVE RESPONSE IN RATS DOES NOT NECESSARILY MEAN THAT 02 IT'S NOT A HUMAN CARCINOGEN. 03 YOU KNOW, WE ARE DEALING FROM A BIOLOGICAL 04 STAND POINT. WE'RE DEALING WITH WHAT SEEMS TO BE A 05 SPECIES UNIQUE RESPONSE, OR AT LEAST OF THE SPECIES THAT 06 HAVE BEEN TESTED SO FAR, TO HEAVY PARTICLE LOADINGS. AND MY USE OF THAT SLIDE WAS TO ILLUSTRATE 07 08 THAT THIS IS NOT AN ISSUE THAT IS JUST RAISED BY DIESEL 09 SOOT, BUT BY MANY OTHER PARTICLES. BUT THAT -- YOU KNOW, I WOULD AGREE WITH YOU 10 THAT THAT IN ITSELF DOES NOT CONFIRM IT IN ANY WAY, DOES 11 12 NOT PROVE THAT IT'S NOT A HUMAN CARCINOGEN. 13 NOW, REGARDING THE CARBON BLACK STUDIES 14 HAVING JUST HEARD THOSE REVIEWED ONCE AGAIN LAST FRIDAY AT 15 THE A.C.G.I.H. MEETING, I THINK THE JURY IS STILL OUT AS TO WHETHER THERE IS A -- ANY KIND OF CONSISTENT SIGNAL 16 17 FROM THE CARBON BLACK STUDIES. THERE ARE CERTAINLY SOME 18 STUDIES THAT HAVE GIVEN POSITIVE RESULTS, AND THIS SOUNDS 19 LIKE A VERY FAMILIAR STORY.

20 THERE ARE ALSO SOME STUDIES THAT DON'T GIVE 21 POSITIVE RESULTS. AND I DON'T HAVE AN ANSWER FOR THAT AT 22 THIS POINT. 23 THERE ARE MANY DIFFERENT KINDS OF CARBON 24 BLACKS. SOME OF THEM DO HAVE ORGANIC CONTENT AND OTHERS 25 DON'T. THE ONES THAT WERE CHOSEN FOR THE RAT STUDIES, THE 0164 01 TWO THAT I PORTRAYED, ALTHOUGH THEY WERE DIFFERENT CARBON 02 BLACKS, THEY WERE BOTH SELECTED BECAUSE THEY HAD VIRTUALLY 03 NO IMMUTAGENIC ACTIVITY. 04 WHETHER THAT REPRESENTS ALL KINDS OF CARBON 05 BLACK EXPOSURES, I CAN'T SPEAK TO THAT ISSUE. 06 DR. ZIELINSKA: I JUST WANTED TO MAKE A QUICK 07 COMMENT. IT DOESN'T NECESSARILY MEAN THAT CARBON BLACK 08 NOT -- DOESN'T CONTAIN ANY ORGANICS. ACTUALLY, WE WERE 09 ANALYZING SOME OF THIS WHICH WERE USED FOR FUTURE -- FOR THE FUTURE ANIMAL STUDY, AND WE FOUND CONCENTRATION OF 10 11 P.A.H.'S QUITE SIGNIFICANT. 12 DR. MAUDERLY: OH, YES. I WOULD AGREE WITH THAT. 13 I MEAN, AND THERE'S -- THERE ARE A LARGE NUMBER OF CARBON 14 BLACKS, AND THEY HAVE VARIABLE AMOUNTS OF ORGANIC CONTENT, 15 AND SOME OF THEM ARE QUITE HIGH. I WOULD NOT DISAGREE 16 WITH THAT. 17 DR. FROINES: I'M GETTING ALL THESE NOTES HERE 18 SAYING WE HAVE TO STOP FOR LUNCH BECAUSE THERE'S A CUTOFF 19 FOR LUNCH. 20 BUT I THINK THE OTHER QUESTION IS WHEN YOU 21 ARE EXPOSED TO THINGS THAT ARE POTENT MUTAGENS, THEN THE 22 QUESTION THEN BECOMES AS A BIOLOGICAL MATTER, WHY DON'T 23 YOU SEE CANCERS BY THAT MECHANISM? 24 AND IT SEEMS TO ME THAT IT'S ONE THING TO 25 ASSERT THAT IT ONLY OCCURS WITH OVERLOAD BUT -- BUT THE 0165 01 EXPLANATION OF IF YOU'RE EXPOSED TO P.A.H.'S AND 02 NITRO-P.A.H.'S AND WHOLE SUBUTADINE (PHONETIC) AND THE 03 WHOLE SERIES OF COMPOUND THAT YOU WOULD NORMALLY EXPECT TO 04 HAVE PRODUCE CANCER, YOU'RE ARGUING THAT IN THE RAT, THOSE 05 CANCERS ARE NOT PRODUCED FROM THOSE CARCINOGENS. 06 AND IT SEEMS TO ME WE HAVE TO HAVE AN 07 EXPLANATION FOR THAT, NOT SIMPLY JUST TO ASSUME THAT NONE 08 OF THAT IS OPERABLE. 09 DR. MAUDERLY: WELL, MY ONLY RESPONSE TO THAT CAN 10 BE THAT WHAT I'VE DONE TO PORTRAY THE EVIDENCE WE HAVE, 11 AND THE EVIDENCE WE HAVE SUGGESTS THAT IF THERE IS 12 ACTIVITY FROM THOSE MATERIALS IN THESE TWO COMPARATIVE 13 STUDIES, IT'S NOT APPARENT. DR. FROINES: I'M SORRY. THAT WAS A BAD 14 15 BEFORE-LUNCH QUESTION, AND IT'S OBVIOUSLY GOING TO TAKE A 16 LOT LONGER DISCUSSION. 17 SO BILL LOCKETT HAD AN ANNOUNCEMENT TO MAKE 18 ABOUT LUNCH. 19 MR. LOCKETT: WHAT TIME ARE WE RECONVENING? 20 DR. FROINES: 2:00. 21 MR. LOCKETT: ONE OPTION FOR LUNCH IS TO EAT HERE 22 AT THE LOWER LEVEL, WHICH IS THE BASEMENT. TO DO SO, 23 THOUGH, YOU NEED TO BUY A LUNCH TICKET AT THE FIRST FLOOR 24 COUNTER. THAT'S 6.50 PLUS TAX. THE FIRST FLOOR ALSO HAS

25 OTHER OPTIONS. IF YOU WANT TO GO ELSEWHERE FOR LUNCH --0166 01 SO IF YOU DECIDE TO GET YOUR TICKET, AFTER YOU'VE HAD YOUR 02 TICKET, THEN PROCEED TO THE LOWER LEVEL OF THE CAFETERIA 03 AND THERE IS KIND OF A LARGE SELECTION OF CHANGES FOR 04 FOOD. BON APETITE. 0.5 DR. FROINES: THANK YOU, EVERYONE. THIS HAS GONE 06 VERY, VERY SMOOTHLY AND WELL. AND I THINK THE INFORMATION 07 HAS BEEN VERY VALUABLE. SO HOPEFULLY WE CAN CONTINUE IN 08 THE AFTERNOON. 09 (LUNCH) 10 DR. FROINES: EVERYBODY READY? WE WANT THE WORLD 11 TO KNOW THAT THIS IS A DISCIPLINED, WELL-ORGANIZED GROUP. 12 AND, STAN, YOU'RE OUR MODEL. 13 DR. GLANTZ: WHAT AM I? A MODEL OF WHAT? DR. FROINES: DISCIPLINE AND ORGANIZATION. 14 15 DR. GLANTZ: I'M NOT EVEN WEARING A TIE. 16 DR. FROINES: WELL, I KNOW. I WAS GOING TO SPEAK 17 TO YOU ABOUT THAT AFTERWARDS. 18 WE ARE ANTICIPATING TO HAVE OUR FIRST SPEAKER 19 OF THE AFTERNOON BE DR. KENNETH CRUMP, AND I WON'T GO INTO 20 THAT BECAUSE WE'VE ALL BEEN AWARE OF THE CRUMP/DAWSON 21 DEBATE AS IT WERE, AND SO --22 DR. GLANTZ: THAT'S A MINI-SERIES, ISN'T IT? 23 DR. FROINES: IT'S GOING TO REPLACE SEINFELD. BUT I'M VERY PLEASED TO HAVE DUNCAN THOMAS 24 25 START OUT THE AFTERNOON. I -- I DIDN'T FOR A MINUTE 0167 01 ANTICIPATE THAT HE WOULD BE WILLING TO DO IT, BUT HE 02 AGREED, AND WE ARE ALL GOING TO BE THE BETTER OF IT. 03 SO DUNCAN IS AT U.S.C. HE'S PART OF THE 04 SOUTHERN CALIFORNIA ENVIRONMENTAL HEALTH SCIENCES CENTER. 05 HE'S A STATISTICIAN OF NOTE AND DUNCAN THOMAS. 06 DR. THOMAS: I THINK I'M HERE BECAUSE I WAS RASH 07 ENOUGH TO TAKE POT SHOTS AT THE 1994 DRAFT OF THIS THING, 08 AND PEOPLE HAVE BEEN BUGGING ME EVER SINCE TO EXPLAIN 09 MYSELF. AND UP UNTIL THIS MOMENT, I'VE SUCCESSFULLY 10 RESISTED ALL OF THESE REQUESTS, BUT I FIGURE IT'S FINALLY 11 PUT UP OR SHUT UP TIME FOR ME. 12 SO WHAT I THINK I CAN PROBABLY CONTRIBUTE 13 BEST TO THIS DISCUSSION IS TO TRY TO SHED SOME LIGHT ON 14 THE CRUMP AND DAWSON DEBATE. AT LEAST THAT WAS MY HOPE 15 WHEN JOHN TWISTED MY ARM INTO -- INTO COMING HERE. SINCE THEN I HAVE WADED THROUGH THIS DOCUMENT 16 17 AS ALL OF YOU, I SUSPECT MANY TIMES MORE THAN ME, AND 18 GOTTEN EVEN MORE CONFUSED THAN I WAS IN 1994. 19 AND SINCE WRITING UP SOME COMMENTS THAT I 20 THINK ARE BEING COPIED AND CIRCULATED FOR YOU, I HAVE BEEN 21 FURTHER INUNDATED WITH COMMENTS AND FURTHER CONTRIBUTIONS 22 FROM BOTH KENNY AND STAN, AND NOW EVEN MORE CONFUSED THAN 23 I WAS BEFORE. BUT LET ME DO THE BEST I CAN. 24 TO BEGIN WITH, LET ME JUST DISPENSE WITH THE 25 ISSUES THAT WERE DISCUSSED THIS MORNING, AND GO ON RECORD 0168 01 THAT SAYING THAT MY OVERALL VIEWS ABOUT THE 02 CARCINOGENICITY OF THE DIESEL EMISSIONS HASN'T CHANGED 03 SUBSTANTIALLY FROM THE COMMENTS I MADE IN 1994, AND WERE

04 ECHOED BY MANY OF THE PANELISTS THIS MORNING. 05 IF ANYTHING, THIS REDRAFT HAS MOVED THAT 06 SUPPORT FOR THE POSITION THAT DIESEL EXHAUSTS IS A HUMAN 07 CARCINOGEN ALONG CONSIDERABLY, AND THE ADDITION OF THE --08 I THINK OUTSTANDING JOB OF A META-ANALYSIS TO THE DRAFT 09 THAT I'M NOW SEEING FOR THE FIRST TIME IS A SUBSTANTIAL 10 IMPROVEMENT, AND I FIND THAT QUITE CONVINCING. 11 THE OTHER THING WHICH THE ADDITION OF THAT 12 META-ANALYSIS ACCOMPLISHES IS THAT IT PROVIDES A SUMMARY 13 OF THE HUMAN RISKS BASED NOT, JUST ON THE GARSHICK 14 STUDIES, WHICH I AGREE WITH THE STATE IS STILL THE BEST 15 BASIS FOR QUANTITATIVE RISK ASSESSMENT, BUT OUR CONFIDENCE 16 IN THAT ASSESSMENT GOES FAR -- IS CONSIDERABLY IMPROVED BY 17 THE INCLUSION OF THE SUMMARY META-ANALYSIS WHICH WOULD 18 SUGGEST A RISK -- RELATIVE RISK TO SOMETHING OF THE ORDER 19 OF 1.3, 1.5, AND SIMPLE BACK OF THE ENVELOPE CALCULATIONS, 20 AS WERE INCLUDED IN THE DRAFT WHICH I FIRST REACTED TO 21 FOUR YEARS AGO, AND AS ILLUSTRATED BY ALLAN THIS MORNING, 22 ARE SUFFICIENT IN MY MIND TO PROVE THAT THE --23 QUANTITATIVELY THE RISKS ASSOCIATED WITH GENERAL 24 POPULATION EXPOSURES TO DIESEL EMISSIONS ARE NONTRIVIAL. 25 SO HAVING -- EVEN IF WE WERE PREPARED TO 0169 01 REACH A CONCLUSION THAT DIESEL EMISSIONS OVERALL ARE HUMAN 02 CARCINOGENS, AND THEREFORE THE COMMITTEE SHOULD COME DOWN 03 ON THE SIDE OF SUCH A CONCLUSION, WE ARE STILL LEFT WITH 04 THE RISK ASSESSMENT PROBLEM. 05 AND THE ADDITION OF THIS SUMMARY 06 META-ANALYSIS NOW GIVES US ONE MORE WAY TO GO ABOUT THAT 07 AND REACH THE CONCLUSION THAT WE ARE LOOKING AT RATHER 80 NONTRIVIAL PROBLEM. 09 NOW, HOW CAN WE GO ABOUT DOING THIS 10 QUANTITATIVE RISK ANALYSIS AS WELL AS POSSIBLE? I DON'T 11 THINK BACK OF THE ENVELOPE CALCULATIONS CUT IT, ALTHOUGH 12 GIVEN THE LIMITATIONS OF THE PRIMARY EPIDEMIOLOGIC DATA 13 THAT WE HAVE TO WORK WITH, WE MAY NOT BE ABLE TO DO A 14 WHOLE LOT BETTER BY DOING WHAT WOULD BE THE STATE OF THE 15 ART RISK ASSESSMENT. 16 NOW, I FOUND FAULT WITH THE 1994 DRAFT'S 17 QUANTITATIVE RISK ASSESSMENT IN TWO BROAD AREAS. 18 THE FIRST WAS THE WAY THEY ARRIVED AT A 19 SUMMARY OF THE EPIDEMIOLOGIC SLOPE ESTIMATES, WHICH WOULD 20 GO INTO THE RISK CALCULATION; AND SECOND, IS HOW THEY USED 21 THAT SLOPE ESTIMATE THEN TO ARRIVE AT WHAT IS KNOWN AS A 22 UNIT RISK ESTIMATE. 23 AND I OUTLINED A STRATEGY THEN WHICH I 24 THOUGHT WAS MUCH BETTER, BUT WOULD REQUIRE GOING BACK TO 25 THE RAW DATA TO FIT THE MODEL OF CHOICE DIRECTLY TO THE 0170 01 ORIGINAL DATA TO DEVELOP AN EXPOSURE TIME RESPONSE 02 RELATIONSHIP OR -- AND THEN USE THAT EXPOSURE TIME 03 RESPONSE RELATIONSHIP IN A STANDARD LIFE TABLE KIND OF 04 CALCULATION TO ARRIVE AT AN ESTIMATE OF LIFETIME RISK. 05 AND I'M PLEASED TO SEE THAT THIS DRAFT HAS 06 INCORPORATED BOTH OF THOSE SUGGESTIONS. WE NOW HAVE A 07 QUITE EXTENSIVE BODY OF REANALYSES OF THE ORIGINAL 08 GARSHICK DATA WITH A WIDE VARIETY OF MODELS, WHICH AS WE

09 WILL SEE, LEAD TO SOMEWHAT CONFLICTING CONCLUSIONS IN THE 10 HANDS OF DIFFERENT DATA ANALYSTS; BUT ANYWAY, WE ARE 11 PRESENTED WITH QUITE A BROAD RANGE OF CHOICES ABOUT A 12 VARIETY OF DIFFERENT MODELING ASSUMPTIONS. 13 AND THEN IN THE SECOND STAGE, THE RESULTING 14 MODELS ARE USED EXPLICITLY IN AN APPROPRIATE LIFE TABLE 15 CALCULATION TO DERIVE A LIFETIME RISK ESTIMATE WHICH 16 RECOGNIZES THE FACT THAT CUMULATIVE EXPOSURE IS, BY 17 DEFINITION, NOT CONSTANT OVER A LIFETIME. CUMULATIVE 18 EXPOSURE ACCUMULATES; AND THEREFORE, THE RELATIVE RISK 19 CHANGES OVER LIFETIME, AND YOU CAN'T JUST SIMPLY, IF YOU 20 WANT THE RIGHT ANSWER, MULTIPLY THE AVERAGE LIFETIME RISK 21 IN THE GENERAL POPULATION BY A SUMMARY OF RELATIVE RISK 22 AND HOPE TO GET THE RIGHT ANSWER. AND LIFE TABLE METHODS ARE IN PRINCIPAL THE 23 24 RIGHT WAY TO GO ABOUT IT. 25 NOW, THE MAJOR UNCERTAINTY WE HAVE IS HAVE WE 0171 01 GOT THE RIGHT DOSE-RESPONSE RELATIONSHIP. NOW, THIS TURNS 02 OUT TO BE A VERY COMPLICATED PROBLEM AND IS THE CORE OF 03 THE CRUMP/DAWSON DEBATE. NOW, THERE ARE MANY, MANY DIFFERENCES BETWEEN 04 05 THE WAY THE TWO -- THESE TWO ANALYSTS AND THE ORIGINAL 06 ANALYSTS HAVE APPROACHED THE ANALYSIS. AND THERE ARE 07 THREE LENGTHY DIFFICULT, I SUSPECT TO MANY OF YOU, 08 VIRTUALLY INCOMPREHENSIBLE APPENDICES, AND CHAPTER 7 09 ITSELF IS TOUGH GOING EVEN IF YOU DON'T ATTEMPT THE 10 APPENDICES. 11 IF I HAD ONLY ONE REQUEST TO MAKE, IT WOULD 12 BE THAT SOMEBODY SEE IF THEY COULD TAKE THE MATERIAL 13 THAT'S IN CHAPTER 7 AND THE THREE SUPPORTING APPENDICES 14 AND TURN IT INTO ENGLISH. BUT I'M NOT VOLUNTEERING FOR 15 THAT JOB, AND I DON'T THINK THERE ARE VERY MANY PEOPLE 16 THAT HAVE BOTH THE EXPERTISE AND THE WILLINGNESS THAT 17 WOULD ACTUALLY BE ABLE TO PULL THIS OFF. SO I DON'T KNOW 18 HOW THE STATE IS GOING TO ACCOMPLISH THAT WISH, BUT I'M 19 HERE NOW TO TRY TO ELUCIDATE WHAT I SEE AS THE BASIC --THE BIG PICTURE ISSUES. 20 21 APPENDIX -- I THINK IT'S APPENDIX D, IF I'M 22 NOT MISTAKEN, D OR E, OUTLINES IN SUMMARY FORM THE MAJOR 23 POINTS OF DIFFERENCE BETWEEN THE DIFFERENT ANALYSES. 24 AND THEN ONE OF THE OTHER APPENDICES, I THINK 25 IT'S APPENDIX E, THEN GOES ON TO PROVIDE SOME QUANTITATIVE 0172 01 RESULTS ABOUT THE IMPLICATION OF DIFFERENT MODELING 02 ASSUMPTIONS. 03 SOME THE ISSUES ARE TRIVIAL, SOME OF THE 04 ISSUES ARE MAJOR. AND IN MY WRITTEN COMMENTS, I HAVE TRIED TO DISPENSE WITH WHAT I THINK ARE THE TRIVIAL 05 ISSUES. I STILL REMAIN TO BE EDUCATED BY PEOPLE THAT KNOW 06 07 THESE DATA BETTER THAN ME. SOME OF THE THING I THINK ARE 08 TRIVIAL ISSUE MAY NOT BE TRIVIAL, BUT I WANT TO FOCUS ON 09 THE TWO THAT I THINK ARE THE MAJOR ISSUES. 10 AND THE FIRST IS HOW WE GO ABOUT DEALING WITH 11 THE POTENTIALLY CONFOUNDING EFFECTS OF THE OTHER 12 TIME-RELATED VARIABLES LIKE ATTAINED AGE, AGED EXPOSURE, 13 CALENDAR YEAR, BIRTH COHORT.

14 AND THE SECOND IS THE ISSUE OF HOW WE ADDRESS 15 THE QUESTION OF BACKGROUND EXPOSURES. NOW, WHEN I WROTE 16 MY 1994 CRITIQUE OF THE FIRST OF THESE ISSUES, THE 17 CONFOUNDING EFFECT OF AGE, ET CETERA, LOOM VERY LARGE IN 18 MY THINKING. 19 I WAS UNHAPPY WITH THE WAY THE DATA HAD BEEN 20 ANALYZED ORIGINALLY, USING COX REGRESSION TECHNIOUES WHICH 21 TOOK CALENDAR YEAR AS THE BASIC TIME SCALE, AND ARGUED 22 THAT A MUCH MORE IMPORTANT TIME SCALE TO CONTROL FOR WAS 23 AGE, AND THAT BY TAKING CALENDAR YEAR AS THE TIME SCALE, 24 YOU WERE ESSENTIALLY ADJUSTING OUT A VARIABLE THAT WAS SO 25 HIGHLY CORRELATED WITH CUMULATIVE EXPOSURE THAT YOU WOULD 0173 01 HAVE GREAT DIFFICULTY IN EFFICIENTLY ESTIMATING AN EFFECT 02 OF CUMULATIVE EXPOSURE. BASICALLY, TIME SINCE 1959 IN THE ORIGINAL 0.3 04 BLOCK PATTERN OF EXPOSURE ANALYSIS IS BASICALLY CUMULATIVE 05 EXPOSURE. SO CALENDAR YEAR AND CUMULATIVE EXPOSURE 06 VIRTUALLY ALIASK (PHONETIC), EXCEPT FOR THOSE PEOPLE WHOSE 07 EXPOSURE CEASED DURING THE FOLLOW-UP PERIOD. 08 SO ALL OF YOUR INFORMATION WAS COMING BETWEEN 09 RETIREES AND CONTINUING EMPLOYEES, AND I ARGUED FOR 10 ANALYSIS THAT CONTROLLED INSTEAD FOR AGE AS THE PRIMARY VARIABLE, IF YOU WERE GOING TO USE THE COX REGRESSION 11 12 APPROACH WHICH REQUIRED A PRIMARY EXPOSURE VARIABLE OR 13 USING POISSON REGRESSION TECHNIQUES WHERE YOU COULD MORE 14 FLEXIBLY MODEL THE BASELINE RISKS AS A FUNCTION OF NOT 15 ONLY AGE, BUT CALENDAR YEAR, BIRTH COHORT, AND OTHER 16 FACTORS. 17 AND MUCH OF THAT HAS BEEN DONE IN THE 18 APPENDICES WHICH ARE PROVIDED NOW. AND AS I READ THESE 19 APPENDICES, IT NOW APPEARS THAT DESPITE CONSIDERABLE 20 DEBATE OVER WHAT IS THE MOST PARSIMONIOUS AND MOST 21 UNBIASED WAY TO ESTIMATE THE BASE LINE RATES WE'RE 22 PRESENTED WITH MANY DIFFERENT MODELS WITH ALTERNATIVE 23 AKAIKIAN INFORMATION CRITERIAS TO CHOOSE BETWEEN THEM, THE 24 ACTUAL EFFECT ON THE SLOPE ESTIMATES ARE VERY SMALL. 25 SO I NO LONGER THINK THE CONTROL OF AGE 0174 01 CALENDAR YEARS EFFECTS IS THE BIG QUESTION, BUT WHAT 02 REALLY MATTERS IS THE OUESTION OF BACKGROUND EXPOSURES. 03 AND AT LEAST THAT'S THE POSITION THAT'S TAKEN 04 IN THE STATE REPORT, BUT I FIND IT SOMEWHAT -- A LITTLE 05 BIT CONFUSING HOW TO -- HOW DIFFERENT TREATMENTS OF THE 06 BACKGROUND EXPOSURE QUESTION COULD LEAD TO A DRAMATIC 07 REVERSAL OF THE SIGNIFICANT POSITIVE TO A SIGNIFICANT 08 NEGATIVE EFFECT. 09 AND I'VE INCLUDED A BIT OF MATHEMATICS IN THE 10 THIRD OR FOURTH PAGE OF MY NOTES HERE WHICH TRY TO SHOW 11 HOW THAT COULD COME ABOUT. I WON'T GO THROUGH THESE FORMULA EXPLICITLY NOW, BUT THE BOTTOM LINE IS THAT IF WE 12 13 ARE VIEWING BACKGROUND EXPOSURES AS A POTENTIAL 14 CONFOUNDER, THEN THE RELEVANT BACKGROUND EXPOSURES IS 15 BACKGROUND EXPOSURE ACCUMULATED SINCE BIRTH, NOT SINCE 16 FIRST EMPLOYMENT. 17 AND IF ONE THEREFORE FAILS TO TAKE INTO 18 ACCOUNT BACKGROUND EXPOSURES PRIOR TO FIRST EMPLOYMENT,

19 YOU ARE IN A POTENTIALLY CONFOUNDING SITUATION, WHERE AGE 20 AT FIRST EMPLOYMENT BECOMES A CONFOUNDER, AND IF NOT 21 ADEQUATELY DEALT WITH BY CONTROL ELSEWHERE IN THE MODEL, 22 THEN ONE CAN GET DRAMATICALLY DIFFERENT RESULTS, DEPENDING 23 ON WHETHER YOU DO OR DO NOT ADJUST FOR BACKGROUND 24 EXPOSURES IN THE INTERIM SINCE FIRST EMPLOYMENT. 25 AND I'M NOT SURE THAT THAT WILL GET TO THE 0175 01 BOTTOM OF WHY SOME ANALYSES LEAD TO THE SIGNIFICANT 02 NEGATIVE AND SOME TO THE SIGNIFICANT POSITIVE RESULTS, BUT 03 I SUSPECT THAT'S PART OF IT. 04 THE OTHER PART OF IT AS WE'VE SEEN A NUMBER 05 OF PICTURES, BOTH SOME IN THE REPORT, SOME THAT 06 KENNY CRUMP HAS OFFERED IN SOME OF HIS SUBMISSIONS, AS 07 WELL AS THE INFAMOUS BLUE SLIDE FROM THIS MORNING, WHICH 08 SUGGESTS THAT WHAT IS REALLY DRIVING THE POSITIVE 09 RELATIONSHIP IS PRIMARILY THE COMPARISON BETWEEN THE TRAIN 10 RIDERS AND THE NON-EXPOSED PART OF THE COHORT, RATHER THAN 11 THE ACTUAL DURATION OF EMPLOYMENT PER SE. 12 I HAVE NOT FORMED AN OPINION ON THIS MYSELF, 13 BUT IT DOES SEEM TO ME THAT IF ONE WERE TO TRY TO RESTRICT 14 AN ANALYSIS ONLY TO THE TRAIN RIDERS, AND LOOK AT DURATION 15 AS THE PRIMARY EXPOSURE VARIABLE, THEN WE'RE BACK IN THE 16 SITUATION OF FUNDAMENTAL MULTICOLLINEARITY, THAT DURATION 17 ESSENTIALLY EQUALS A COMBINATION OF ATTAINED AGE, AGE AT 18 FIRST EMPLOYMENT, CALENDAR YEAR, AND BIRTH COHORT, WHICH 19 ONE CANNOT HOPE TO UNSCRAMBLE FROM THESE ANALYSES. SO THEREFORE, I'M NOT THAT DISTURBED BY THE FAILURE 20 21 TO FIND A DOSE-RESPONSE RELATIONSHIP AMONGST THE TRAIN 22 RIDERS BECAUSE THE DATA AS STRUCTURED HAVE VERY LITTLE POWER TO DETECT SUCH A DOSE-RESPONSE RELATIONSHIP; THUS 23 24 I'M NOT HOPELESSLY DISTURBED BY THE FACT THAT MOST OF OUR 25 INFORMATION IS COMING FROM THE EXPOSED VERSUS THE 0176 01 UNEXPOSED COHORT. THAT'S IT. I THINK WE SHOULD HAVE SOME 02 CONCERN OF THE APPROPRIATENESS OF TRYING TO SUMMARIZE THIS 03 COMPLEX DATA SET BY A SINGLE SLOPE ESTIMATE, AND WE HAD A LONG DISCUSSION BEFORE LUNCH ON THAT. 04 05 NOW, THE OTHER POINTS I THINK I CAN DISPENSE 06 WITH BECAUSE THEY ARE ALL IN MY WRITTEN COMMENTS. I TAKE 07 ISSUE IN THE WRITTEN COMMENTS, FOR THOSE WHO DON'T BENEFIT 08 OF THEM IN FRONT OF YOU, WITH SOME OF THE WAYS THE 09 MULTI-STAGE MODEL HAS BEEN FITTED, AND I THINK HAVING A 10 PREVIEW OF WHAT SOME OF THE OTHER SPEAKERS ARE GOING TO 11 SAY, THEY ARE GOING TO ADDRESS THOSE SAME CONCERNS. I'LL 12 SKIP OVER THAT. 13 I DO THINK THAT MULTI-STAGE MODEL WITH THE 14 LAST STAGE ACTIVE IS NOT A BIOLOGICALLY PLAUSIBLE ONE, AND THE STATE COULD HAVE DONE A BETTER JOB IN TERMS OF FITTING 15 16 THE MULTI-STAGE MODEL. 17 AND I ALSO HAVE SOME TECHNICAL PROBLEMS WITH 18 THE WAY THE LIFETIME RISK ESTIMATE WAS DERIVED, AND STAN 19 AND I HAVE MADE SOME HEADWAY IN TRYING TO UNDERSTAND OUR 20 DISCREPANCIES SINCE THEN, SINCE I WROTE THESE COMMENTS. 21 BUT THE FINAL CONCLUSION, WHICH IS THAT WHAT 22 IS BEING PASSED OFF AS A LIFETIME RISK ESTIMATE, IS IN 23 FACT, REALLY JUST LIFETIME RISK ACCUMULATED TO AGE 70

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24 ONLY. WE SHOULDN'T CALL IT A LIFETIME RISK BECAUSE THE
25 MAJORITY OF LUNG CANCER DEATHS OCCUR AFTER AGE 70, AND AN
0177
01 EVEN LARGER PROPORTION OF THE EXCESS DEATHS WILL OCCUR
02 AFTER AGE 70 BECAUSE THAT'S WHEN RELATIVE RISK IS GOING TO
03 BE HIGHER UNDER A CUMULATIVE DOSE HYPOTHESIS.
04
                 MY OWN -- MY OWN LITTLE LIFE TABLE
0.5
    CALCULATIONS INDICATE THIS WOULD -- IF YOU REALLY WANTED
06 TO COMPUTE SOMETHING THAT YOU WANTED TO CALL A LIFETIME
07
    RISK ESTIMATE, IT WOULD BE ABOUT TWO-AND-A-HALF TIMES
08 HIGHER THAN THE NUMBER YOU GOT BY TRUNCATING THE LIFETABLE
09 AT AGE 70.
10
                 SO WITH THAT I THINK I'LL STOP. I THINK THIS
11 DOCUMENT HAS COME A LONG WAYS FROM WHERE I'VE SEEN IT
12 BEFORE. THE EVIDENCE FOR CAUSALITY I THINK IS MUCH
    STRONGER THAN IT WAS BEFORE. THE QUANTITATIVE RISK
13
14 ASSESSMENT, DESPITE ITS FAULTS, ARE MUCH APPROVED.
15
                 I THINK WE NEED IN GENERAL TO FIGURE OUT HOW
16 TO DO THESE RISK ASSESSMENTS BECAUSE THESE QUESTIONS,
17 THESE METHODOLOGICAL QUESTIONS GO FAR BEYOND DIESEL, AND
18 THE WORLD IS LOOKING AT HOW THIS ASSESSMENT WILL BE DONE
19 AS A GUIDELINE AS TO HOW WE DO OTHER RISK ASSESSMENTS. SO
20 IT BEHOOVES US TO GET IT RIGHT.
           DR. FROINES: BILL LOCKETT. IS -- WHAT'S THE
21
22 STATUS ON KENNY CRUMP?
23
           MR. LOCKETT: HE'S LISTENING IN.
24
           DR. CRUMP: I'M HERE.
25
           DR. FROINES: ARE WE ABLE TO PUT HIM ON A SCREEN OR
0178
01 IS IT GOING TO BE A CONFERENCE CALL?
           MR. LOCKETT: IT'S AUDIO ONLY.
02
03
           DR. FROINES: AUDIO ONLY.
04
                 KENNY, CAN YOU HEAR ME?
05
           DR. CRUMP: I CAN HEAR YOU FINE. CAN YOU HEAR ME?
06
           DR. FROINES: YES. SO WHAT WE'RE DOING IS WE'RE
07 HAVING THE THREE AFTERNOON SPEAKERS SPEAK, AND THEN WE'RE
08 GOING TO HAVE A DISCUSSION FOLLOWING THE THREE TALKS.
09
                 SO IF YOU'RE WILLING, WILL YOU GO AHEAD NOW?
10
           DR. CRUMP: OKAY. I'LL GO AHEAD, AND I'LL GO AS
11 FAR AS I CAN. I'M NOT SURE HOW LONG THAT I CAN STAY ON
12 THE LINE.
13
                 GREETINGS TO EVERYONE FROM DISNEY WORLD. I'M
14 HERE WITH MY FIVE GRANDKIDS, AND AFTER A COUPLE OF DAYS
15
    HERE WITH THEM, I'VE BEEN SORT OF LOOKING FORWARD TO THIS
16
    CONFERENCE CALL SO I CAN PROP MY FEET UP FOR A FEW
17 MINUTES. SO THANK YOU VERY MUCH FOR GIVING ME THIS
18 OPPORTUNITY.
                 I'M ALSO HAPPY TO DO THIS BECAUSE I
19
20 UNDERSTAND THAT PART OF THE IDEA OF THIS MEETING WAS
21
    TRYING TO RESOLVE THE SO-CALLED CRUMP/DAWSON DEBATE, AND I
22
    THINK REALLY TO RESOLVE ANY DEBATE, YOU NEED TO HEAR BOTH
23 SIDES OF THE STORY. SO I'M HAPPY TO BE HERE AND TELL MY
24 SIDE.
25
                 MOST -- SOME OF YOU MAY KNOW THIS, BUT JUST
0179
01 FOR SOME OF YOU THAT DON'T, MY BACKGROUND OF MY
02 PARTICIPATING IN THIS ISSUE, ABOUT SIX YEARS AGO I WAS
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03 INVITED BY E.P.A. TO USE THE GARSHICK COHORT STUDY TO 04 CONDUCT A RISK ASSESSMENT AND ESSENTIALLY DO WHAT 05 CALIFORNIA HAS BEEN DOING WITH THAT DATA, AND AFTER 06 ANALYZING IT, I DECIDED THAT IT WASN'T APPROPRIATE BECAUSE 07 THERE WAS REALLY NO CONVINCING EFFECT OF DIESEL IN THIS 08 STUDY. 09 AND ON THE BASIS OF THAT, E.P.A. DECIDED NOT 10 TO USE THIS STUDY IN THEIR RISK ASSESSMENT. 11 SINCE THEN THE STATE OF CALIFORNIA HAS BEEN 12 DOING NUMEROUS ANALYSES WHICH WILL BE THE SUBJECT OF THIS 13 MEETING, AND STAN DAWSON AND I HAVE HAD A NUMBER OF 14 DISCUSSIONS AND TRADED ANALYSES ABOUT THE -- ABOUT THE 15 MEANING OF THESE DATA AND IN THE ANALYSES. 16 LET ME FIRST GIVE YOU JUST MY BASIC 17 CONCLUSIONS, BASED ON ALL THE ANALYSIS THAT I'VE DONE, AND 18 ALSO READING ABOUT CALIFORNIA'S ANALYSES. 19 FIRST OF ALL, THE RISK OF -- LUNG CANCER RISK 20 IS SIGNIFICANTLY ELEVATED IN TRAIN RIDERS IN THIS COHORT 21 RELATIVE TO CLERKS AND SIGNALMEN. THE LATTER TWO GROUPS 22 ARE THE ONES USED ARE THE CONTROL GROUP IN THE RECENT 23 GARSHICK ET AL. ANALYSIS. HOWEVER, WITHIN THE GROUP OF EXPOSED TRAIN 24 25 RIDERS, THERE IS NO DOSE-RESPONSE. THE RISK IN TRAIN 0180 01 RIDERS DECREASES WITH INCREASING EXPOSURE, AND GENERALLY 02 WITHIN INCREASING DURATION OF EXPOSURE. AND THE RISK IN 03 THE HIGHEST OR LONGEST EXPOSED TRAIN RIDERS IS NO 04 DIFFERENT FROM THAT OF THE CLERKS AND SIGNALMEN. RISK WAS 05 NOT SIGNIFICANTLY ELEVATED IN THE SHOP WORKERS, DESPITE 06 THE FACT THAT THESE WORKERS HAD THE MOST INTENSE EXPOSURES 07 FOR SURE, AND I THINK LIKELY THE HIGHEST EXPOSURES OF 08 ALL. 09 THEREFORE, I CONCLUDE THAT THERE IS NO 10 COMPELLING EVIDENCE FOR THE FACT THE DIESEL EXHAUST OF 11 LUNG CANCER IN THIS COHORT. IN ADDITION, THERE WAS AN OBVIOUS PROBLEM 12 WITH THE FOLLOW UP IN THE LAST FOUR YEARS OF THIS STUDY. 13 NOW, I KNOW THAT DR. GARSHICK, I BELIEVE, HAS 14 15 BEEN DOING SOME WORK TO CORRECT THAT PROBLEM, AND HE MAY 16 HAVE ADDRESSED THAT TODAY. SORRY I WASN'T HERE TO HEAR 17 WHAT HE HAD TO SAY. 18 BUT THE WAY THINGS STAND WITH THE DATA THAT I 19 HAVE, WE DON'T KNOW I DON'T THINK WHAT CAUSED THE PROBLEM, 20 AND WE REALLY DON'T KNOW IF THE PROBLEM IS LIMITED TO THE 21 LAST FOUR YEARS OF THE STUDY. 22 SO I THINK THAT WE SHOULD TRY TO CORRECT THE 23 FOLLOW-UP PROBLEM THE FULL WORK OF THIS STUDY REALLY CAN 24 BE RELIED UPON, AND I HAVE MORE TO SAY ABOUT THAT IN JUST 25 A FEW MINUTES. 0181 01 BACK TO MY COMMENT ABOUT THE SHOP WORKERS. 02 THESE WORKERS WORKED MANY TIMES IN ENCLOSED AREAS WITH 03 RUNNING ENGINES, AND IN THE EARLY DAYS, WORKED IN GARAGES 04 THAT WERE DESIGNED FOR STEAM ENGINES AND DID NOT HAVE 05 ADEQUATE VENTILATION, AND BY ALL ACCOUNTS THEY SUFFERED 06 SOME MUCH HIGHER EXPOSURES THAN THE OTHER -- OTHER 07 WORKERS. THESE WORKERS ALSO HAD POTENTIAL EXPOSURE TO

08 ASBESTOS. 09 NOW, THE -- AS FAR AS I CAN TELL, THE DEBATE 10 OVER THE EXPOSURE OF THE SHOP WORKERS STEMS FROM A SINGLE 11 STATEMENT IN THE ORIGINAL GARSHICK PAPER THAT SAID THAT 12 SOME OF THE WORKERS, SHOP WORKERS, WORKED IN TYPES OF 13 RAILROAD SHOPS THAT HAD NO DIESEL EXPOSURE AS FAR AS 14 ASBESTOS USE. IT JUST SAYS SOME. IT DOESN'T SAY THE 15 SOURCE OF THE INFORMATION OR ANY ESTIMATE OF WHAT 16 PERCENTAGE. 17 BUT THE EXPOSURE DATA THAT WE HAVE THAT WAS 18 COLLECTED IN 1983 ESTIMATED EXPOSURES IN THE SHOP WORKERS 19 RELATIVE TO THE TRAIN RIDERS WAS ABOUT TWICE AS HIGH, AND 20 WE WOULD EXPECT THAT THAT RATIO WOULD BE CONSIDERABLY 21 LARGER IN EARLIER YEARS. SO UNLESS THE SHOP WORKERS -- UNLESS THE 22 23 MAJORITY OF THE SHOP WORKERS WORKED IN AREAS THAT DID NOT INVOLVE DIESEL EXPOSURE, WE SHOULD EXPECT THEIR EXPOSURES 24 25 TO BE EQUAL TO OR GREATER THAN, AS A GROUP, THAT OF THE 0182 01 TRAIN RIDERS. SO CONSEQUENTLY, I THINK THE FACT THAT THE 02 03 SHOP WORKERS DID NOT HAVE ELEVATED RISKS RELATIVE TO THE 04 CLERKS AND SIGNALMEN ARGUES AGAINST AN EFFECT OF DIESEL IN 05 THIS -- IN THIS COHORT. 06 NOW, I'D LIKE TO TURN TO SOME BIOSTATISTICAL 07 ISSUES, AND I AGREE WITH DR. THOMAS THAT SOME OF THIS IS 08 PRETTY TOUGH GOING, BUT IF YOU TRY TO HANG WITH ME AND --09 AS I MAKE MY POINTS WITH THIS BECAUSE I THINK IT'S 10 IMPORTANT BECAUSE IN THIS ANALYSIS, I THINK IT'S VERY MUCH 11 THAT THE DEVIL IS IN -- IT'S IN THE DETAILS. I WOULD REFER YOU -- IF YOU HAVE -- IF YOU 12 13 HAVE THE REPORT, THE REVISED REPORT, I THINK IT WILL HELP 14 IF YOU WILL TURN TO PAGE F-18. THAT'S THE NEXT TO THE 15 LAST PAGE IN THE REPORT, AND YOU'LL SEE TWO DOSE-RESPONSES 16 THERE. I'M GOING TO BE REFERRING TO THOSE A FAIR AMOUNT 17 IN MY DISCUSSION. AT THE TOP OF THE PAGE YOU HAVE THE ORIGINAL 18 19 ANALYSIS CONDUCTED BY GARSHICK ET AL. IN THE ORIGINAL 20 PAPER. 21 AND THIS ANALYSIS HAS YEARS OF EXPOSURE ON 22 THE X-AXIS AND RELATIVE RISK ON THE Y-AXIS, AND YOU SEE AN 23 INCREASING TREND. THIS WAS A POYSON REGRESSION THAT 24 CONTROLLED FOR -- FOR AGE IN 1959. 25 NOW, IF I'M NOT MISTAKEN, I BELIEVE THIS IS 0183 01 ACTUALLY MY ANALYSIS. IT LOOKS VERY, VERY MUCH LIKE IT, 02 AND ACTUALLY, THIS IS MY REPRODUCTION OF GARSHICK'S 03 ANALYSIS, AND I WAS ABLE TO REPRODUCE IT ALMOST EXACTLY. IF YOU LOOK AT THE FIGURE ON THE BOTTOM, AND 04 05 I BELIEVE THIS IS MISLABELED, AND I THINK IT WAS ALSO 06 MISLABELED IN MY ORIGINAL SUBMISSION. 07 THIS IS THE SAME IDENTICAL ANALYSIS AS IN THE 08 TOP FIGURE WITH ONE CHANGE. THAT'S A VERY SUBTLE CHANGE. 09 INSTEAD OF CONTROLLING FOR AGE IN 1959, THIS ANALYSIS 10 CONTROLLED FOR ATTAINED AGE. IT HAD THE SAME NUMBER OF 11 VARIABLES ESTIMATED, BUT IT HAD WHAT I THINK IS A 12 SIGNIFICANTLY BETTER -- WELL, A BETTER FIT TO THE DATA.

13 THIS WILL MEAN SOMETHING TO STATISTICIANS. THE DEVIANTS 14 IN THE BOTTOM GRAPH IS SMALLER BY 18 THAN THE DEVIANTS IN 15 THE UPPER GRAPH. 16 SO THE METHOD USED IN THE BOTTOM GRAPH GIVE A 17 BETTER DESCRIPTION OF THE UNDERLYING DATA THAN THE ONE IN 18 THE TOP GRAPH. SO I SEE NO REASON TO ACCEPT THE ANALYSIS 19 IN THE TOP GRAPH OVER THAT IN THE BOTTOM GRAPH. 20 NOW, WHAT THE BOTTOM GRAPH SHOWS IS RATHER 21 DRAMATICALLY DIFFERENT. IT SHOWS THE DECREASING TREND 22 WITHIN EXPOSED WORKERS. AND BY THE WAY, THIS PARTICULAR 23 ANALYSIS, BOTH OF THEM WERE LIMITED -- OMITTED SHOP 24 WORKERS FROM THE ANALYSIS, AND I WILL SAY PARENTHETICALLY 25 THAT MOST OF THE ANALYSES THAT I'M GOING TO BE DISCUSSING 0184 01 ALSO ELIMINATED THE SHOP WORKERS. SO WHETHER THE SHOP WORKERS ARE PRESENT IS REALLY NOT AN ISSUE. 02 03 SO I REALLY THINK THAT IF -- IF THE ORIGINAL 04 ANALYSIS HAD CONTROLLED BETTER FOR -- FOR AGE THEY 05 MIGHT -- THEN WOULD THEY WOULD HAVE GOTTEN -- THEY WOULD 06 HAVE NOT GOTTEN THE POSITIVE DOSE-RESPONSE THAT IS SEEN 07 IN THE PAPER. 08 THIS PARTICULAR RESULT SEEMS TO BE QUITE 09 ROBUST WITH RESPECT TO HOW THE ANALYSIS IS CONDUCTED. THE 10 ONE THAT'S SHOWN HERE IS A COX REGRESSION. IF YOU USE A 11 POISSON REGRESSION USING INTERNAL CONTROLS, YOU GET 12 ESSENTIALLY THE SAME DOSE-RESPONSE. IF YOU USE A POISSON 13 REGRESSION USING EXTERNAL CONTROLS, YOU GET ESSENTIALLY 14 THE SAME RESULT. 15 IN THIS LATTER ANALYSIS IS A VERY DIFFERENT 16 ANALYSIS FROM USING INTERNAL CONTROLS. IT ONLY USES ABOUT 17 THREE -- I THINK THREE VARIABLES AS OPPOSED TO 15 TO 20 THAT YOU WOULD USE WITH INTERNAL CONTROLS SO IT'S A VERY 18 19 DIFFERENT KIND OF ANALYSIS. 20 AND EVEN A VERY SIMPLE ANALYSIS WHERE YOU 21 DON'T DO ANY MODELING AT ALL, AND JUST TAKE THE OBSERVED 22 DEATH AND AGE AND CALENDAR YEAR CATEGORY AND PARTITION THEM INTO THE VARIOUS EXPOSURES EXPECTED -- CALCULATED 23 EXPECTEDS BY DOING THE PARTITIONING JUST BASED UPON THE 24 25 NUMBER OF PERSON YEARS -- YOU -- I'LL STILL GET A NEGATIVE 0185 01 DOSE-RESPONSE. 02 I THINK WHAT THIS SHOWS IS SOME FAIRLY MINOR 03 CHANGES IN THE WAY AN ANALYSIS DONE WITH THIS COHORT 04 PRODUCES SOME VERY DRAMATIC RESULTS. 05 IT'S VERY IMPORTANT HOW YOU CONTROL FOR AGE 06 AND CALENDAR YEAR. IF YOU LOOK JUST AT TRAIN RIDERS, YOU 07 GET DECREASING TRENDS. IF YOU ELIMINATE THE LAST FOUR YEARS OF FOLLOW UP, THE DECREASING TREND AMONG TRAIN 08 09 RIDERS IS STATISTICALLY -- STATISTICALLY SIGNIFICANT. NOW, IN THE CALIFORNIA ANALYSIS, THEY GET 10 11 MANY POSITIVE TRENDS. WHY IS THAT THE CASE WHEN I'M 12 PRESENTING A TREND THAT APPEARED NEGATIVE? I THINK THERE 13 ARE BASICALLY TWO REASONS FOR THAT. AND ALL OF THE 14 ANALYSES THAT ARE PRESENTED IN THE DOCUMENT, YOU'RE 15 BASICALLY COMPARING TRAIN RIDERS TO CLERKS AND SIGNALMEN. 16 BUT IT IS NOTED EARLIER TRAIN RIDERS DO HAVE 17 A HIGHER OVERALL RISK LUNG CANCER RISK, THAN CLERKS AND

18 SIGNALMEN. THAT'S PRETTY EVIDENT FROM LOOKING AT THE 19 FIGURE ON F-3 ON PAGE F-18. AND WHENEVER THAT'S THE 20 CASE -- AND LET'S JUST SUPPOSE FOR THE SAKE OF ARGUMENT 21 THAT THERE REALLY IS -- IS REALLY NO EFFECT OF DIESEL AT 22 ALL, BUT THE ELEVATED RISK AMONG THE TRAIN RIDERS IS DUE 23 TO SOMETHING TOTALLY -- TOTALLY UNRELATED TO DIESEL. 24 IF THAT IS THE CASE, YOU SHOULD EXPECT AT 25 LEAST A FLAT RELATIVE RISK IN HIGHER EXPOSURE CATEGORIES. 0186 01 MAYBE IT SHOULDN'T DECREASE LIKE THIS, BUT IT SHOULD BE AT 02 LEAST FLAT. 03 BUT IF YOU FIT A LINEAR MODEL TO THESE DATA, 04 YOU WILL GET A STATISTICALLY SIGNIFICANT POSITIVE TREND, 05 EVEN THOUGH THERE REALLY IS NO DOSE-RESPONSE WITHIN THE 06 EXPOSED GROUP. 07 AND AS A MATTER OF FACT, IF YOU FIT A LINEAR 08 MODEL TO THE DATA SHOWN IN FIGURE F-83 AT THE BOTTOM OF 09 PAGE F-18 YOU WILL ALSO GET --- PARDON ME? I'M SORRY? 10 DR. GLANTZ: THAT WAS STAN GLANTZ BLOWING HIS 11 NOSE. IT WAS NOT MEANT AS A POLITICAL COMMENT. 12 DR. CRUMP: OKAY. WELL, IT'S PROBABLY TIME WE 13 BREAK ANYWAY. 14 IF YOU FIT A LINEAR MODEL TO THE DATA AS 15 SHOWN IN FIGURE F-3, IT SHOWS THAT DECREASING SLOPE WITHIN THE TRAIN RIDERS, YOU WILL IN FACT GET A SIGNIFICANT 16 17 POSITIVE TREND. 18 OF COURSE, YOU WILL GET EXCEEDINGLY POOR FIT, 19 BUT YOU WILL GET A POSITIVE TREND. 20 THE OTHER REASON FOR THE DIFFERENCE IS A LACK 21 OF CONTROL FOR A CONTROL COMPOUNDING VARIABLES, AND AS YOU 22 CAN SEE BY COMPARING FIGURES F-2 AND F-3, THAT'S A VERY 23 CRITICAL ISSUE BECAUSE A VERY SEEMINGLY, A VERY MINOR 24 CHANGE IN THE WAY THE ANALYSIS WAS DONE PRODUCE VERY 25 DRAMATICALLY DIFFERENT RESULTS. 0187 I'D LIKE TO MENTION NOW THE EXPOSURE METRIC 01 02 AND THE SUBTRACTING OFF OF BUSINESS AND SUBTRACTING OFF OF THE BACKGROUND. THE WAY THE CALIFORNIA DOCUMENT HAS DONE 0.3 04 THAT IS TO SUBTRACT THE -- LET'S ASSUME THAT THE EXPOSURES 05 IN THE CLERK AND SIGNALMEN WAS BACKGROUND AND TO SUBTRACT 06 THAT AMOUNT ALL FROM THE EXPOSURES IN THE -- FROM THE 07 TRAIN RIDERS. 08 BASICALLY, I DON'T HAVE A REAL -- REAL 09 PROBLEM WITH THAT. AT LEAST NOT A -- NOT A BIG PROBLEM. 10 I THINK IT WILL TEND TO -- I THINK IT WILL UNDERESTIMATE 11 THE EXPOSURES -- DIESEL EXPOSURES IN THE -- IN THE CLERKS 12 AND SIGNALMEN BUT -- BECAUSE I THINK THEY WERE EXPOSED. 13 AND I THINK THERE WERE SOME -- I THINK SOME REAL 14 IMPLAUSIBLE DIFFERENCES AMONG THE EXPOSURES AMONG THE 15 DIFFERENT GROUPS OF TRAIN RIDERS. THERE WERE ABOUT SIX DIFFERENT GROUPS OF TRAIN RIDERS, AND THEY WERE DIFFERENT 16 17 EXPOSURES ESTIMATED FOR THOSE. AND I WOULD HAVE RATHER 18 HAVE SEEN THAT INFORMATION BE TAKEN INTO ACCOUNT INSTEAD 19 OF ASSUMING THEY WERE ALL EXPOSED AT THE SAME LEVEL. 20 DR. FROINES: KENNY? 21 DR. CRUMP: YES. 22 DR. FROINES: CAN YOU FINISH UP IN ABOUT FIVE

23 MINUTES? DR. CRUMP: IT MAY TAKE ME ABOUT TEN. I'LL DO MY 24 25 BEST. 0188 01 DR. FROINES: WELL, WE'VE TRIED TO HOLD EVERYBODY 02 HERE TO 20 MINUTES -- 15 TO 20 MINUTES FOR THEIR TALKS, 03 AND IT'S NOT REALLY FAIR TO THE OTHER PARTICIPANTS TO HAVE 04 SOMEBODY BASICALLY GO ON AT LENGTH. 05 SO I WOULD LIKE YOU TO FINISH IN FIVE MINUTES 06 IF YOU CAN. 07 DR. CRUMP: I'LL DO MY BEST, BUT IF YOU NEED, JUST 08 CUT ME OFF. YOU JUST DO THAT. I HAVE A COUPLE MORE 09 POINTS THAT I THINK ARE IMPORTANT TO MAKE. 10 OKAY. THE POINT THAT I WAS MAKING WAS THAT I 11 DON'T HAVE A REAL PROBLEM WITH THE WAY THAT'S DONE, AND 12 PERHAPS WITH THE -- I'M NOT SURE THAT THE CHANGES THAT I 13 WOULD MAKE OF THAT WOULD MAKE A LARGE DIFFERENCE. 14 BUT I WANT TO MAKE IT CLEAR THAT THAT IS NOT 15 THE REASON FOR THE NEGATIVE SLOPES THAT I'M GETTING. FOR 16 EXAMPLE, IF YOU TAKE THE CUMULATIVE EXPOSURES AND APPLY 17 THOSE JUST TO THE -- THE ANALYSES THAT I DID EARLIER AND 18 APPLY THOSE JUST TO THE -- TO THE TRAIN RIDERS, YOU GET 19 ALL NEGATIVE SLOPES. MANY OF THEM ARE SIGNIFICANT AND 20 THEY ARE HIGHLY SIGNIFICANT. 21 AND THESE ANALYSES, UNLIKE WHAT DUNCAN WAS 22 SAYING WITH THE USING YEARS OF EXPOSURE, YOU DO NOT HAVE 23 THE SAME KIND OF THE CO-VARYING -- CO-VARIANCE BETWEEN 24 CALENDAR YEAR AND CUMULATIVE EXPOSURE, AND I COULD GO INTO 25 THAT IN MORE DETAIL, BUT WHEN YOU DO THAT, YOU GET ALL 0189 01 NEGATIVE SLOPES. 02 AND LET ME ALSO SAY, FOR EVERYTHING THAT I'VE 03 DONE INDICATES THAT WITHIN THE GROUP OF EXPOSED WORKERS, 04 YOU GET A NEGATIVE TREND WITH INCREASING DURATION OF 05 EXPOSURE OR INCREASING YEARS OF EXPOSURE, NOT INDICATIVE 06 OF THE EFFECT OF EXPOSURE TO DIESEL IN LUNG CANCERS, IT 07 SEEMS TO ME. 80 BUT LET ME ALSO POINT OUT THAT THESE NEGATIVE 09 TRENDS ARE NOT LIMITED TO LUNG CANCER. I'VE LOOKED AT A 10 NUMBER OF OTHER END POINTS, AND YOU GET NEGATIVE TRENDS 11 WITH MANY OTHER VERY SIMILAR NEGATIVES TRENDS, WITH MANY 12 OTHER KINDS OF INFLUENCES, THE DEGESTIC LUNG CANCER, THE 13 SKIN AND HEART DISEASE, STROKE, ALL CAUSES OF DEATH, ALL 14 OF THESE GIVE ESSENTIALLY THE SAME KINDS OF NEGATIVE 15 TRENDS WITHIN THE TRAIN RIDERS. 16 DR. BLANC: THEY SHOULD BE LIVING FOREVER THEN, 17 SHOULDN'T THEY, BECAUSE THE LONGER YOU WORK, THE SAFER YOU 18 WOULD BE. THIS IS DR. BLANC QUESTIONING. DOESN'T THAT 19 MAKE YOU SUSPICIOUS THAT, IN FACT, THERE IS SOMETHING 20 SYSTEMATICALLY WRONG WITH YOUR ANALYSIS, AND COULD YOU 21 PROVIDE US WITH THE R-SQUARE VALUE FOR THE CORRELATION 22 BETWEEN THE PREDICTIVE VARIABLE THAT YOU'RE ADJUSTING FOR 23 IN TERMS OF CALENDAR YEAR AND IN TERMS OF CUMULATIVE 24 EXPOSURE? 25 DR. CRUMP: YEAH. I WOULD BE HAPPY TO PROVIDE 0190 01 THAT. THIS PARTICULAR KIND OF ANALYSIS DOESN'T PRODUCE AN

02 R-SQUARE. 03 DR. BLANC: NO, BUT YOU COULD GIVE ME THAT USING 04 THE TWO VARIABLES JUST A CORRELATION, JUST SO I CAN GET A 05 SENSE OF THE COLLINEARITY. 06 DR. CRUMP: YEAH, I WOULD BE VERY PLEASED TO DO 07 THAT. 08 DR. BLANC: PERHAPS YOU COULD SUBMIT THAT 09 SEPARATELY. DR. CRUMP: OKAY. WHAT TWO VARIABLES WERE YOU 10 11 REFERRING TO? 12 DR. BLANC: WELL, ACTUALLY, WHY DON'T YOU JUST GIVE 13 US AN INTERCORRELATION MATRIX FOR ALL OF THE PREDICTIVE 14 VARIABLES IN YOUR MODEL? 15 DR. CRUMP: OKAY. THAT CAN BE DONE. 16 I THINK WHAT IS -- I THINK IT'S VERY 17 TROUBLING TO ME WHAT IT SUGGESTS TO ME THAT THERE IS SOMETHING WRONG WITH THE DATA. AND WE KNOW THERE'S 18 19 SOMETHING WRONG WITH THE FOLLOW UP IN THE LAST FOUR 20 YEARS. I DON'T KNOW WHAT DR. GARSHICK HAS UNCOVERED IN 21 HIS WORK SO FAR OR HE HAS TALKED ABOUT IT HERE. 22 BUT I'VE BECOME CONCERNED THAT THERE IS 23 SOMETHING BASICALLY WRONG WITH THE DATA IN THIS -- IN THIS 24 STUDY. 25 I HAVE JUST A COUPLE OF OTHER -- MORE OUICK 0191 01 COMMENTS. WHAT DUNCAN SAID ABOUT THE -- ABOUT THE 02 MULTI-STAGE MODEL, THE COMMENTS I HAD PREVIOUSLY MADE TO 03 CAL E.P.A., AND I CERTAINLY AGREE WITH THOSE. 04I THINK THAT THE ANALYSIS THAT THEY NOW HAVE 05 IN THERE ARE BETTER, BUT IT'S -- IN FACT, THEY STILL HAVE 06 NOT -- I DON'T THINK CONTROLLED ADEQUATELY FOR COMPOUNDING 07 VARIABLES. AND I THINK THE ANALYSIS THAT I DID LAST 08 SUMMER IS BASICALLY THE ANALYSIS THAT DUNCAN HAS SAID HE 09 WISHED THEY WOULD HAVE DONE. AND I GET MUCH LESS EVIDENCE 10 OF AN EFFECT OF DIESEL THAN WHAT IS SEEN IN THE ANALYSES I 11 THINK IN THIS REPORT. FINALLY -- THIS IS MY FINAL POINT. I JUST 12 13 WANT TO COMMENT ON THE ANALYSIS THAT'S PRESENTED IN PAGES 14 7-25, WHICH IS CALLED A CURRENT APPROACH. 15 THE ANALYSIS THAT'S PRESENTED THERE APPEARS 16 TO BE THE SORT OF -- THE MAIN ANALYSIS SINCE IT IS IN THE 17 BODY OF THE REPORT, AND THE OTHER ANALYSES ARE RELEGATED 18 TO THE APPENDIX. 19 I MAY HAVE MISSED SOMETHING, BUT I CANNOT 20 UNDERSTAND WHAT WAS DONE. I DON'T THINK IT'S EXPLAINED 21 CAREFULLY ENOUGH, AND AS I MENTIONED, THE DEVIL IS THE 22 DETAIL IN THESE ANALYSES, AND I THINK YOU'VE JUST GOT TO 23 LAY IT OUT SO THEY CAN BE -- CAN BE UNDERSTOOD. 24 THERE ARE A COUPLE OF POINTS THAT I JUST --25 IN GENERAL I DON'T UNDERSTAND IT, BUT THERE -- I DON'T 0192 01 UNDERSTAND WHAT WAS DONE. IT SAYS THAT THERE IS -- THIS 02 WAS A PROXIMATE ANALYSIS. I DON'T KNOW IN WHAT SENSE IT 03 WAS PROXIMATE. 04 IT SAYS AT ONE POINT THAT THE ONE YEAR OF --05 ANY EXPOSURE IN A YEAR WAS COUNTED AS A FULL YEAR OF 06 EXPOSURE. SINCE YOU KNOW WHAT THE EXPOSE -- HOW LONG, HOW

07 MANY MONTHS IN A YEAR A PERSON WAS EXPOSED, I DON'T 08 UNDERSTAND WHY IT WAS NECESSARY TO -- TO DO THAT. 09 AND THEN IN THE RESULTS ON THE -- THE TABLE 10 THAT GIVES THE RESULTS, IT SAYS, FOR EXAMPLE, THAT -- THIS 11 IS ON PAGE 7-49 OF TABLE 7-10, I'M TALKING ABOUT THE --12 THE ANALYSES GIVEN UNDER LABEL TWO THERE. 13 IT SAYS EITHER -- IT SAYS IT'S ATTAINED AGE 14 AND CALENDAR YEAR, OR AGE AT START OF STUDY IN CALENDAR 15 YEAR. I DON'T UNDERSTAND HOW IT COULD BE BOTH OF THOSE, 16 AND I FOUND THAT VERY PUZZLING. 17 SO, I WOULD LIKE TO GET SOME MORE DETAILS ON 18 THAT ANALYSIS BEFORE I COULD REALLY COMMENT ON IT. 19 AND IF YOU WOULD LIKE TO HAVE WRITTEN 20 COMMENTS, I'D BE GLAD TO PROVIDE THOSE. I WOULD LIKE TO 21 HAVE MORE DETAILS ON THAT ANALYSIS, AND I THINK IT WOULD 22 BE HELPFUL GIVEN THE TIME THAT I HAVE, I HAVE A LITTLE BIT MORE TIME TO PROVIDE WRITTEN COMMENTS. I'M GOING TO BE 23 24 OUT AGAIN NEXT WEEK FOR SEVERAL DAYS ALSO. 25 DR. FUCALORO: MAY I ASK A QUESTION? THIS IS 0193 01 ANTHONY FUCALORO ON THE S.R.P. YOU AT THE BEGINNING OF YOUR TALK GAVE US TWO 02 03 CONCLUSIONS, AND MAYBE I HAVE THEM WRONG, BUT LET ME READ THEM TO YOU AND SEE IF I DO HAVE THEM -- AND SEE IF I IN 04 05 FACT HAVE THEM RIGHT. 06 TRAIN RIDERS HAVE ENHANCED RISK FOR LUNG 07 CANCER OVER CLERKS, BUT THERE IS NO DOSE-RESPONSE THAT YOU 08 CAN DETECT; AND SECOND, THAT NO ENHANCED RISK FOR SHOP 09 WORKERS ARGUES AGAINST -- AND MAYBE I HAVE THIS WRONG --10 DIESEL EXHAUST BEING A CARCINOGEN. 11 DO I HAVE THOSE CONCLUSIONS CORRECT, OR I DID 12 I MISWRITE THEM? 13 DR. CRUMP: WELL, IT'S PRETTY CLOSE. I DIDN'T SAY 14 DIESEL EXHAUST BEING A CARCINOGEN, BUT I SAID AN EFFECT OF 15 DIESEL IN THIS STUDY. DR. FUCALORO: OKAY. NOW -- THEN LATER ON IN YOUR 16 TALK, AND I KNOW YOU DIDN'T HAVE TIME TO FINISH IT -- YOU 17 18 TALKED ABOUT THIS NEGATIVE RESPONSE, WHICH OBVIOUSLY SAID 19 SOMETHING IS WRONG SOMEWHERE. NOW, WHETHER IT'S WRONG 20 WITH THE ANALYSIS OR WITH THE DATA, I'LL ASSUME FOR THE 21 MOMENT -- WITH ALL DUE APOLOGIES TO DR. GARSHICK -- THAT 22 THERE IS SOMETHING WRONG WITH THE DATA, THEN HOW CAN ONE 23 DRAW THE -- DOES THAT NOT VITIATE YOUR CONCLUSIONS THAT 24 YOU STATED AT THE BEGINNING, IF THERE'S SOMETHING WRONG 25 WITH THE DATA? 0194 01 DR. CRUMP: WELL, IF THERE IS SOMETHING WRONG WITH 02 THE DATA, I DON'T THINK WE CAN MAKE ANY CONCLUSIONS AT ALL 03 PROBABLY. IT WOULDN'T BE WISE TO MAKE ANY CONCLUSIONS AT 04 ALL FROM THE ANALYSIS, FROM THE DATA. 05 DR. FUCALORO: BUT DIDN'T YOU SAY THERE WAS 06 SOMETHING WRONG WITH THE DATA, OR DID I MISUNDERSTOOD YOU? DR. CRUMP: I AM CONCERNED THAT THERE WAS SOMETHING 07 08 WRONG WITH THE DATA, AND THE -- THAT'S THE ONLY WAY I CAN 09 EXPLAIN THE RESULTS THAT I'M GETTING. THERE MUST BE 10 SOMETHING WRONG WITH THE DATA. DR. FROINES: I'M CONCERNED THAT WE'RE NOW IN A 11

12 QUESTION PERIOD BEFORE DALE'S GIVEN HIS TALK. 13 KENNY, ARE YOU GOING TO STAY ON THE PHONE? 14 DR. CRUMP: YEAH. I CAN STAY ON FOR A WHILE. 15 DR. FROINES: IF THERE'S ONLY GOING TO BE ONE 16 QUESTION FROM STAN, THEN WE'LL DO IT. BUT OTHERWISE, I 17 DON'T WANT TO OPEN -- I DON'T WANT TO START OPENING THIS 18 UP. 19 STAN, YOU WANT TO -- IS THIS QUICK? 20 DR. GLANTZ: YEAH. I ACTUALLY HAVE TWO, BUT I'LL 21 ONLY ASK ONE. 22 DR. FROINES: WELL, I THINK IT'S IMPORTANT TO 23 ASK --24 DR. GLANTZ: I'LL ASK ONE. 25 DR. FROINES: I THINK IT'S IMPORTANT TO ASK ALL THE 0195 01 QUESTIONS. IT'S JUST THAT I DON'T WANT TO HAVE DALE 02 PENALIZED --03 DR. GLANTZ: ALL RIGHT. 04 DR. FROINES: -- GIVEN -- BECAUSE OF THE LOGISTICS 05 OF THIS SITUATION. 06 DR. GLANTZ: WELL -- WELL, YOU'VE LOOKED -- IF YOU 07 LOOK AT FIGURE F-4, WHICH IS THE COMBINATION OF F-2 AND 08 F-3, EXCEPT PUT ON THE SAME SCALE, YOU'LL NOTICE THAT REALLY THE DIFFERENCES THAT YOU'VE BASED YOUR ARGUMENT ON 09 10 DON'T LOOK QUITE SO IMPRESSIVE BECAUSE THE -- IF YOU LOOK 11 AT THE CONFIDENCE INTERVALS FROM THE POINT ESTIMATES, 12 THEY -- THEY REALLY OVERLAP QUITE A LOT EXCEPT MAYBE AT 13 THE VERY LAST POINT WHERE THEY STILL OVERLAP, BUT A LITTLE 14 BIT LESS. AND WE'VE ALREADY HEARD THAT THE LONG-TERM 15 FOLLOW-UP DATA, WERE A LITTLE BIT SUSPECT ANYWAY. AND IF YOU LOOK AT F-4, DON'T YOU THINK IT 16 17 WOULD BE REASONABLE TO CONCLUDE THAT AT LEAST OUT TO A 18 12-YEAR EXPOSURE, THE TWO MODELS REALLY YIELD QUITE 19 SIMILAR RESULTS? 20 DR. CRUMP: WELL, I'M NOT SURE EXACTLY WHERE F-4 21 COMES FROM BECAUSE IT'S OBVIOUSLY NOT THE SAME AS F-3 AND 22 F-2. SO I WAS -- I WAS ALSO PUZZLED BY THAT. DR. GLANTZ: NO. IT'S THE SAME AS F-3 AND F-2. 23 24 IT'S JUST THE SCALES -- F-3 AND F-2 HAVE DIFFERENT SCALES. 25 DR. CRUMP: WELL, WHY IS THE RELATIVE RISK IN F-3 0196 01 1.5 AT THE LOWEST EXPOSURE, LOOKS LIKE IT'S 1.3 IN -- IN 02 F-4? 03 DR. GLANTZ: WELL, NO. IT'S RELATIVE -- WELL, I 04 MEAN, MAYBE IT'S THE RELATIVE RISK MINUS ONE. 0.5 DR. CRUMP: RIGHT. RIGHT. AND IT'S .3 WHERE IS 06 IT'S .5 IT APPEARS TO ME LOOKING AT TABLE --07 DR. GLANTZ: YOU KNOW, YOU'RE RIGHT. I THINK THE 08 STAFF MADE A MISTAKE WHEN THEY MADE THIS GRAPH. IT WASN'T 09 MEANT TO BE THE SAME. OH, I THOUGHT THEY WERE. WELL, I THEN -- WHY DON'T WE GO ON AND LET ME 10 11 CLARIFY THIS BECAUSE I MISUNDERSTOOD THE GRAPH. 12 DR. CRUMP: OKAY. 13 DR. FROINES: THEN, KENNY, YOU'RE OFF FOR THE 14 MOMENT, BUT IF YOU CAN HANG ON, WE'LL GET BACK WITH YOU 15 WITH ANY OTHER QUESTIONS. DR. CRUMP: I'LL SEE IF I CAN HANG ON. IF 16

17 SOMETHING COMES UP, I'LL APOLOGIZE IN ADVANCE FOR BREAKING 18 OFF, AND I'LL TRY TO HANG ON. 19 DR. FROINES: DALE HATTIS FROM CLARK UNIVERSITY IS 20 OUR NEXT AND LAST SPEAKER. 21 DR. HATTIS: YEAH. I WANTED BASICALLY TO TRY TO DO 22 THREE THINGS. I SHOULD -- I HAVE TO PUT ON THE 23 MICROPHONE. 24 ALL RIGHT. AH, YES, THAT WILL BE MUCH 25 BETTER. I NEED TO WALK AROUND. I MIGHT SAY, IT'S 0197 01 PREFACED THAT I'M ONE OF THOSE DREADFUL PEOPLE WHO MASSAGE 02 DATA. SOME PEOPLE EVEN SAY DREDGE DATA, AND SOME PEOPLE 03 WOULD EVEN SAY TORTURE DATA TO TRY TO GET SOME KIND OF A 04 REASONABLE PICTURE OF THE WORLD IN OUR UNCERTAINTIES 05 BECAUSE I THINK AS TECHNICAL PEOPLE, WE HAVE A OBLIGATION 06 TO SHARE WITH WHY OUR COMMUNITY -- WHAT WE THINK WE HAVE 07 ESTABLISHED WITH GREAT CONFIDENCE, BUT ALSO TO SAY WITH 08 APPROPRIATE CAVEATS WHERE WE THINK THE LIKELIHOODS ARE AND 09 WHAT THE DISTRIBUTION OF THE POSSIBLE STATES OF THE WORLD, 10 MERELY IS. 11 AND I'M GOING TO TRY TO DO THREE THINGS. 12 FIRST I'M GOING TO COMMENT ON SOME OF THE ISSUES IN 13 CONTROVERSY THAT YOU'VE ALREADY HEARD DISCUSSED TODAY. 14 SECOND, I'M GOING TO PRESENT A LITTLE 15 ANALYSIS OF MINE, WHERE I TRY TO INTERPRET 16 CAL-E.P.A. 'SANALYSIS IN TERMS OF AN OVERALL PROBABILITY 17 DISTRIBUTION OF LIKELY POTENCIES, GIVEN ESSENTIALLY SOME 18 REPRESENTATION OF -- OF WHAT I CAN READ INTO THEIR 19 DOCUMENT IN TERMS OF THE RELATIVE WAITINGS OF DIFFERENT 20 POSSIBILITIES; FOR EXAMPLE, FOR THE KIND OF MODEL THAT WAS 21 USED, THE KIND OF ANALYSIS, THE HEIGHT OF THE ROOF, AND I 22 THINK THAT THAT CAN PROVIDE A LITTLE BIT MORE CONSOLIDATED 23 AND ACCESSIBLE PIECE OF INFORMATION. NOT FOR THIS STAGE 24 OF ANALYSIS, BUT -- OF YOUR DECISION MAKING, BUT PERHAPS 25 FOR THE NEXT STAGE WHERE YOU'RE -- WHERE PEOPLE ARE 0198 01 WANTING TO SHARPEN THE PENCIL AND DO SOME RISK MANAGEMENT. 02 03 AND FINALLY, I'LL INDICATE HOW AN ANALYSIS 04 BASED UPON MY OWN JUDGMENTS OF THE LIKELIHOODS MIGHT TEND 05 TO DIFFER SOMEWHAT WHAT FROM CAL-E.P.A. 'SANALYSIS. 06 FIRST FOR THE ISSUES IN CONTROVERSY, FIRST I 07 WANT TO SAY A LITTLE BIT ABOUT THE, QUOTE, "THRESHOLD 80 QUESTIONS," ABOUT DO YOU HAVE ENOUGH DATA DO TO A RISK ANALYSIS IN SOME SENSE. AND THIS IS SUBDIVIDED INTO THREE 09 10 PARTS AND I -- PART OF THE ADVANTAGE OF HAVING SOME GRAY 11 HAIRS IS THAT I REMEMBERED THE EARLY '80'S IN WHICH A 12 WHOLE ISSUE OF RISK ANALYSIS IS DEVOTED TO QUANTITATIVE 13 RISK ASSESSMENTS FOR DIESEL EXHAUST. AND THEY WERE BASED AT THE TIME ON THE 14 15 COMPARATIVE POTENCY METHOD WHERE ONE USES THE MUTAGENIC 16 POTENCY OF DIESEL EXHAUST PARTICLES IN RELATION TO THE 17 POTENCIES OF CIGARETTE SMOKE AND COAL PARTIGE (PHONETIC) 18 FOLLICLES, AND THEY DID -- THEY USED THE EXISTING -- THE 19 THEN EXISTING NEGATIVE EPIDEMIOLOGY, AND LO AND BEHOLD, 20 THEY CAME UP NOT VERY DIFFERENTLY IN TERMS OF THE RANGE OF 21 POTENCIES TO WHAT IS BEFORE US TODAY.

SO I THINK THAT, YOU KNOW, THEY HAD ENOUGH 22 23 INFORMATION TO DO SOME KIND OF A REASONABLE QUANTITATIVE 24 ANALYSIS AT THAT TIME WITH SOME STATEMENT OF 25 UNCERTAINTIES, AND I THINK WE CAN DO A LITTLE BIT BETTER 0199 01 TODAY, BUT I THINK WE DON'T COME UP WITH A HUGELY 02 DIFFERENT SET OF ANSWERS TODAY. 0.3 YOU ALWAYS WANT TO HAVE BETTER INFORMATION, 04 AND I THINK THAT WE CAN PRODUCE BETTER INFORMATION, BUT I 05 THINK THAT TENDS TO AT LEAST GIVE SOME INFORMATION ABOUT 06 THE STABILITY AND THERE IS NO MAGIC POTION, MAGIC QUANTUM 07 OF INFORMATION THAT ONE ABSOLUTELY HAS BEFORE ONE WRITES 08 DOWN A NUMBER, OR BETTER YET, A SET OF NUMBERS THAT FAIRLY 09 ENCOMPASSES ONE'S UNCERTAINTY. 10 SO HOW MUCH -- I WILL BE QUICK ABOUT THIS. 11 HOW MUCH RESIDUAL QUANTITATIVE -- QUALITATIVE UNCERTAINTY 12 SHOULD WE HAVE ABOUT WHETHER DIESEL EXHAUST HAS SOME 13 AMOUNT OF CARCINOGENIC ACTIVITY IN HUMANS? AND I THINK WE 14 HAVE A REASONABLE STATEMENT FROM STAN GLANTZ'S QUESTION 15 ABOUT CONSENSUS THAT, YOU KNOW, AS A BETTING PERSON, YOU 16 BET THAT THERE IS SOME CARCINOGENIC ACTIVITY IN DIESEL 17 EXHAUST. 18 HOW MUCH UNCERTAINTY SHOULD WE HAVE THAT 19 THERE IS A TRUE CANCER POTENCY? BY THAT, WE MEAN A LINEAR 20 INCREMENTAL CONTRIBUTION TO CARCINOGENIC -- TO LUNG CANCER 21 FROM THESE PARTICLES, REGARDLESS OF WHAT THAT POTENCY IS. 22 AND I THINK AGAIN, WE HAVE TO HAVE RELATIVELY 23 LITTLE UNCERTAINTY ON THAT POINT, PARTLY BECAUSE WE HAVE 24 THE FUNDAMENTAL MECHANISMS OF MUTAGENESIS THAT ARE 25 INVOLVED, AND WE HAVE GOOD UNDERSTANDING OF HOW THOSE WORK 0200 01 QUANTITATIVELY IN THE SENSE THAT WE KNOW AT THE HEART OF 02 THE PROCESS, IF THERE IS IN FACT A REACTION OF A -- D.N.A. 03 REACTIVE SUBSET WITH D.N.A., THAT IS A LINEAR PROCESS. 04 THERE IS ALL KINDS OF NONLINEARITIES THAT CAN 05 INTERVENE AT HIGH DOSES BETWEEN THE EXPOSURE AND THE 06 ULTIMATE DEVELOPMENT OF TUMORS, BUT TO MAKE A LONG STORY SHORT, YOU CAN SHOW THAT EACH OF THOSE HAS TO GO LINEAR AT 07 08 THE LIMIT OF LOW DOSES, BASICALLY, BECAUSE AT THE LIMIT OF 09 LOW DOSES, YOU CAN'T GET SATURATION OF EITHER TOXIFYING OR 10 INTOXIFYING A PROCESS, AND THERE'S ALWAYS GOING TO BE SOME 11 INTERACTION OF REDUCED MUTAGENIC HITS WITH THE BACKGROUND 12 PROCESSES THAT LEAD TO LUNG CANCERS IN THE BACKGROUND 13 PROCESS. 14 SO I THINK THAT THE -- THAT THE ISSUE OF 15 WHETHER THERE'S A THRESHOLD IS REALLY A NONISSUE, AND WE 16 REALLY OUGHT TO BELIEVE THAT THERE IS SOME LINEAR SLOPE. AND FINALLY, HOW HAVE THESE PARTICULATES 17 18 CHANGED OVER THE YEARS, IS THERE SOME REASON FOR CONCERN THAT THEY'VE CHANGED QUALITATIVELY ENOUGH TO MAKE IT 19 20 REALLY A DIFFERENT SUBSTANCE, SO THAT OUR PAST INFORMATION 21 IS NOT RELEVANT TO THE CURRENT. 22 AND I THINK AGAIN, WE HAVE SOME EVIDENCE THAT 23 THE DIESEL PARTICULATES MAY WELL HAVE CHANGED TOWARD LOWER 24 PARTICLE SIZES. IF ANYTHING, THAT MAY TEND TO DELIVER 25 THEM TO -- WITH SOMEWHAT GREATER EFFICIENCY TO LOWER DOWN 0201

01 IN THE LUNG -- I THINK WE HAVE NO EVIDENCE THAT THAT GIVES 02 YOU A QUALITATIVELY DIFFERENT SITUATION. IF ANYTHING, IT 03 SUGGESTS THAT YOU MIGHT WANT TO ADJUST THE POTENCIES 04 SLIGHTLY UPWARD TO ACCOUNT FOR THE GREATER DEPOSITION 05 EFFICIENCY AND THE LIKELY GREATER PERSISTENCE OF THE 06 SMALLER DISTRIBUTION PARTICLES IN THE LUNG. 07 ALL RIGHT. I HAVE 15 MINUTES. ALL RIGHT. 08 SO I WANT I WANT TO COMMENT ON DAWSON VERSUS CRUMP, AND I 09 WON'T GO OVER THE POINTS THAT DR. DUNCAN HAS SO CAPABLY 10 COVERED, BUT I DO HAVE SOME INSIGHT INTO THIS IN MUCH MORE 11 SIMPLE-MINDED TERMS OF THIS ISSUE OF BACKGROUND 12 SUBTRACTION. 13 AND THAT'S BASICALLY IN THIS SECOND SLIDE. 14 TALE OF TWO CLERKS AND AN ENGINEER. LET'S IMAGINE THAT WE 15 HAVE CLERK A, AGE 60 IN 1990 -- 1980. HE HAD FIVE YEARS 16 SELLING TICKETS IN THE STATION, AND 35 YEARS IN A CITY 17 DEPARTMENT STORE UNDER THE ANALYSIS THAT DR. CRUMP HAS 18 USED. AS I UNDERSTAND IT, THAT WOULD BE COUNTED AS 165 19 MICROGRAM YEARS PER CUBIC METER OF EXPOSURE. DAWSON WOULD 20 CALCULATE ZERO. OKAY. 21 UNDER CLERK B, AGE 60 IN 1980, 20 YEARS 22 SELLING TICKETS WOULD BE COUNTED AS HAVING AN EXPOSURE 23 THAT IS MUCH MORE THAN THAT, AND IT'S ALMOST COMPARABLE TO TEN YEARS AS AN ENGINEER, WHEREAS DAWSON AGAIN WOULD COUNT 24 25 HIM AS HAVING NO DIESEL EXPOSURE. 0202 01 I THINK THAT THE JUDGMENT OF THE WORKING 02 GROUP THAT DID THE STUDY WAS THAT THE CLERKS WERE NOT 03 SUBSTANTIALLY EXPOSED. AND IN THAT CASE, I THINK IT IS 04 PROBABLY A BIT OF AN ERRORS IN VARIABLES PROBLEM IF YOU IN 05 FACT TREAT THEM AS DR. CRUMP HAS TREATED THEM IN THE 06 OVERALL ANALYSIS. 07 AND I THINK THAT THERE'S SOME OTHER DATA THAT 08 SUPPORTS THE IDEA THAT, IN FACT, THEY PROBABLY WEREN'T 09 EXPOSED TO VERY MUCH. THEY MIGHT HAVE BEEN EXPOSED A BIT, 10 BUT NOT TO VERY MUCH, AND THAT IS THESE DATA THAT --DR. GLANTZ: WHAT ABOUT ENGINEER C? YOU DIDN'T 11 12 FINISH THE SLIDE. 13 DR. HATTIS: YEAH. ENGINEER C HAS ESSENTIALLY --14 DR. GLANTZ: I MEAN, IF YOU DIDN'T WANT TO TELL 15 US --16 DR. HATTIS: I JUST WANTED TO SAY THAT -- THE 17 COMPARISON I WANTED TO MAKE WITH THAT IS -- THAT IN THE 18 THIRD ONE, ENGINEER C HAS AN EXPOSURE THAT'S ONLY -- WITH 19 10 YEARS OF DIESEL EXPOSURE IS ONLY A LITTLE MORE THAN THE 20 SECOND CLERK IN THE DAWSON ANALYSIS WHEREAS IT COMES OUT 21 WITH A POSITIVE AMOUNT OF -- OF -- IT'S ONLY A LITTLE MORE 22 THAN A CLERK IN THE CRUMP ANALYSIS; WHEREAS IT COMES OUT 23 WITH A CONSIDERABLE AMOUNT OF EXPOSURE IN THE DAWSON 24 VARIANT OF THE ANALYSIS. 25 SO I THINK -- I WANTED TO ADDUCE SOME DATA, 0203 01 IN ADDITION TO THE JUDGMENT OF THE RESEARCH GROUP THAT THE 02 CLERKS WERE NOT MATERIALLY EXPOSED. I WANTED TO INTRODUCE 03 A LITTLE BIT OF DATA, AND THIS WAS THE SAME DATA THAT WAS 04 REFERRED TO BY KATHIE HAMMOND. THIS IS A SERIES OF 05 MEASUREMENTS OF RESPIRABLE PARTICULATES IN RURAL SMALL

06 TOWNS IN TENNESSEE. THESE ARE NOT PRISTINE SMALL TOWNS. 07 THEY HAVE BIG MINES AND THINGS LIKE THAT NEARBY. 08 AND WHAT I DO WANT TO SAY IS THAT YOU NOTICE 09 THAT THE MEAN RESPIRABLE PARTICULATES MEASURED OUTDOORS --10 THIS IS THE SAME KIND OF DEVICE THAT KATHIE HAMMOND AND 11 COMPANY USED -- IT'S ABOUT 17 OR 18 MICRO GRAM PER CUBIC 12 METER. 13 AND SO THAT IS VERY COMPATIBLE WITH WHAT SHE 14 PRESENTED US, SOMETHING BETWEEN 10 AND 20 AS THE LIKELY 15 OUTDOOR BACKGROUND THAT PROBABLY SHOULD BE -- LEVELS THAT 16 SHOULD BE SUBTRACTED FROM THE VALUE OF THE TRAIN RIDERS. 17 OKAY. 18 AT THE SAME TIME, THE NONSMOKE -- THERE IS 19 DATA FOR THOSE PEOPLE WOULD ARE FROM PERSONAL AND INDOOR 20 MEASUREMENTS FROM PEOPLE WHO WERE BOTH SMOKE EXPOSED AND PEOPLE WHO WERE NOT SMOKE EXPOSED; AND WHAT YOU WILL 21 22 NOTICE IS THE MEAN FOR THE PERSONAL INDOOR MEASUREMENTS 23 WITH A NONSMOKE EXPOSED PEOPLE WAS ABOUT IN THE -- IN THIS 24 RANGE OF THE LOW 30'S ON AVERAGE. 25 AND THAT IS VERY SIMILAR TO WHAT WAS MEASURED 0204 01 FOR THE CLERKS. SO I THINK THERE'S GOOD REASON TO BELIEVE 02 THAT IF THE CLERKS WERE EXCESSIVELY EXPOSED, THEY WERE NOT EXPOSED TO VERY MUCH. AND I THINK IT'S -- IT'S QUITE 03 04 REASONABLE UNDER THESE CIRCUMSTANCES TO TREAT THEM AS, IN 05 FACT, UNEXPOSED TO DIESEL-SIZED PARTICLES. THE FOUR 06 MICRON, 50 PERCENT CUTOFF DEVISE WILL ESSENTIALLY BE 07 MEASURING LOTS OF PARTICULATE THAT IS PROBABLY FROM 08 CRUSTAL SOURCES AND PROBABLY HAS VERY DIFFERENT 09 PROPERTIES, BOTH CHEMICALLY AND -- AND IN ITS DEPOSITION 10 FROM THE DIESEL-SIZED PARTICLES. 11 AND SO THAT'S THE BASIC DISCUSSION THERE. 12 WHAT I NOW WANT TO DO IS BRIEFLY PRESENT 13 RESULTS OF A VERY SIMPLE-MINDED PROBABILITY TREE ANALYSIS 14 OF UNCERTAINTIES INVOLVED THAT HAVE BEEN ANALYZED BY THE 15 CAL-E.P.A. GROUP. THIS INVOLVES DIFFERENT CHOICES OF DATA SETS 16 17 FOR QUANTITATIVE PROJECTIONS, CHOICES AMONG STATISTICALLY 18 AND BIOLOGICALLY PLAUSIBLE MODELS OF DOSE-RESPONSE, 19 CHARACTERIZATION OF EXPOSURE AMOUNTS AND TIME PATTERNS, 20 SOME STATISTICAL UNCERTAINTIES IN THE FIT FOR ANIMAL AND 21 RANDOM ERRORS, AND THERE IS SOME ROOM FOR, OF COURSE, CHARACTERIZING THE POSSIBILITY OF UNSUSPECTED SYSTEMATIC 22 23 ERROR, THAT I WON'T GO INTO AT THE MOMENT. 24 THIS IS BASICALLY HOW THE TREE LOOKS LIKE, 25 WHICH IS BASICALLY MY READING OF CAL-E.P.A.'SVIEW ON 0205 01 THESE -- ON A FEW OF THESE ISSUES. BASICALLY, THIS IS DIVIDED INTO THE ANALYSES 02 IN CHAPTER 7 VERSUS THE ANALYSES IN CHAPTER D -- APPENDIX 03 04 D, AND I THINK THAT CAL-E.P.A. NOW EXPRESSES SOME 05 PREFERENCE FOR THE APPENDIX D ANALYSES. I THINK THEY CLEARLY IS -- A MORE IMPORTANT 06 07 PREFERENCE IS 80 PERCENT 20 PERCENT THAT I'VE ASSIGNED IT 08 FOR THE ARMITAGE-DOLL MODELS VERSUS THE GENERAL EMPIRICAL 09 MODELS, AND THEY HAVE SOME SLIGHT PREFERENCE AT LEAST FOR 10 THE UNUSUAL SEVEN-STAGE AFFECTED MODEL VERSUS THE

11 SIX-STAGE AFFECTED. IN ADDITION TO THAT, THERE'S UNCERTAINTIES, 12 13 AND THIS IS HOW I'VE REPRESENTED THEM IN THE BASE EXCESS 14 EXPOSURES OF THE TRAIN RIDERS VERSUS THE CLERKS THAT 15 I'VE -- I'VE RATED HERE AS GIVING ABOUT 50 PERCENT WEIGHT 16 TO THEIR MEDIAN ESTIMATE THAT THEY DO MOST OF THE 17 CALCULATIONS ON. I THINK THAT'S PROBABLY THE LOW -- OR 18 HIGH -- LOW, 30 PERCENT WEIGHT TO THE MINIMAL POSSIBILITY; THAT IS, IF YOU DIDN'T -- IF YOU SUBTRACTED THE FULL CLERK 19 20 LEVEL FROM THE -- FROM THE TRAIN RIDER LEVEL, AND 21 20 PERCENT WEIGHT TO THE OTHER BOUND, ESSENTIALLY, WHERE 22 YOU SUBTRACT NOTHING FROM THE TRAIN RIDER EXPOSURES. 23 AS TO THE HEIGHT OF ROOF, I THINK CAL-E.P.A. 24 CLEARLY PROVIDES -- GIVES A PREFERENCE FOR A HEIGHT OF THE 25 ROOF ABOUT THREE, BUT THEY HAD SOME WEIGHT ON TWO AND 0206 01 FIVE, AND WE'LL ADMIT THAT THE OUTSIDE THE POSSIBILITY OF 02 THE EFFECTIVE HEIGHT OF THE ROOF WOULD BE EITHER ONE OR 03 TEN, AND THAT'S HOW THAT'S REPRESENTED IN THE MODEL. 04 SO BASICALLY ONE DOES A SERIES OF -- AND THEN 05 THERE'S STATISTICAL SAMPLING ERROR BECAUSE THE LOWER 06 CONFIDENCE LIMITS AND THE UPPER CONFIDENCE LIMITS ARE 07 BASICALLY SYMMETRICAL IN NEARLY ALL THE MODELS I 08 REPRESENT, PRELIMINARILY AS NORMAL DISTRIBUTIONS AND --09 WHICH IS UNUSUAL FOR ME. I USUALLY REPRESENT EVERYTHING 10 AS LOG NORMAL, BUT IN THIS CASE I COULDN'T DO THAT. 11 AND SO THIS IS THE BASIC TENOR OF THE 12 RESULTS. THE UPPER GRAPH ESSENTIALLY IS A INTERPRETED 13 PROBABILITY DENSITY FUNCTION FOR THE UNCERTAINTY IN THIS 14 LOW-DOSE SLOPE AS DONE FROM THESE ALTERNATIVES THAT I'VE JUST OUTLINED WHERE YOU CAN SEE THE CUTOFF THERE IS -- IS 15 16 AT -- BASICALLY, YOU SEE IT LOOKS LIKE KIND OF A LOG 17 NORMAL, VAGUELY SKEWED SHAPE. IF YOU PLOTTED ON LOG 18 GRAPH, YOU SEE THERE ARE TWO HUMPS IN THE GRAPH THAT 19 CORRESPOND, I BELIEVE, ROUGHLY TO THE CASES FOR THE 20 MULTI-STAGE MODEL VERSUS THE OTHER MODELS THAT WERE USED, 21 ALTHOUGH THERE IS A LOT OF MIXING IN THERE. IN NUMBERS, THIS IS WHAT YOU GET --22 DR. GLANTZ: I DON'T WANT TO INTERRUPT YOU, BUT I 23 24 DIDN'T UNDER- -- COULD YOU JUST EXPLAIN WHAT THAT GRAPH IS 25 A LITTLE MORE? 0207 01 DR. HATTIS: OKAY. THIS IS A GRAPH, HOW LIKE --02 YOU KNOW, GIVEN -- THIS IS A RESULTS OF A MONTE CARLO SIMULATION WHERE ESSENTIALLY 10,000 TIMES YOU'RE TAKING A 03 04 RANDOM CHOICES AT EACH OF THESE BRANCHES OF THE TREE, AND 05 CALCULATING, AND THEN ALSO CALCULATING FROM A RANDOM 06 CHOICE OF THE STATISTICAL UNCERTAINTY WITHIN THAT 07 PARTICULAR BRANCH, WHAT THE POTENCY WOULD BE. 08 SO THIS IS THE REPRESENTATION OF OUR 09 UNCERTAINTY GIVEN THE CAL-E.P.A. ANALYSIS IN THE CANCER 10 POTENCY FACTOR. 11 DR. GLANTZ: SO WOULD THAT MEAN -- AND I DON'T WANT 12 TO SLOW YOU DOWN, BUT I JUST WANT TO UNDERSTAND THIS. 13 DR. HATTIS: SURE. 14 DR. GLANTZ: WHAT THAT MEANS IS -- WELL, NO. I 15 MEAN, THIS IS AN IMPORTANT POINT. I MEAN, WHAT YOU'RE

16 SAYING IS IF YOU TAKE ALL OF THE DIFFERENT ALTERNATIVES 17 MODELS THAT THEY DESCRIBED IN THE APPENDIX, AND THEN YOU 18 MONTE CARLO -- YOU SAY, LET'S JUST FLIP A COIN TO DECIDE 19 WHICH ASSUMPTIONS TO MAKE --20 DR. HATTIS: RIGHT. EXACTLY. 21 DR. GLANTZ: -- THAT YOU'RE 90 -- UPPER 95 PERCENT 22 CONFIDENCE ESTIMATE FOR THE CANCER POTENCY IS --23 DR. HATTIS: IS ABOUT SIX TIMES --DR. GLANTZ: -- ABOUT SIX TIMES TEN TO THE MINUS 24 25 FOUR --0208 01 DR. HATTIS: YEAH, IN ROUND NUMBERS. 02 DR. GLANTZ: -- WE'VE GOTTEN ALMOST REGARDLESS --03 OF WHICH SPECIFIC MODEL ASSUMPTIONS YOU MAKE? 0.4DR. HATTIS: RIGHT. RIGHT. AND THE ADVANTAGE OF 05 THIS -- THERE IS TWO ADVANTAGES OF THIS KIND OF TREATMENT. 06 FIRST, I THINK IT PROVIDES A 07 CONSOLIDATED DISTRIBUTION THAT I THINK IS EASY TO 08 UNDERSTAND. 09 AND SECONDLY, IT CAN ALSO BE INTERPRETED IN 10 TERMS OF OTHER PERCENTILES OF THE DISTRIBUTION THAT WE 11 MIGHT CARE ABOUT MORE THAN -- I MEAN, I KNOW THAT CALIFORNIA CARES A LOT ABOUT THE 95TH PERCENTILE, BUT 12 13 THERE ARE OTHER THINGS ONE COULD CARE ABOUT. 14 FOR EXAMPLE, FOR COST BENEFIT CALCULATIONS, 15 ONE MIGHT WANT TO ARRIVE AT SOME ESTIMATE OF THE MEAN; 16 RIGHT? THE MEAN COMES OUT TO BE SOMETHING LIKE TWO TIMES 17 TEN TO THE MINUS FOUR, OKAY? AND FORGIVE MY EXPRESSING 18 THESE TWO SIGNIFICANT FIGURES, BUT YOU SHOULD UNDERSTAND 19 THAT THESE ARE PROBABLY NOT ACCURATE TO THAT, BUT I DON'T 20 WANT TO -- I DON'T WANT TO LOSE WHATEVER INFORMATION 21 THAT'S THERE. 22 SO BASICALLY, THE MEAN COMES OUT TO BE ABOUT 23 TWO TIMES TEN TO THE MINUS FOUR. IF YOU WANT -- IF YOU 24 WANT A 90 PERCENT CONFIDENCE RANGE, CONTINGENT UPON MY 25 GUESSING CORRECTLY ABOUT WHAT CAL-E.P.A.'SWEIGHT SHOULD BE 0209 01 FOR THE DIFFERENT CHOICES, YOU GET ABOUT 20-FOLD RANGE 02 BETWEEN THREE TIMES TEN TO THE MINUS FIFTH, AND ABOUT SIX 03 TIMES TEN TO THE MINUS FOURTH FOR THE 95TH PERCENTILE TO 04 THE 5TH PERCENTILE RATIO. 05 THAT'S NOT VERY DIFFERENT FROM WHAT WE DID IN 06 19 -- WHAT WAS DONE IN 1983. OKAY. BUT IT'S -- I THINK 07 BASED UPON -- I THINK IT FAIRLY CAPTURES THE 08 UNCERTAINTIES, AT LEAST AS WE'VE ANALYZE THEM. 09 NOW, I THINK THERE'S A FURTHER PIECE OF 10 UNCERTAINTIES THAT WE MIGHT WANT TO INCLUDE --DR. BLANC: COULD YOU JUST CLARIFY THE UNITS AGAIN? 11 12 THAT WOULD BE --DR. HATTIS: THIS IS IN TERMS OF UNIT RISK. 13 14 DR. BLANC: SO THAT WOULD BE 2.3 CASES OF LUNG 15 CASES PER 10,000 PER MICROGRAM YEAR OF EXPOSURE, OR IS IT 16 SOME OTHER --17 DR. HATTIS: YEAH. 2.3 TIMES TEN TO THE MINUS 18 FOURTH RISK OF LUNG CANCER PER MICRO -- PER LIFETIME 19 EXPOSURE --20 DR. BLANC: OH, PER --

DR. HATTIS: -- AS MODIFIED BY YOUR 70-YEAR 21 22 LIFETIME EXPOSURE, PER MICROGRAM PER CUBIC METER OF 23 EXPOSURE. ASSUMING THAT WE DIRECTLY PROJECT THAT. YOU 24 KNOW, THERE'S A FEW DIFFERENT UNCERTAINTIES THAT ARE NOT 25 FULLY QUANTITATIVELY CAPTURED HERE, AND I'M GOING TO 0210 01 ALLUDE TO THE POSSIBILITIES OF DOING A SOMEWHAT MORE 02 EXPANDED ANALYSIS INCORPORATING SOME ADDITIONAL 03 CONSIDERATIONS. 04 FIRST, OF COURSE, I THINK -- I THINK WE OUGHT 05 TO USE THAT SPENGLER -- THOSE SPENGLER ET AL. DATA TO FORM 06 OUR ESTIMATE OF HOW MUCH BACKGROUND EXPOSURE THE TRAIN 07 RIDERS HAD BECAUSE I THINK THEY WERE EXPOSED PRIMARILY TO 08 OUTDOOR BACKGROUND, NOT INDOOR BACKGROUND LIKE THE CLERKS 09 WERE, BECAUSE I SEE THESE LOCOMOTIVES, AND MY PICTURE OF 10 THE LOCOMOTIVES IS THE ENGINEERS ARE HANGING OUT THE 11 WINDOW, AND HE'S MOSTLY -- NOT A VERY TIGHTLY SEALED 12 COMPARTMENT IN ANY EVENT. 13 SO I THINK THAT MOSTLY WE OUGHT TO COUNT THEM 14 AS BEING EXPOSED TO -- IN ADDITION TO CIGARETTE SMOKE, TO 15 BACKGROUND -- OUTDOOR RURAL BACKGROUND AT THIS TIME. I THINK THAT THE -- WE SHOULD HAVE CONTINUOUS 16 17 RATHER THAN DISCRETE REPRESENTATIONS OF SOME OF THE 18 PARAMETERS OF NATURALLY CONTINUOUS -- LIKE, OBVIOUSLY, THE 19 HEIGHT OF THE ROOF CAN TAKE ON VALUES OTHER THAN TWO, 20 THREE, FIVE; RIGHTSO? WE SHOULD HAVE SOME CONTINUOUS 21 FUNCTION TO REPRESENT THAT. 22 I THINK THERE SHOULD BE SOME WEIGHTING OF 23 OTHER RELATIVE RESPONSES OF INFORMATION. I THINK THAT THE 24 META-ANALYSIS AMPLIFIED BY KATHIE HAMMOND'S ANALYSIS OF 25 THE LIKELY EXPOSURES FOR DIFFERENT GROUPS DESERVES, YOU 0211 01 KNOW, SOME WEIGHT IN THE OVERALL PICTURE, AND I THINK THAT 02 THERE'S AN OPPORTUNITY TO DO THAT. 03 I THINK -- WITH APOLOGIES TO DR. MAUDERLY, I THINK SOME WEIGHT STILL DESERVES TO BE PUT ON THE ANIMAL 04 05 DATA. I'M NOT PREPARED TO SAY THAT'S WORTH ENOUGH TO --TO BE -- TO MAKE A BIG DEAL ABOUT IT, BUT I THINK IT'S --06 07 I THINK THAT THERE IS STILL A BIT OF, YOU KNOW, SOME -- I 08 THINK THAT THE WAY ONE DOES THAT CREATIVELY, I THINK, IT'S 09 BY LOOKING AT THE COMPARISONS OF OTHER CARCINOGENS, FOR 10 EXAMPLE, THAT HAVE BEEN MEASURED IN RATS AND FOR WHICH WE 11 HAVE HUMAN MEASUREMENTS; FOR EXAMPLE, RADON AND CIGARETTE 12 SMOKE, AND SAY, OKAY, HOW DOES THE COMPARATIVE POTENCY 13 WORK? YOU KNOW. HOW DOES THAT PROJECTION WORK BASED ON 14 THOSE OTHER BETTER STUDIED THINGS -- I STILL THINK THAT 15 THERE'S A CONCERN THAT THERE'S SOME NONLINEARITIES GOING 16 ON IN THE DATA, BUT YOU KNOW. ANYHOW, I'M NOT -- I DON'T THINK IT'S -- I 17 THINK IT'S VERY -- I THINK IT'S -- I THINK IT'S NOT TOO 18 NECESSARY TO TAKE THE VIEW THAT THE RAT DATA ARE 19 20 COMPLETELY WORTHLESS. FINALLY, I THINK THAT THE -- THERE IS -- SOME 21 22 WEIGHT SHOULD BE PUT ON THE ORIGINAL COMPARATIVE MUTAGENIC 23 POTENCY STUDIES. 24 AND I THINK FINALLY, WE ALSO OUGHT TO 25 CONSIDER THE POSSIBLE IMPLICATIONS OF THE CHANGES IN THE

01 DISTRIBUTION OF LIKELY RELATIVE POTENCY OF NEW DIESEL 02 PARTICLES, WITH A TENDENCY TOWARDS SMALLER PARTICLE SIZES 03 THAT MIGHT HAVE SOME IMPLICATIONS FOR THE POTENCY OF THE 04 NEW PARTICLES VERSUS THE OLD PARTICLES. 05 SO THOSE ARE MY EXPECTED UNCERTAINTIES THAT I 06 WOULD LIKE TO PURSUE IN SOME FURTHER WORK. I'VE GOT A 07 BUNCH OF OTHER SLIDES THAT YOU CAN SEE THAT ARE LEFT OVER 08 FROM MY PRESENTATION IN 1994. 09 AND IF ANY OF THEM -- AND THEY TEND BASICALLY 10 TO GO TO THE ISSUE OF MAYBE WE SHOULDN'T BE SO CONVINCED 11 THAT IF DIESEL WERE ACTING AS, YOU KNOW -- IN THIS WAY 12 THAT WE SHOULD NECESSARILY FIND A CONTINUING INCREASE IN 13 LUNG CANCERS WITH DOSE AMONG THE TRAIN RIDERS. 14 THERE'S LOTS OF POSSIBLE THINGS THAT CAN 15 DISTORT THE RELATIONSHIP, PARTICULARLY AT HIGH LEVELS IN 16 THE DIRECTION OF SORT OF BENDING IT OVER A BIT. AND THOSE 17 GET TO BE A LITTLE BIT ARCANE. 18 AND SO I WOULD BE HAPPY TO ANSWER QUESTIONS 19 ABOUT THOSE THINGS, BUT I DON'T TRY TO PRESENT THEM NOW. 20 DR. FROINES: THANK YOU. THERE IS A LOT OF 21 SLOUCHING GOING ON IN THIS ROOM. 22 DR. GLANTZ: COULD I JUST ASK YOU ONE CLARIFYING 23 OUESTION? 24 DR. FROINES: SURE. 25 DR. GLANTZ: WHEN YOU DID YOUR -- YOU KNOW, YOU 0213 01 MAKE THE POINT WHEN YOU DID THE SIMULATION THAT YOU 02 ASSUMED THESE CERTAIN WEIGHTS AND PERCENTAGES. 03 HOW SENSITIVE ARE YOUR RESULTS TO THOSE 04 ASSUMPTIONS? 05 DR. HATTIS: YEAH, I'VE DONE SEPARATE SENSITIVITY 06 ANALYSIS THAT YOU'LL FIND IN THE DOCUMENT, BUT BASICALLY, 07 IT WOULD TAKE EXTREME READINGS OF THE CAL-E.P.A. DOCUMENT 08 THAT ARE ALL IN THE DIRECTION OF MAKING IT TOWARD LOWER 09 ESTIMATES OR ALL IN THE DIRECTION OF MAKING TOWARD HIGHER 10 ESTIMATES. YOU CAN CHANGE THOSE NUMBERS ABOUT TWO-AND-A-HALF FOLD. 11 12 DR. GLANTZ: BUT IT'S NOT AN ORDER OF MAGNITUDE? 13 DR. HATTIS: WELL, THAT'S -- YEAH. THAT'S WHAT YOU 14 GET. THAT'S WHAT I GOT. 15 DR. GLANTZ: THAT'S IMPORTANT. DR. FROINES: OKAY. WE'RE IN THE PERIOD NOW WHERE 16 17 I THINK IT'S BASICALLY AN OPEN DISCUSSION BETWEEN THE 18 SPEAKERS AND THE PANELISTS WITH RESPECT TO THE LAST THREE 19 SPEAKERS, KENNY CRUMP, DUNCAN THOMAS, AND -- YEAH, I'LL 20 GET TO THAT -- AND DALE HATTIS. AND WE HAVE DOWN HERE 21 COMMENTS BY STAN DAWSON. 22 BEFORE WE GET ANY QUESTIONS WHERE -- I DON'T 23 KNOW -- IS STAN IN THE ROOM? STAN, DO YOU WANT TO MAKE 24 COMMENTS AT THIS POINT? 25 TAKE PETER KENNEDY'S MICROPHONE. HE IS 0214 01 GONE. OR UNLESS YOU WANT TO COME HERE. 02 DR. DAWSON: OH, YEAH. MAYBE I OUGHT TO. 03 DR. FROINES: I THINK PEOPLE ARE WINDING DOWN. SO 04 MAKE THEM AS POIGNANT AS POSSIBLE.

0212

DR. GLANTZ: YOU SAY POIGNANT. SHOULD YOU START TO 05 06 CRY OR --07 DR. DAWSON: OKAY. WELL, THERE'S BEEN A LOT OF --08 WELL, FIRST OF ALL, I WANT TO THANK THE PANEL SPEAKERS. I 09 THOUGHT IT WAS AN OUTSTANDING SERIES OF PRESENTATIONS. 10 AND AS THE PERSON FOLLOWING THAT, I'M VERY -- VERY 11 GRATEFUL. 12 I -- I PROBABLY WILL BE FOCUSING MOST OF MY 13 COMMENTS ON THE ACTUAL QUANTITATIVE RISK ASSESSMENT THAT 14 WAS BROUGHT UP BY DR. CRUMP AND DR. THOMAS. 15 LET ME -- LET ME JUST START WITH I THINK THAT 16 DR. CRUMP KIND OF POINTED OUT SOME OF THE MAJOR ISSUES, 17 AND SO LET ME JUST START WITH THAT. 18 THE -- THE PRIMARY ANALYSES THAT WE'RE 19 TALKING ABOUT --20 DR. FROINES: STAN, HOLD ON. 21 KENNY, ARE YOU STILL ON THE PHONE? 22 DR. CRUMP: STILL HERE. 23 DR. FROINES: OKAY. THANK YOU. 24 DR. DAWSON: THE PRIMARY ANALYSES THAT I STARTED TO 25 TALK ABOUT -- OR THAT THEY TALKED ABOUT AT FIRST WERE 0215 01 BASED ON A BLOCK -- WHAT I CALLED THE BLOCK PATTERN OF 02 EXPOSURE; THAT IS, AS DR. THOMAS SAID, THE EXPOSURE FROM 03 1959 TO 1960 BEING A DURATION EXPOSURE. 04 AND HE POINTED -- DR. THOMAS POINTED OUT THAT 05 THAT WAS SUSCEPTIBLE TO A LOT OF VAGARIES, AND WE'VE SEEN 06 ONE OF THE VAGARIES IS THAT IF YOU DO IT ONE WAY IT KIND 07 OF LOOKS LIKE IT COMES DOWN, AND IF YOU DO IT ANOTHER WAY 08 IT LOOK LIKE IT GOES UP. 09 BUT IN FACT, THOSE ARE VERY SUBJECTIVE 10 JUDGMENTS, AND IF YOU NEED -- IF YOU DO THE STATISTICAL 11 ANALYSIS, YOU FIND THAT THE SLOPES, THROUGH ALL THIS MASS 12 OF POINTS TURNS OUT TO BE POSITIVE. IT'S SIGNIFICANTLY 13 POSITIVE. SO I -- AND THE MAIN ANALYSIS IN THE REPORT 14 15 TAKES UP FROM THERE, AND SAYS, WELL, ALL RIGHT. IF THAT'S 16 THE CASE, HOW CAN WE TRY TO STABILIZE THE -- EVEN THAT 17 ANALYSIS AND -- AND THAT'S BEEN -- THAT WAS DONE BY 18 CONTINUOUS -- USING CONTINUOUS VARIABLES OF AGE AND 19 CALENDAR YEAR. AND IT TURNS OUT THAT THE SLOPES THAT YOU GET 20 21 THERE ARE VERY SIMILAR TO THE ONES THAT YOU GET IN THE 22 APPENDIX D, WHICH HAS THE VERY EXTENSIVE ANALYSES BASED ON 23 ASSUMING SPECIFIC EXPOSURE PATTERNS AND DOING A FULL 24 CALCULATION. 25 SO ANYWAY, THAT'S ONE OF THE BIG POINTS THAT 0216 01 I WANTED TO MAKE WAS THAT THE ANALYSES ARE RATHER -- IF YOU TAKE THAT PRECAUTION WITH THE -- EVEN THE BLOCK 02 03 ANALYSIS, YOU GET RATHER SIMILAR SORTS OF ANSWERS FOR 04 RISK. 05 NOW, THE -- THE -- ONE OF THE POINTS THAT'S 06 IMPLICIT IN A LOT OF DR. CRUMP'S CRITICISM OF OUR WORK IS 07 THAT THE DOSE-RESPONSE DOESN'T JUST GO SMOOTHLY UP. AND 08 IN FACT, IT HAS A TENDENCY TO HUMP OVER AT THE END. AND 09 DR. HATTIS ALLUDED TO EXPLAINING THE DROP OFF, AND I WOULD

10 JUST LIKE TO SHOW YOU ONE SLIDE THAT WAS DIFFICULT TO PUT 11 IN THE REPORT BECAUSE IT'S ABOUT CIGARETTE SMOKING. 12 NOW, THIS IS A SLIDE OF -- THIS IS A SLIDE OF 13 BASICALLY RISK WHICH IS PLOTTED IN SORT OF A -- A SOMEWHAT 14 STRANGE SCALE OVER HERE CALLED -- CALLED ODDS-RATIO, THIS 15 IS CASE CONTROL STUDY -- VERSUS PACK YEARS OF CIGARETTE 16 SMOKING. 17 NOW, WE ALL KNOW THAT CIGARETTE SMOKING IS 18 PRETTY CARCINOGENIC, AND SO WE'RE PLOTTING -- THIS IS THE 19 LUNG CANCER RATE, AND WE SEE THIS RISE UP TO A PEAK, 12.2, 20 DIFFICULT FOR CIGARETTE KIND OF STUDIES, AND THEN FALLING 21 DOWN TO 1.6. 22 AND THE REASON THAT IS GIVEN IN THE PAPER FOR 23 THIS STUDY IS THAT -- THAT THERE IS A SUSCEPTIBLE 24 POPULATION IN THE GENERAL POPULATION THAT IS RELATIVELY 25 SMALL PART OF THE GENERAL POPULATION WHICH HAS THIS 0217 01 PARTICULAR GENETIC POLYMORPHISM IN IT, AND THAT -- THAT --02 THOSE ARE THE FOLKS THAT GET THE LUNG CANCER BECAUSE THEY 03 ARE SUSCEPTIBLE, AND THEN THEY DIE OFF. 04 SO THE REST OF THE POPULATION THEN IS GOING 05 HAPPILY ALONG AND NOT GETTING THE CANCER. AND SO THAT'S 06 WHY THIS OVERALL RATE COMES BACK DOWN. 07 SO THAT'S -- THAT'S JUST THE MAIN POINT I 08 WANTED TO MAKE ABOUT THAT SHAPE OF THE CURVE. THAT'S ONE 09 POSSIBLE EXPLANATION WHICH I THINK IS FAIRLY LIKELY, AND 10 THIS IS LUNG CANCER AND SO ON. AND THERE ARE SEVERAL 11 OTHER EXAMPLES THAT ONE CAN USE FOR THIS TO EXPLAIN THAT 12 SORT OF HUMP OVER. 13 AND SO WHAT DO WE DO ABOUT THIS? WELL, WHAT 14 WE DID IN THE REPORT WAS WE SIMPLY DREW A STRAIGHT LINE 15 THROUGH THAT MASS OF POINTS, WHICH WAS CHARACTERIZED BY 16 THE CATEGORICAL STEP FUNCTION AND -- AND THAT WAS OUR BEST 17 WAY OF GETTING THE SLOPE. 18 SO I THINK THAT, IN THE INTEREST OF TIME THAT 19 THAT'S -- THAT'S THE END OF MY COMMENTS. 20 DR. FROINES: THERE WILL PROBABLY BE FEWER TEARS 21 THAT WAY. 22 SERIOUSLY THOUGH. SO LET'S HAVE THE MIKE 23 BASICALLY OPEN FOR DISCUSSION BETWEEN PARTICIPANTS AND 24 PANEL. 25 STAN? 0218 DR. GLANTZ: WELL, I -- I HAVE HAD A CHANCE TO 01 02 CLARIFY THAT -- IS KENNY CRUMP STILL ON THE PHONE? 0.3 DR. CRUMP: I'M STILL HERE. 04 DR. GLANTZ: OKAY. WELL, I CLARIFIED THAT MY LAST 05 QUESTION ABOUT FIGURE F-4, AND INDEED THE -- THAT WAS 06 RECALCULATED BY O.E.H.H.A. 07 BUT I -- SO LET ME REPHRASE THE QUESTION. 08 IF YOU LOOK AT F-2 AND F-3, WHICH ARE YOUR 09 CALCULATIONS, I BELIEVE, AND JUST REPLOT THOSE TWO FIGURES 10 ON THE SAME GRAPH ON THE SAME SCALE, YOU'LL SEE THAT THE 11 ESTIMATES, IF YOU LOOK AT THE CONFIDENCE BOUNDS THERE, 12 DON'T REALLY DIFFER THAT MUCH EXCEPT MAYBE AT THE VERY 13 HIGHEST DOSE. 14 AND SO I MEAN, DON'T YOU THINK WOULD -- TO ME

15 THAT SUGGESTS THAT THERE REALLY ISN'T THAT MUCH DIFFERENCE 16 BETWEEN THESE TWO APPROACHES, AND THAT YOU'RE BASICALLY 17 JUST LOOKING AT NOISE. 18 I MEAN, WHAT DO YOU -- HOW DO YOU REACT TO 19 THAT STATEMENT? 20 DR. CRUMP: WELL, I THINK FIRST OF ALL, YOU'RE 21 COMPARING AN ANALYSIS -- ONE OF THE ANALYSIS, BITS OF 22 THAT, AT LEAST IN TERMS OF THE DEVIANTS, QUITE A BIT 23 BETTER THAN THE OTHER ANALYSIS. 24 SO I THINK YOU'RE COMPARING IN THAT SENSE A 25 SUPERIOR ANALYSIS TO AN INFERIOR ANALYSIS. 0219 01 AND THE OVERALL QUESTION IS -- WHAT I'M 02 CLAIMING IS IS THAT THERE IS A DECREASING TREND WITHIN THE 03 EXPOSED GROUP, AND THAT TREND IS STATISTICALLY SIGNIFICANT IN MANY ANALYSES. 04 05 YOU KNOW, WHETHER, YOU KNOW, IT LOOKS 06 COMPARABLE IN A PICTURE LIKE THAT, I REALLY DON'T THINK IS 07 MATERIAL. I THINK IF -- THEY MAY LOOK ABOUT THE SAME. I 08 THINK IF THE ORIGINAL GARSHICK ET AL. PAPER HAD CONDUCTED 09 THE ANALYSIS OF THE DECREASING TREND RATHER THAN AN 10 INCREASING TREND, WHICH I THINK IS THE CERTAINLY THE SUPERIOR ANALYSIS, AND WHAT THE DATA INDICATE, I THINK 11 12 THEY WOULD HAVE DRAWN MAYBE A DIFFERENT CONCLUSION THAN 13 WHAT THEY DREW. 14 DR. GLANTZ: MAYBE YOU COULD -- WOULD DR. GARSHICK 15 LIKE TO REACT TO THAT? DR. GARSHICK: I THINK THERE WERE TWO ANALYSIS 16 17 PRESENTED. ONE WAS JUST BASED ON EXPOSURE YES, NO, BASED 18 ON JOB IN 1959, AND THAT SEEMED TO SHOW AN ELEVATED RISK 19 IN THE WORKERS. AND THEN THE YEARS OF EXPOSURE THROUGH '59 20 21 SHOWED -- IS IT IN FIGURE F-2, AND SINCE THEN, WE'VE COME 22 TO REALIZE THAT THAT 15- TO 17-YEAR EXPOSURE POINT HAS A 23 FIVE-YEAR LAG. SO IT'S REALLY PEOPLE DYING IN 1978 TO '80, THERE'S SUBSTANTIAL UNDERESTIMATION OF NATURAL EVENTS 24 25 IN THOSE YEARS. 0220 01 AND FURTHERMORE, WE WERE -- WE STARTED 02 COUNTING YEARS OF EXPOSURE IN '59 BECAUSE WE WERE 03 UNCOMFORTABLE EXTRAPOLATING BACK BEFORE 1959, EVEN THOUGH 04 CONSIDERABLE EXPOSURE OCCURRED. 05 SO ONE OF THE FOUR YEARS, YOU COULD ADD 06 ANOTHER TEN YEARS ON TO THAT IN SOME CASES, DEPENDING ON 07 IF THEY COULD HAVE HAD DIESEL EXPOSURE THROUGH 1949 --08 STARTING IN '49 FOR EXAMPLE. 09 SO THAT WOULD TEND TO CAUSE EXPOSURE 10 MISCLASSIFICATION AND FLATTEN OUT THE CURVE MAKING THE 11 CATEGORIES MORE SIMILAR. 12 AND SO WE TRIED TO GO ONE STEP FURTHER BY 13 CONDUCTING THAT ANALYSIS IN THE BLUE SIDE, AS YOU CALL IT, 14 THAT EXTRAPOLATED EXPOSURE BACK TO THE BEGINNING -- WHEN I 15 PREDICT DIESEL WOULD START FOR WORKERS, AND IT SEEMED TO 16 BE A RATHER FLAT -- FLAT SLOPE. 17 SO I THINK THAT'S THE HISTORY OF THE YEARS 18 OF EXPOSURE ANALYSIS, AND ONE CAN DEBATE THE MERITS OF 19 THAT, BUT I THINK TO TRY TO -- IF YOU'RE INTERESTED IN

20 GETTING SOME SORT OF DOSE-RESPONSE, I THINK WE HAVE TO GET 21 THOSE DEATHS IN THE 15- TO 17-YEAR GROUP, AND EVEN GO 22 BEYOND. 23 DR. GLANTZ: YEAH, BUT ISN'T IT THE -- I'LL ADDRESS 24 THIS TO BOTH YOU AND DR. CRUMP. 25 I MEAN, THE -- THE PART OF IT -- OF THIS 0221 01 CURVE WHICH IS RELEVANT FOR THE RISK ASSESSMENT IS NOT --I MEAN, I UNDERSTAND THE CONCERNS YOU HAVE YOU ABOUT THE 02 03 15- TO 17-YEAR OLD GROUP, BUT THE PART THAT'S RELEVANT FOR 04 THE RISK ASSESSMENT IS REALLY THE OTHER END OF THE CURVE, 05 THE ZERO UP TO 1. -- YOU KNOW, BETWEEN THE FIRST TWO 06 POINTS, NOT THE LAST TWO POINTS. 07 AND THERE THE TWO ANALYSES PRODUCE RESULTS 08 WHICH ARE NOT TERRIBLY DIFFERENT FROM EACH OTHER, AND EVEN -- EVEN PROBABLY IF YOU TOOK THE FAMOUS BLUE SLIDE 09 AND LOOKED AT THE BEGINNING PART OF THE CURVE THERE IT --10 11 THAT MAY NOT EVEN BE ALL THAT DIFFERENT EITHER. 12 I MEAN, WHAT DO YOU THINK ABOUT THAT? 13 DR. GARSHICK: I THINK IF YOU'RE LOOKING FOR A 14 DOSE-RESPONSE, YOU WOULD LIKE TO INCORPORATE AS MANY 15 POINTS INTO THAT CURVE. I MEAN, YOU CAN DRAW A STRAIGHT 16 LINE BETWEEN ZERO AND 1 TO 4 OR IF THAT'S REALLY -- SOME 17 PEOPLE MAY HAVE HAD ACTUALLY 10 TO 14 YEARS IN THAT 18 GROUP. 19 SO I THINK IF YOU ARE LOOKING FOR A SLOPE, 20 YOU TRY TO INCORPORATE AS MUCH INFORMATION AS POSSIBLE. 21 DR. FROINES: KATHIE? DR. CRUMP: CAN I RESPOND TO THAT? KENNY CRUMP. 22 23 DR. FROINES: YES, GO AHEAD. 24 DR. CRUMP: I'M DYING TO SEE THAT BLUE SLIDE. I'M 25 SORRY I WASN'T -- I WASN'T THERE. 0222 01 I THINK THE QUESTION IS -- IN MY MIND IS THAT 02 DECREASING TREND CALLS THE STUDY INTO QUESTION AND CALLS 03 WHETHER OR NOT THERE'S AN EFFECT OF DIESEL -- IT THE 04 CAUSES THE QUESTION WHETHER OR NOT THE RESPONSES YOU'RE SEEING ARE REALLY RELATED TO SEE DIESEL AT ALL. 0.5 06 IF YOU ASSUME THEY ARE RELATED TO DIESEL, 07 THEN I WOULD AGREE WITH YOUR STATEMENT. IN FACT, I THINK 08 AS THE -- YOU KNOW, ANALYSIS THAT HAS BEEN CONDUCTED BY 09 CALIFORNIA SHOWS, IT DOESN'T REALLY MATTER ESSENTIALLY 10 MUCH HOW YOU DO THE ANALYSIS AS FAR AS THE SLOPE THAT YOU 11 GET. 12 BUT THE REAL QUESTION IS, IS THE -- DOES THE 13 DATA WE'RE USING, DOES IT REALLY REFLECT AN EFFECT OF 14 DIESEL AND THAT'S THE QUESTION I HAVE. 15 DR. FROINES: KATHIE AND THEN DUNCAN. DR. HAMMOND: YES, I WOULD LIKE TO REMIND PEOPLE 16 17 THAT YEARS OF DIESEL EXHAUST EXPOSURE DOES NOT EQUAL A 18 TRUE DOSE MEASUREMENT, AND THAT IT IS ONE THING WE CAN USE 19 TO LOOK AT THIS. 20 BUT TO THE DEGREE THAT THERE IS A CHANGE IN 21 EXPOSURE OVER TIME, IF AS -- IF EXPOSURE DID DECREASE FROM 22 '59 ON, THEN YOU DON'T -- YOU'RE NOT ADDING AS MANY 23 MICROGRAMS PER CUBIC METER YEARS AS YOU ARE IN EARLIER 24 YEARS.

25 SO YOU COULD BE REALLY BE BEING MISLED, AND 0223 01 THEREFORE SAYING THAT THERE'S THIS DECREASE WITH 02 INCREASING NUMBER OF YEARS, AND THOSE INCREASING NUMBERS 03 OF YEARS MAY BE HAPPENING ENTIRELY AT THE LATER POINT. 04 YOU KNOW, CAN YOU CAN -- YOU CAN HAVE SOME REAL SKEWING OF 05 YOUR DATA. 06 SO I THINK YOU HAVE TO BE A LITTLE CAREFUL AT 07 OVERINTERPRETING SOMETHING LIKE THAT WHEN YOU'VE GOT THIS 08 CRUDER MEASURE OF EXPOSURE. 09 DR. GARSHICK: IT DOESN'T INCORPORATE INTENSITY IS 10 WHAT YOU ARE SAYING? 11 DR. HAMMOND: EXACTLY. THANK YOU. 12 DR. FROINES: OKAY. DUNCAN? 13 DR. THOMAS: I WANT TO TRY TO DRAW A LITTLE PICTURE 14 TO ILLUSTRATE THE COMPLEXITY OF TRYING TO UNDERSTAND THE 15 DIFFERENCE BETWEEN THE TWO PICTURES IN F-4 AN F -- F-2 AND 16 F-3. I'M GOING TO GO UP AND DRAW YOU A LITTLE PICTURE IN 17 A SECOND. 18 WHAT MAKES IT DIFFICULT IS THAT THE FIGURES 19 ARE NOT PROPERLY LABELED. THE LABELS ARE INCONSISTENT 20 WITH EACH OTHER, AND THEY ARE INCONSISTENT WITH WHAT'S DESCRIBED IN THE TEXT. 21 22 SO I'M NOT EXACTLY SURE WHAT ANALYSIS 23 PRODUCED THESE TWO FIGURES, BUT LET ME SPECULATE FOR A 24 SECOND, IF YOU'LL BEAR WITH ME ONE SECOND, I WANT TO GO 25 DRAW YOU A PICTURE NOW. 0224 01 DR. FROINES: FOR THOSE OF YOU WHO MAY BE 02 WONDERING, WE'RE NOT GOING TO TAKE AN AFTERNOON BREAK. WE'RE GOING TO PLUG THROUGH UNTIL 4:00 O'CLOCK ABOUT AND 03 THEN STOP. I THINK EVERYBODY WILL BE HAPPIER IF WE DO 04 05 THAT BECAUSE I THINK THE LAST HALF HOUR OF DISCUSSION 06 MIGHT BE LESS THAN PRODUCTIVE. 07 DR. THOMAS: THE LABEL ON THE PICTURE SAYS 08 THAT THEY ARE BASED ON COX REGRESSION USING CALENDAR YEAR 09 AS THE TIME SCALE, AND I THINK THAT APPLIES TO BOTH OF THEM. AND THEN ADDITIONALLY, THERE IS CO-VARIATE 10 11 ADJUSTMENT FOR N-F-2, YEAR -- AGE IN 1959, AND IN F-3 12 ATTAINED AGE. 13 SO HERE'S MY RECONSTRUCTION OF IT. THERE 14 ALSO -- THE LAY MEN DOESN'T TELL ME WHICH EXPOSURE PATTERN IS ASSUMED. TO KEEP THINGS -- SO THE BLOCK PATTERN. ALL 15 16 RIGHT. SO THAT'S EXACTLY THE PICTURE I WANTED TO DRAW. 17 SO LET'S LET THIS REPRESENT 1959. KENNY, 18 YOU'RE GOING TO HAVE TO TRY TO DECIPHER WHAT I'M SAYING 19 FROM THE DESCRIPTION. SO I'LL TRY TO DESCRIBE IT AS I'M 20 GOING. 21 DR. CRUMP: I'LL TRY TO USE MY IMAGINATION HERE. 22 DR. THOMAS: ALL RIGHT. SO WE HAVE A VERTICAL LINE 23 REPRESENTING 1959, AND NOW I'M GOING TO DRAW THE TRAJECTORIES OF VARIOUS COHORT MEMBERS AS FAR AS ON THE 24 25 LINES, AND A TIME AXIS, WHICH IS CALENDAR YEAR. 0225 01 SO I HAVE A BUNCH OF HORIZONTAL LINES 02 REPRESENTING THE DURATIONS OF FOLLOW UP FOR VARIOUS STUDY 03 SUBJECTS, AND I'M GOING TO PUT IN A FEW X'S HERE FOR

04 DEATHS DUE TO LUNG CANCER. I'LL JUST DRAW ONE. WE HAVE A 05 LUNG CANCER AND A SECOND SUBJECT DIED HERE FOR ARGUMENT'S 06 SAKE, IN LET'S SAY IN 1970; THEREBY ACCUMULATING A MAXIMUM 07 OF 11 YEARS OF EXPOSURE. 08 NOW, SOME OF THESE PEOPLE WILL HAVE LEFT 09 EMPLOYMENT BEFORE, AND SOME WILL BE -- HAVE CONTINUED. 10 SO LET A LITTLE CIRCLE -- PUT A FEW LITTLE 11 CIRCLES HERE REPRESENTING THE AGES WHICH VARIOUS PEOPLE 12 STOPPED THEIR EXPOSURE. 13 AND I'M GOING TO DRAW ANOTHER VERTICAL LINE 14 HERE TO REPRESENT THE COX RISK SET FORMED BY THIS CASE WHO 15 DIED IN 1970. 16 AND NOW WHAT THE COX REGRESSION ANALYSIS DOES 17 IS IT COMPARES OF THE CUMULATIVE OF EXPOSURE OF THE CASE 18 WITH ALL THOSE MEMBERS OF THIS RISK SET, WHICH IN THIS CASE, THIS PERSON COULDN'T SURVIVE TO ENTER THE RISK SET, 19 BUT THE OTHER PEOPLE ARE ALL THE -- FORMED THE SORT OF 20 21 CONTROLS AGAINST WHICH OUR CASE IS COMPARED AND BASED ON 22 THEIR CUMULATIVE EXPOSURES IN 1970. 23 NOW, THE THING TO NOTE FROM THIS COMPARISON 24 IS THAT THE CONTROLS ALL OUTLIVED THE CASE BY DEFINITION; 25 AND THEREFORE, THEY HAD THE OPPORTUNITY TO ACCUMULATE A 0226 01 MAXIMUM OF 11 YEARS OF EXPOSURE. NOT ALL OF THEM WOULD 02 HAVE DONE SO. HERE WE HAVE A FEW CASES THAT WILL ENTER 03 THE COMPARISON WITH LESS THAN 11 YEARS OF EXPOSURE. 04 THE CASE AS WELL COULD HAVE ACCUMULATED A 05 MAXIMUM, AND IN OUR CASE, PERHAPS, LET'S SAY NINE YEARS 06 WORTH OF EXPOSURE. 07 ALL OF THE HETEROGENEITY IN THE CASE CONTROL 08 COMPARISON HERE AT THIS RISK SET IS CONTRIBUTED BY WHEN 09 THESE PEOPLE TERMINATED EXPOSURE, AND BY THE FACT THAT THE 10 CASE THEMSELVES HAD TO HAVE TERMINATED EXPOSURE NO 11 EARLIER -- NO LATER THAN 1970 MEANS ONE MIGHT EXPECT FROM 12 THIS FORM OF ANALYSIS THAT THE CASES MIGHT GENERALLY TEND 13 TO HAVE LOWER EXPOSURES THAN THE CONTROLS. NOW, THIS HIGHLIGHTS THE FUNDAMENTAL 14 15 COLLINEARITY PROBLEM OF THE CALENDAR YEAR AND DURATION OF 16 EXPOSURE THAT IS MOST ACCENTUATED IN THE BLOCK EXPOSURE 17 PATTERN OF ANALYSIS. WHAT WE HAVE TO DO THEN IN ORDER TO GET ANY 18 19 REAL INFORMATION TO MAKE A MEANINGFUL COX REGRESSION 20 ANALYSIS IS TO INTRODUCE HETEROGENEITY, WHICH WE CAN DO IN 21 A NUMBER OF WAYS, ONE OF WHICH IS NOT TO ANALYZE JUST 22 YEARS OF EXPOSURE BUT TO ANALYZE CUMULATIVE DOSE, USING 23 SAY, THIS ROOF PATTERN OF EXPOSURE. TO ACCUMULATE THE -- TO ALLOW FOR EXPOSURES 24 25 PRIOR TO 1959 WHICH WILL THEN INTRODUCE AN ADDITIONAL 0227 VARIATION HERE, TO ADOPT AGE RATHER THAN CALENDAR YEARS AS 01 02 THE TIME SCALE FOR PERFORMING THE RISK SETS, ANY NUMBER OF 03 THINGS WHICH WOULD LEAD TO MORE INFORMATIVE ANALYSIS. 04 BUT IT'S PRECISELY WITH THE ANALYSES OF THE 05 BLOCK EXPOSURE THAT THE COLLINEARITY PROBLEM IS MOST 06 PRONOUNCED, AND THAT'S WHY WE SHOULD NOT BE TERRIBLY 07 SURPRISED WHEN WE SEE DRAMATIC DIFFERENCES BETWEEN 08 DIFFERENT METHODS OF CONTROL OF AGE, CALENDAR YEAR WHEN

09 USING THAT EXPOSURE PATTERN. 10 THAT SAID, WE SEE --11 DR. CRUMP: MAY I RESPOND TO THAT? 12 DR. THOMAS: SURE, BUT LET ME MAKE ONE MORE COMMENT 13 THEN I CAN GO SIT DOWN. 14 THAT WHAT WE HAVE SEEN A NUMBER OF DIFFERENT 15 ANALYSES OR PATTERNS OF DOSE-RESPONSE WHERE DOSES HERE 16 DEFINED AS DURATION OF EXPOSURE, WHICH SORT OF SEEMED TO 17 GO UP AND THEN COME BACK DOWN. 18 AND THE POINT THAT KENNY IS MAKING IS THAT IF 19 WE LOOK ONLY WITHIN THE EXPOSED WORKERS, WE GENERALLY SEE 20 NEGATIVE OFTEN -- SIGNIFICANTLY NEGATIVE WORKERS --21 NEGATIVE DOSE-RESPONSE RELATIONSHIPS. 22 AND THIS PICTURE I'VE DESCRIBED UP HERE, WHAT 23 I MEANT TO SAY IS WE ARE LOOKING ONLY AMONGST EXPOSED 24 WORKERS. OF COURSE, WE ADD TO THIS AN EXPOSED VERSUS 25 UNEXPOSED COMPARISON. THAT'S ANOTHER WAY OF BREAKING THAT 0228 01 COLLINEARITY PROBLEM, BUT IT'S ANALYSES WHICH TREAT 02 DURATION OF EXPOSURE, USING THE BLOCK EXPOSURE PATTERN, 03 AND CALENDAR YEAR AS THE TIME SCALE AMONGST EXPOSED 04 WORKERS ONLY WHERE THIS FUNDAMENTAL COLLINEARITY PROBLEM 05 IS MOST EXTREME. YOUR TURN, KENNY. 06 07 DR. CRUMP: I GUESS MY IMAGINATION WASN'T QUITE AS 08 GOOD AS IT SHOULD BE, BUT I THINK I HAVE AN IDEA OF WHAT 09 YOU ARE SAYING. BUT I CERTAINLY WOULD LIKE TO BE ABLE TO 10 SPEAK WITH YOU ABOUT IT AND GET A PICTURE OF -- A PICTURE 11 THAT YOU PRESENTED. 12 JUST A COUPLE OF POINTS. I DON'T -- I DIDN'T 13 DO A VERY GOOD JOB I DON'T THINK OF DESCRIBING THE 14 ANALYSIS -- OTHER ANALYSIS THAT I DID THAT WAS SEPARATE 15 FROM THE BLOCK ANALYSIS IN THAT IT WAS QUITE SIMILAR TO 16 THE RAMP ANALYSIS THAT CALIFORNIA HAS PRESENTED EXCEPT 17 THAT I ALSO USED THE DIFFERENT EXPOSURES IN THE DIFFERENT 18 GROUPS. I DIDN'T ASSUME ANYONE IS EXPOSED TO THE SAME 19 AMOUNT, AND I TOOK THE ACTUAL EXPOSURES. 20 SO THERE'S REALLY QUITE A BIT OF 21 HETEROGENEITY POSSIBLE IN THOSE DATA. 22 AND I ALSO LOOKED AT NOT JUST THE EXPOSURE 23 METHOD MEASURE USED BY CAL-E.P.A., BUT THREE OTHERS THAT 24 WE DEVELOPED. AND I DID -- I DID 16 ANALYSES USING 16 25 DIFFERENT WAYS OF -- DIFFERENT WAYS OF ACCUMULATING 0229 01 EXPOSURE, INCLUDING THE LAST FOUR YEARS, NOT INCLUDING THE 02 LAST FOUR YEARS, USING EXTERNAL CONTROLS INSTEAD OF 03 INTERNAL CONTROLS. 04 ALL 16 OF THE ANALYSES PRODUCED NEGATIVE 05 TRENDS, I THINK AND NINE OF THEM WERE STATISTICALLY 06 SIGNIFICANT, THREE OR FOUR WERE VERY HIGHLY STATISTICALLY 07 SIGNIFICANT. 08 WITH REGARD TO INCREASING YOUR HETEROGENEITY 09 BY INCLUDING THE UNEXPOSED GROUP, I THINK WHAT YOU SAY IS 10 TRUE. 11 BUT WHAT ALSO HAPPENS IS THAT YOU CAN FALSELY 12 CREATE A POLICY DOSE-RESPONSE TREND WHEN REALLY WHAT --13 THE ONLY THING YOU'RE REALLY SEEING THERE IS THAT THE

14 EXPOSED GROUP HAS A HIGHER RISK THAN THE UNEXPOSED GROUP, 15 AND -- WHICH COULD BE FOR SOME REASON OTHER THAN DIESEL. 16 DR. FROINES: STAN? 17 DR. GLANTZ: JUST ONE OTHER QUESTION. 18 IF YOU LOOK IN ONE OF THE APPENDICES, I GUESS 19 IT'S ALSO APPENDIX F, THERE'S A TABLE IN HERE ON PAGE F-14 20 WHERE O.E.H.H.A. TRIED TO LOOK AT THE IMPORTANCE OF 21 DIFFERENCE ASSUMPTIONS THAT WERE MADE IN TERMS OF TRYING 22 TO FIGURE OUT WHY THEIR RESULTS AND DR. CRUMP'S RESULTS 23 WERE DIFFERENT. 24 AND ONE OF THE -- I WOULD LIKE TO ASK 25 DR. CRUMP JUST A COUPLE OF QUESTIONS. 0230 01 ONE IS DO YOU HAVE ANY COMMENTS ON TABLE F-1 02 IN TERMS OF WHETHER YOU AGREE WITH THEIR ASSESSMENT OF HOW 03 IMPORTANT THESE DIFFERENT ASSUMPTIONS ARE. 04 AND THE OTHER QUESTION IN MY READING OF THIS 05 IT SEEMS LIKE THE BIGGEST DIFFERENCE THAT MATTERS BETWEEN 06 THE ANALYSIS THAT STAN DAWSON DID AND THE ANALYSIS THAT 07 YOU DID IS THIS ISSUE OF WHETHER OR NOT YOU SUBTRACT OUT 08 FOR BACKGROUND. AND I WAS JUST WONDERING IF YOU COULD 09 10 COMMENT -- IF YOU AGREE WITH MY READING OF THIS, OR IF YOU 11 HAVE SOME OTHER COMMENT ON IT? 12 DR. CRUMP: I'M -- I'M SORRY. I WOULD HAVE TO TAKE 13 TIME TO LOOK AT FIGURE F-1, AND I'LL TRY TO DO THAT AND 14 GIVE YOU PERHAPS SOME WRITTEN RESPONSE. 15 WITH REGARD TO THE SECOND POINT, I DO THINK 16 THAT IS THE IDEA THAT YOU GET WHEN YOU READ THE DOCUMENT 17 THAT THERE -- THE FACT THAT THEY SUBTRACTED OFF BACKGROUND 18 AND I DID NOT IS THE MAJOR CAUSE FOR THE DIFFERENCES IN 19 OUR ANALYSES. AND I WANT TO SAY VERY CLEARLY THAT IS NOT 20 21 THE REASON. THE ANALYSES THAT I JUST DESCRIBED TO YOU 22 THAT PRODUCE THE NEGATIVE TRENDS, THAT COULDN'T HAVE BEEN 23 THE REASON. THE CONTROL -- THE CLERKS AND SIGNALMEN 24 WEREN'T INVOLVED IN THE ANALYSIS. 25 SO I WOULD CERTAINLY DISAGREE THAT THAT IS 0231 01 THE BASIC REASON THAT WE GET DIFFERENT RESULTS. DR. BLANC: THIS IS DR. BLANC HERE. 02 03 IT'S -- IT'S MY IMPRESSION THAT THE ARGUMENT 04 THAT IS MADE THROUGH THIS -- THIS ANALYSIS, THIS 05 COUNTERANALYSIS THAT WE'VE BEEN DISCUSSING, JUST DOES NOT 06 SUSPEND MY DISBELIEF. 07 THERE ARE A SERIES OF CONVINCING ARGUMENTS 08 THAT THERE ARE FATAL ANALYTIC FLAWS IN THE APPROACH THAT'S 09 BEING USED, AND I FIND THOSE ARGUMENTS CONVINCING. NOT 10 ONLY THAT, TO EXTRAPOLATE FROM ONE ANALYSIS, ONE 11 ANALYTICAL APPROACH, WHICH APPEARS TO BE FATALLY FLAWED, 12 TO USE THAT AS A BASIS TO A, REJECT ENTIRELY 13 DR. GARSHICK'S WORK; AND B, THEN ON THE BASIS OF THAT, 14 REJECT ESSENTIALLY THE FINDINGS OF DR. SMITH'S 15 META-ANALYSIS; AND C, THEREFORE CONCLUDE THAT IN FACT 16 BECAUSE THERE'S NO DOSE-RESPONSE IN THIS RELATIONSHIP AND 17 BECAUSE IN -- THIS IN ANALYSIS AND BECAUSE OF THIS 18 ANALYSIS, IN FACT, EXPOSURE TO DIESEL EXHAUST IS

19 PROTECTIVE AGAINST LUNG CANCER AND ALL OTHER CAUSES OF 20 DEATH MEANS THAT THE GARSHICK DATA ARE UNBELIEVABLE AND 21 MEANS THAT IT'S NOT A CARCINOGEN. 22 IT JUST -- IT JUST IS AN UNBELIEVABLE 23 ARGUMENT, UNACCEPTABLE, UNCONVINCING, AND I BELIEVE MUST 24 BE DISREGARDED BY THIS COMMITTEE IN -- IN ITS TOTALITY. 25 DR. CRUMP: MAY I RESPOND? 0232 01 DR. BLANC: PLEASE. 02 DR. CRUMP: OKAY. WELL, I CERTAINLY AM NOT ARGUING 03 HERE THAT DIESEL IS NOT A LUNG CARCINOGEN. THAT IS NOT MY 04 ARGUMENT AT ALL. 05 MY ARGUMENT IS RESTRICTED TOTALLY TO THE 06 GARSHICK ET AL. COHORT STUDY, AND THAT'S THE -- BASICALLY 07 THE ONLY STUDY THAT I HAVE REVIEWED IN THIS DETAIL. 80 AND ALL I AM SUGGESTING IS IS THAT THE -- THE 09 PATTERN OF -- OF LUNG CANCER OR THE -- IN THIS COHORT DOES 10 NOT REFLECT DIESEL EXPOSURE. 11 I'M ALSO SUGGESTING, AND I WOULD LIKE TO 12 HAVE -- I MIGHT ASK DR. GARSHICK TO RESPOND TO THIS. I'M 13 ALSO SUGGESTING THAT I'M CONCERNED THAT THERE WAS 14 SOMETHING FUNDAMENTALLY WRONG WITH THE DATA IN THIS STUDY 15 THAT MIGHT BE CAUSING THIS. 16 AND IT COULD BE THAT IF THAT PROBLEM IS 17 CORRECTED, IF IT DOES EXIST, THAT IT MIGHT SHOW SOMETHING 18 DIFFERENT WHEN THAT PROBLEM IS CORRECTED. 19 DR. GARSHICK, DO YOU HAVE -- YOU MAY HAVE 20 COMMENTED ALREADY AND I HAVEN'T HEARD THIS ON THE STATUS 21 OF YOUR WORK TO -- TO COMPLETE THE FOLLOW UP ON THIS 22 STUDY. 23 DO YOU CARE TO COMMENT ON THAT? 24 DR. GARSHICK: WE -- I MEAN AS FAR AS WE CAN TELL 25 BASED ON THE EXPECTED RATES, IT LOOKS LIKE THE DATA ARE 0233 01 COMPLETE THROUGH 1976. I MEAN, WE -- THESE DATA WERE 02 SUPPLIED BY THE RAILROAD RETIREMENT BOARD. IN FOLLOWING 03 THAT, THEIR DEATH RECORDS BECAME INCOMPLETE, AND WE'VE 04 BEEN WORKING WITH THEM TO IDENTIFY REASONS WHY THAT WAS 05 THE CASE, AND WE THINK WE'VE GOT SOME REASONS WHY IT 06 HAPPENED. 07 SINCE THAT TIME, THEY PROVIDED US WITH AN 08 UPDATED TAPE THAT ACTUALLY HAS GONE TO H.I.C.F.A. TO HAVE 09 A CHECK -- H.I.C.F.A. BASICALLY HAS SOCIAL SECURITY FILES 10 AT THEIR DISPOSAL TO COMPARE SOCIAL SECURITY NUMBERS AND 11 LOOK FOR DEATH. AND THAT TAPE IS IN OUR HANDS, AND WE 12 HOPE TO BE LOOKING AT DEATHS AFTER 1976, BUT ARE LOOKING 13 FOR A SOURCE OF FUNDING TO FUND THAT WORK. SO THAT'S THE SORTS OF THE UPDATE RIGHT NOW. 14 15 WE GOT THE STATUS OF THE UPDATE. 16 DR. GLANTZ: JUST TO BE CLEAR, I MEAN, YOU'RE 17 NOT -- YOU'RE STILL SAYING YOU THINK THAT THE DATA IN YOUR 18 EXISTING WORK --19 DR. GARSHICK: RIGHT. I HAVE NO REASON TO THINK --20 IT'S A QUESTION. ARE THE DATA BAD? I MEAN, THIS WAS A --21 A -- RAILROAD RETIREMENT BOARD'S ADMINISTRATIVE BODY THAT 22 PAYS OUT BENEFITS TO PEOPLE AND HAS A LARGE INTEREST IN 23 MAKING SURE THEY ARE NOT PAYING TO PEOPLE WHO AREN'T

24 REALLY DEAD. 25 AND WHY DID IT HAPPEN AFTER 1977? I MEAN, 0234 01 SOME FILES DIDN'T GET UPDATED. WE'VE IDENTIFIED REASONS 02 WHY WE THINK STARTING AT THAT POINT THE DATA ARE COMPLETE. 03 SO AS FAR AS WE KNOW, THESE ARE THE DATA, AND 04 I CAN'T EXPLAIN ANY -- THIS IS JUST THE WAY IT IS. 0.5 DR. GLANTZ: YEAH, OKAY. 06 DR. GARSHICK: I MEAN, I CAN'T ANSWER THE QUESTION 07 DIRECTLY. I MEAN, WE CERTAINLY DIDN'T DO ANYTHING TO THE 08 DATA TO MAKE IT BAD. THIS IS IT. 09 DR. GLANTZ: BUT I MEAN, AS FAR AS -- AS FAR AS YOU 10 CAN TELL THAT YOU WERE WORKING WITH -- EXCEPT FOR THE 11 PROBLEMS YOU'VE IDENTIFIED WITH IT, WITH A GOOD DATA SET 12 AS FAR AS YOU COULD TELL? 13 DR. GARSHICK: AND THE ENTIRE DATA BASE HAS BEEN 14 SENT TO H.I.C.F.A. TO BE MATCHED THROUGH H.I.C.F.A. MATCH 15 TO LOOK FOR MISSING DEATHS, IF YOU WOULD. 16 SO WE'RE TRYING TO CORRECT ANY POTENTIAL 17 PROBLEM, EVEN IN TIMES 1976 AND BEFORE. 18 DR. FROINES: I HAVE NO COMMENT. I -- I KNOW 19 ENOUGH WHEN YOU MAKE PENETRATING QUESTIONS TO KNOW WHEN TO 20 NOT FOLLOW THEM UP WITH THINGS THAT AREN'T AS GOOD. SO I THINK THAT THE POINT IS THAT PAUL'S 21 22 COMMENTS ARE QUITE GERMANE, ESPECIALLY SINCE ERIC IS HERE 23 AND TOM SMITH WAS HERE AND KATHIE HAMMOND WAS HERE, AND I 24 TALKED WITH DOUG DOCKERY ABOUT THIS WORK NOT LONG AGO. IT -- AN AWFUL LOT OF VERY DISTINGUISHED 25 0235 01 SCIENTISTS WOULD BE AWFULLY WRONG IF THERE WAS A 02 FUNDAMENTAL FLAW IN THIS DATA, WHICH IS NOT TO SUGGEST 03 THERE AREN'T UNCERTAINTIES THAT NEED TO BE ADDRESSED. AND I THINK THAT WE WOULD ALL AGREE THAT 04 05 ADDITIONAL RESEARCH TO HELP CLARIFY SOME OF THESE 06 QUESTIONS IS -- IS IMPORTANT, AND I THINK FOLLOW UP FOR 07 ERIC TO FIND FUNDS TO FOLLOW UP I THINK IS REALLY QUITE 08 IMPORTANT AND SO THAT -- BUT I THINK THAT I WOULD TEND TO 09 AGREE WITH PAUL THAT ONE WOULD HAVE TO FIND SOME VERY 10 CONVINCING REASONS TO SUGGEST THAT THIS ENTIRE STUDY IS 11 FUNDAMENTALLY FLAWED. 12 DR. DAWSON: I WOULD JUST LIKE TO TALK ABOUT MY 13 IMPRESSION OF THE SITUATION WITH REGARD TO THE SUBTRACTION 14 OF BACKGROUND. 15 NOW, WHAT -- WHAT I DID LAST SPRING, I THINK 16 IT WAS, WAS TO DO A RUN, WHICH AS NEARLY AS POSSIBLE COULD 17 REPLICATE DR. CRUMP'S WORK. AND ALSO MY OWN WORK, EXCEPT 18 THAT I -- I SUBTRACTED BACKGROUND SO THAT I HAD A DIRECT 19 COMPARISON, AS DIRECT AS I KNEW HOW TO DO OF HIS APPROACH 20 AND MINE. AND ESSENTIALLY THE -- THE RESULTS VERIFIED 21 22 THAT DR. CRUMP GOT SOME INSIGNIFICANT SLOPES, AND I GOT 23 SIGNIFICANT SLOPES WHEN I -- YOU KNOW, WHEN HE DIDN'T 24 SUBTRACT BACKGROUND, THE SLOPES WERE INSIGNIFICANT, AND 25 WHEN I -- MOSTLY. AND WHEN I DID THEY WERE SIGNIFICANT 0236 01 MOSTLY. 02 AND SO THAT'S ONE OF THE REASONS WHY THE

03 REPORT STRESSES THIS POINT THAT THE DIFFERENCE BETWEEN 04 THIS IS THAT ISSUE. 05 DR. FROINES: KATHIE? 06 DR. CRUMP: CAN I RESPOND --07 DR. HAMMOND: I THINK -- LET ME JUST SAY SOMETHING 08 ABOUT -- THERE'S TWO KINDS OF BACKGROUNDS HERE IN 09 SUBTRACTING, AND I THINK IT'S IMPORTANT TO DISTINGUISH 10 THOSE. 11 I THINK THAT SUBTRACTING BACKGROUNDS OF THE 12 TRAIN CREW IS ONE THING, AND THEN AS FAR AS I'M 13 CONCERNED -- AND THOSE OF US THAT DO THE EXPOSURE 14 ASSESSMENT, THAT THE CLERK SHOULD BE CONSIDERED UNEXPOSED. 15 SO YOU DON'T SUBTRACT THE SAME KIND OF NUMBER 16 BACKGROUND. YOU SET THAT -- AND I THINK THIS IS WHAT YOU 17 DID -- YOU SET THAT TO ZERO. BUT IT'S NOT A SUBTRACTING 18 BACKGROUND. IT'S SETTING THE CLERK'S EXPOSURE TO ZERO 19 BECAUSE THAT'S WHAT IT IS. 20 DR. DAWSON: YEAH. THAT -- THAT'S --21 DR. HAMMOND: THE TRAIN --22 DR. DAWSON: THAT'S ESSENTIALLY IT, THAT YOU'RE 23 ZEROING THE CLERK'S EXPOSURE ON THE BASIS THAT THEY WERE 24 UNEXPOSED TO DIESEL EXHAUST, AND THEN -- AND THEN IN OUR 25 CASE, WE SUBTRACTED THEIR VALUE FROM THE TRAIN WORKERS. 0237 01 DR. HAMMOND: RIGHT. 02 DR. DAWSON: AND YOU'VE SUGGESTED ANOTHER WAY TO DO 03 THAT WHICH IS FINE. 0.4AND TO ME, THIS TESTS THE HYPOTHESIS THAT 05 DIESEL EXHAUST IS A CARCINOGEN; WHEREAS IF YOU DON'T DO 06 THAT, IT TESTS ANOTHER HYPOTHESIS THAT R.S.P. E.T.S. 07 ADJUSTED R.S.P. IS A CARCINOGEN. 08 DR. CRUMP: MAY I RESPOND TO THAT? 09 DR. FROINES: SURE, SORRY. I DIDN'T MEAN -- I WAS 10 SAYING SOMETHING TO STAN. 11 DR. CRUMP: I'M SORRY. WAS HE -- I DIDN'T BUTT IN, 12 DID I? DR. FROINES: NO, NO. YOU'RE FINE. 13 DR. CRUMP: OKAY. WELL, AS I SAID OTHER TIMES 14 15 ALREADY TODAY, IT JUST SO HAPPENS THAT WHEN YOU DO IT THE 16 WAY STAN DESCRIBED, AND YOU ASSIGN ZERO EXPOSURES TO 17 THE -- CLERKS, BASICALLY ALL OF YOUR ANALYSES ARE 18 COMPARING CLERKS TO TRAIN RIDERS. AND IF THE TRAIN RIDERS HAD A HIGHER 19 20 INCIDENCE OF CANCER -- MORTALITY FROM CANCER, LUNG CANCER, 21 WHICH THEY DID, THEN VIRTUALLY WITH ANY SORT OF 22 DOSE-RESPONSE ANALYSIS, THE ANALYSIS THAT STAN DESCRIBED 23 WOULD GIVE YOU A SIGNIFICANT L.M.U. TREND. AND WHEN YOU CAN SEE THAT BY LOOKING AT 24 25 FIGURE F-3 THAT WE -- THAT WE'VE TALKED ABOUT, THAT SHOWS 0238 01 THAT A DECREASING TREND; BUT YET ANALYSIS THAT STAN 02 DESCRIBES GIVES YOU A POSITIVE SLOPE. 03 OF COURSE, IT GIVES YOU EXTREMELY -- A BAD 04 FIT TO THE DATA, BUT IT DOES GIVE YOU A POSITIVE SLOPE. 05 SO I'M SUGGESTING YOU CAN'T JUST LOOK AND SEE 06 IF A SLOPE IS POSITIVE OR NOT. YOU HAVE TO LOOK AND SEE, 07 I THINK, IF THERE IS A -- IF THE DOSE-RESPONSE TREND

08 YOU'RE GETTING IS A BIOLOGICALLY PLAUSIBLE. DR. FROINES: I -- I DON'T UNDERSTAND THAT LAST 09 10 SENTENCE. DR. CRUMP: THE TRENDS -- FOR EXAMPLE, YOU SEE IN 11 12 F-3. YOU SEE A -- AS THE SHOP -- AS THE TRAIN RIDERS WERE 13 EXPOSED, THE TRAIN RIDERS THAT WERE EXPOSED TO THE LEAST 14 OR THE SHORTEST -- SHORTEST AMOUNT OF TIME OR TO THE LEAST 15 AMOUNT OF DIESEL HAD THE HIGHEST RELATIVE RISK OF LUNG 16 CANCER. 17 AND AS THAT EXPOSURE INCREASED OR THE 18 DURATION OF EXPOSURE INCREASED, THEIR RISK OF LUNG CANCER 19 DECREASED, IT DID NOT INCREASE. THAT IS NOT A BIOLOGICAL 20 PLAUSIBLE EFFECT OF DIESEL EXPOSURE. 21 THERE -- IT DOESN'T SHOW THE DOSE-RESPONSE 22 TREND YOU WOULD EXPECT IF DIESEL WERE CAUSING THIS TREND 23 TO OCCUR. DR. FROINES: WELL, THAT'S BEEN DISCUSSED AT SOME 24 25 LENGTH EARLIER WHEN I THINK YOU WEREN'T ON, AND WE'LL HAVE 0239 01 TO MAKE SURE YOU HAVE THE TRANSCRIPT ON THAT. 02 I DON'T THINK THERE'S MORE THAT CAN BE SAID 03 ABOUT THAT RIGHT NOW. 04 KATHIE? 05 DR. HAMMOND: AND CHANGE THE SUBJECT, IS THAT 06 OKAY? A POINT THAT HASN'T BEEN DISCUSSED TODAY 07 08 HAS -- IS THE ISSUE -- IT'S TRUE THAT THE COMPARISON, 09 GENERALLY SPEAKING, IS TRAIN CREW TO CLERKS, AND I WOULD 10 LIKE TO REMIND PEOPLE THAT I THINK THERE AN UNDERLYING 11 NEGATIVE CONFOUNDER IN THAT COMPARISON. AND THAT IS THE 12 CLERKS HAVE A HIGH EXPOSURE TO ENVIRONMENTAL TOBACCO SMOKE 13 COMPARED TO THE TRAIN CREW. 14 AND TO THE DEGREE THAT ENVIRONMENTAL TOBACCO 15 SMOKE CAUSES LUNG CANCER, YOU ARE COMPARING THE RATE OF 16 THE TRAIN CREW'S LUNG CANCER TO AN ALREADY ELEVATED RATE. SO THE TRUE ELEVATED RATE IS EVEN HIGHER. 17 DR. FROINES: GOOD POINT. 18 DR. HAMMOND: AND WHETHER OR NOT YOU WANT TO DO 19 20 ANYTHING ABOUT THAT AT THIS POINT -- THIS MAY BE A LATE 21 DATE TO DO ANYTHING ABOUT THAT, BUT I WOULD JUST LIKE TO 22 POINT OUT FROM THAT PERSPECTIVE THIS -- ALL THIS WORK IN 23 THIS ANALYSIS REPRESENTS AN UNDERESTIMATE OF THE POTENCY 24 OF DIESEL EXHAUST. DR. FROINES: CAN I SUGGEST THAT PETER TALK? 25 0240 01 DR. WITSCHI: I HAVE A QUESTION AND IT'S TO ERIC 02 AND MAYBE I HAVE MISSED IT, YOU KNOW. THERE IS YOUR LETTER WHEN YOU CAME DOWN IN 03 04 WRITING THAT YOU OBJECT TO USING YOUR STUDIES FOR A 05 QUANTITATIVE RISK ASSESSMENT, AND I'M NOT QUITE CLEAR 06 TODAY WHERE WE STAND ON THAT ONE. 07 COULD YOU CLARIFY THIS FOR ME? 80 DR. GARSHICK: WELL, I THINK THE ISSUE WAS THAT 09 TRYING TO HAVE ONE SLOPE DESCRIBE ALL THE DATA AT THIS 10 POINT IS -- HAS MANY UNCERTAINTIES, AND THAT REALLY IS THE 11 MAJOR OBJECTION. 12 AND I THINK THAT, YOU KNOW, TRYING TO

13 EXTRAPOLATE BACK PAST EXPOSURES PLUS WITH THE STUDY THAT 14 NEEDS SOME ADDITIONAL FOLLOW UP TO DEFINE THAT SLOPE, AND 15 THAT WAS REALLY -- REALLY MY POINT. 16 AND I MEAN, IT SHOULDN'T BE UNDO EMPHASIS --17 THERE SHOULDN'T BE UNDUE EMPHASIS PUT ON THE SLOPE, 18 PARTICULARLY GIVEN THE DISCUSSIONS WE'RE HAVING RIGHT 19 NOW. 20 AND I -- I THINK THAT IT DEPENDS ON WHAT'S 21 DRIVING THE PROCESS. I MEAN, IF -- IF THE LAW SAYS THE 22 CALIFORNIA MUST COME UP WITH A -- WITH A SLOPE TO DRIVE 23 REGULATION, THEN THE POTENTIAL PROBLEM, POINTING AN 24 EMPHASIS ON THAT SLOPE, GIVEN ALL THE UNCERTAINTY -- ON 25 THE OTHER HAND, THE BOARD HAS TO IDENTIFY A TOXIC AIR 0241 01 CONTAMINANT BASED ON QUALITATIVE DISCUSSION, THEN WE HAVE 02 DONE THAT. 03 DR. GLANTZ: WELL, SEE THOUGH, I THINK YOU'RE KIND 04 OF MIXING -- YOU'RE KIND OF MIXING UP TWO POINTS HERE. 05 I THINK ONE QUESTION IS THE FUNDAMENTAL 06 QUALITY OF THE DATA, AND I THINK YOU'VE DEFENDED THAT 07 PRETTY WELL AT THIS MEETING TODAY. 08 THE SECOND QUESTION IS WHAT MODEL OR 09 MATHEMATICAL FUNCTION SHOULD YOU HAVE USED TO TRY TO 10 EXTRACT SOME SORT OF RISK NUMBER FROM THE DATA. DR. GARSHICK: RIGHT. 11 12 DR. GLANTZ: AND I MEAN, WHAT YOU WERE ARGUING 13 EARLIER WITH THE INFAMOUS BLUE SLIDE WAS DON'T JUST DRAW 14 ONE STRAIGHT LINE IN CERTAIN WAYS. 15 BUT I THINK -- OR LET ME ASK YOU THIS. WOULD 16 IT BE FAIR TO SAY THAT YOUR DATA, APPROPRIATELY ANALYZED, 17 WHATEVER THAT MEANS, WOULD BE SUITABLE IN FACT FOR DOING 18 THE RISK ASSESSMENT? IT'S NOT A PROBLEM WITH THE DATA 19 ITSELF --20 DR. GARSHICK: THAT'S RIGHT. I DON'T THINK IT'S A 21 PROBLEM WITH THE DATA. IT'S A PROBLEM RIGHT NOW, I 22 MEAN, -- I TRIED TO IDENTIFY TWO THINGS WE CAN DO TO 23 IMPROVE THAT, AND WHEN THAT SHOULD BE DONE I'M NOT SURE. 24 THAT'S UP TO THE COMMITTEE. 25 BUT THE ONE IS THE FOLLOW UP, AND ONE IS 0242 01 GOING BACK AND TRYING TO REALLY NAIL DOWN WHEN THOSE 02 PEOPLE STARTED BEING EXPOSED TO DIESEL BECAUSE IT WASN'T 03 THE START OF FOLLOW UP -- THE START OF EXPOSURE IS VERY 04 IMPORTANT AND WHAT THE LEVELS MIGHT HAVE BEEN, AND WE ARE 05 ATTEMPTING TO DO THAT. 06 DR. GLANTZ: BUT YOU WERE -- I THINK THAT -- AND I 07 THINK ACTUALLY THIS HAS BEEN A CLARIFYING DISCUSSION FOR 08 ME BECAUSE, I MEAN, I THINK THE COMMENTS THAT YOU'VE 09 SUBMITTED EARLIER WERE BEING INTERPRETED AS SAYING, WELL, 10 THERE WAS SOMETHING WRONG WITH THIS DATA --11 DR. GARSHICK: NO. 12 DR. GLANTZ: NO. I UNDERSTAND WHAT YOU'RE SAYING 13 NOW. THAT IS VERY HELPFUL. 14 DR. FROINES: GEORGE? 15 DR. ALEXEEFF: AS A CLARIFYING POINT, AND YOU CAN 16 INDICATE WHETHER OR NOT THIS IS THE CASE. 17 I THINK ONE OF THE ISSUES THAT YOU HAD WAS US

18 USING THE ORIGINAL PUBLISHED SLOPE THAT CAME FROM YOUR 19 STUDY AS OPPOSED TO THE OTHER ORIGINAL DATA ANALYSIS THAT 20 YOU HAD. I MEAN THAT WAS ONE ISSUE THAT --21 DR. GARSHICK: THAT WAS EARLY ON. I THINK THAT --22 THAT WAS DONE -- RIGHT. I THINK THAT WE'VE SETTLED THAT 23 ISSUE, BUT THERE'S BEEN A CONSIDERABLE ANALYSIS ON THE 24 DATA SET. YOU KNOW, EVEN NOT INCORPORATING FOLLOW UP 25 AFTER 1976. 0243 01 AND I'VE -- ALL I TRIED DO WAS MAKE PEOPLE 02 AWARE OF THE LIMITATIONS AND SO HAS TOM. I MEAN, TOM SAID 03 HE DIDN'T THINK IT WAS POSSIBLE. 04 NOW, I THINK WE NEED TO GO BACK AND TRY TO 05 BETTER DEFINE HISTORICAL RAILROAD EXPOSURES, JUST GREAT 06 UNCERTAINTY ASSIGNED TO ONE SLOPE LOOKING AT THESE DATA. 07 DR. FROINES: I DON'T KNOW IF THAT IS TRUE. I 08 THINK THAT WHEN YOU GO THROUGH AND YOU LOOK AT WHAT'S BEEN 09 DONE IN THIS DOCUMENT AND LOOK AT THE RANGE OF RISK THAT 10 PEOPLE HAVE IDENTIFIED, AS I LOOK AT THE NUMBERS IN THE 11 ANALYSIS AND THE CONCLUSIONS, IT SEEMS TO ME, THAT THAT 12 RANGE IS FAIRLY REASONABLE HAVING BEEN LOOKED AT IN A 13 NUMBER OF DIFFERENT WAYS. 14 AND THAT WITHIN THE LIMITS OF -- OF SOME 15 OTHER DISCUSSIONS AND GIVEN THE LIMITS OF YOUR DATA, THAT 16 THESE GUYS SEEM PRETTY SOLID TO ME, AND THAT WE DO HAVE 17 UNCERTAINTY ABOUT THOSE NUMBERS. THERE'S NO QUESTION 18 ABOUT IT, AND I DON'T THINK ANYBODY IN THIS ROOM WOULD 19 ARGUE THAT. 20 BUT I THINK THAT THE DATA IS LEGITIMATE FOR 21 USE AND -- AND WHAT STAN AND OTHERS HAVE DONE HAVE BEEN --22 IN FACT, GONE TO GREAT ENDS. 23 WE HAVE NEVER EVER HAD A DOCUMENT -- IN FACT, 24 YOU COULD TAKE EVERY RISK ASSESSMENT WE'VE EVER DONE AND 25 COMBINE THEM TOGETHER, AND THEY WOULDN'T MAKE THE DEPTH OF 0244 01 THIS RISK ASSESSMENT. 02 AND I THINK THAT ONE HAS TO PUT THIS RISK 03 ASSESSMENT INTO SOME PERSPECTIVE. WE'VE HAD -- THIS IS 04 NOW OUR THIRD WORKSHOP. WE HAVE GONE THROUGH THIS AND 05 THROUGH IT AND THROUGH IT, AND WE WILL NEVER, I HOPE IN MY 06 LIFE AGAIN, HAVE TO GO THROUGH A CHEMICAL OF THIS WITHIN 07 THIS DEPTH. 08 AND SO THAT AT SOME LEVEL WE ARE SAYING THAT 09 WE HAVE AN ENORMOUS AMOUNT OF DATA THAT WE'RE USING, AND 10 WE'RE PENALIZING OURSELVES BY CONTINUING TO -- IN A SENSE, 11 ALMOST OVERSTATE THE UNCERTAINTY, WHICH ISN'T TO SAY THERE 12 ISN'T AN ENORMOUS AMOUNT OF WORK LEFT TO BE DONE BECAUSE 13 THERE CLEARLY IS, AND HOPEFULLY YOU WILL DO MUCH OF IT. 14 AND THERE ARE CLEARLY BIOLOGICAL ISSUES THAT NEED FURTHER 15 DETERMINATION. NOBODY IS ARGUING THAT THIS IS A CLOSED 16 BOOK. 17 BUT I ALSO THINK THAT WE SHOULD BE --18 SHOULDN'T BE HESITANT TO SAY THERE IS AN AWFUL LOT THERE 19 AS WELL AND THAT WE CAN USE THAT TO MAKE SOME DECISIONS TO 20 HELP US GO FORWARD, RECOGNIZING THOSE -- RECOGNIZING THOSE 21 UNCERTAINTIES. 22 I MEAN, I HATE TO BRING THIS BACK, BUT WHEN

23 WE DID METHYLENE CHLORIDE A FEW YEARS AGO, WE TOOK THREE 24 DATA SETS. AN ANIMAL STUDY AT 4,000, 2,000 AND A CONTROL, 25 AND WE DREW A LINE THROUGH IT, AND WE SAID THAT'S IT. AND 0245 01 THAT WAS IT. AND BY THESE STANDARDS, THAT WAS SILLY AT 02 BEST. 03 AND THEN DALE AND SOME OTHERS CAME IN AND 04 SAID, OH, WE'VE GOT TO MAKE A LITTLE ADJUSTMENT BECAUSE 05 WE'VE GOT SOME COMPETITION BETWEEN P-450 AND GLUTATHIONE 06 AND, SO WE DID SOME P.B.P.K. MODELING, AND THAT WORKED OUT 07 FINE. 80 AND SO ONE HAS TO HAVE SOME HUMILITY ABOUT 09 THE SCOPE OF THIS DATA. I THINK ACTUALLY WE'RE DEALING 10 WITH OVER 30 EPIDEMIOLOGIC STUDIES, AND THAT THE DATA WE 11 HAVE BEFORE US IS ACTUALLY QUITE GOOD. WE SHOULD SAY THAT. IT IS QUITE GOOD, AND WE HAVE DONE AN AWFUL LOT OF 12 13 WORK IN THE PROCESS. 14 NOW, I DON'T KNOW HOW THIS PANEL IS GOING TO 15 DECIDE IN A MONTH FROM NOW, BUT I CERTAINLY DON'T AGREE 16 WITH THE NOTION THAT THE DATA IS FUNDAMENTALLY FLAWED AND 17 THERE ARE SO MANY UNCERTAINTIES THAT WE CAN'T FIND OUR WAY 18 THROUGH THE -- FROM THE FOREST TO THE TREES OR VICE VERSA. 19 ANYWAY. 20 DR. GARSHICK: YOU KNOW, IN TERMS OF THE 21 QUANTITATIVE ASPECTS OF THE ANALYSIS, IN TERMS OF THE 22 QUALITATIVE ASPECTS, A LOT OF -- A LOT OF WEIGHT TENDS TO 23 BE GIVEN TO DECISIONS MADE BY -- BY BODIES SUCH AS THIS, 24 PARTICULARLY SPECIFYING RANGES SUCH AS UPPER LEVELS OF 25 RANGE MAY BE UNDULY EMPHASIZED AS COMPARED TO LOW LEVELS 0246 01 OF RANGES. AND THAT'S WHAT I'M TALKING ABOUT, THE 02 UNCERTAINTY. 03 NOW, IT SHOULDN'T -- IT SHOULDN'T PARALYZE 04 THE REGULATORY PROCESS, BUT AND THE REASON WHY I'M 05 EMPHASIZING THE UNCERTAINTIES IS THAT MAY INDEED HAPPEN, 06 AND UNTIL WE KNOW MORE ABOUT THE ACTUAL POTENCY OF DIESEL 07 PER SE, WE'LL BE FORCED TO LIVE WITH THE -- HAVE THE 08 UNCERTAINTIES, AND I THINK THAT THE STAFF MADE A COMMENT 09 THAT THEIR OPINION THAT THE LOWER LEVEL OF THE RANGE WAS 10 MORE -- MORE APPROPRIATE. PERHAPS LOWER THAN THAT. 11 SO I THINK THAT'S THAT -- I'LL JUST ADD THAT 12 CAVEAT. DR. FROINES: I AGREE. I AGREE. NO PROBLEM. NO 13 14 PROBLEM. 15 I THINK THAT -- LET ME -- LET ME ASK YOU A 16 COUPLE OF QUESTIONS. ACTION ITEMS. WE SHOULD ALWAYS HAVE 17 ACTION ITEMS AFTER A MEETING. ONE ACTION ITEM -- WHAT? 18 DR. GLANTZ: ADJOURNING. 19 DR. FROINES: I UNDERSTAND THAT, AND IF I DON'T 20 SHUT UP AND -- ERIC AND I SHUT UP SOON, THERE WON'T BE 21 ANYBODY TO TALK ABOUT ACTION ITEMS WITH BECAUSE A NUMBER 22 OF PEOPLE HAVE LEFT ALREADY. 23 BUT FIRST IS THOSE PEOPLE WHO HAD SLIDES OR 24 OVERHEADS, WE DO NEED TO GET -- OBTAIN THEM SO WE CAN SEND 25 COPIES OF THE SLIDES AND OVERHEADS TO ALL THE ATTENDEES. 0247 01 SO THAT'S ONE ACTION ITEM.

02 NOW, THE ONLY OTHER QUESTION I HAVE, AND I 03 WILL STOP FOR THE DAY IS DOES THE PANEL HAVE ANY COMMENTS 04 ABOUT ANYTHING THAT WE BILL LOCKETT, MYSELF, AND GEORGE 05 SHOULD BE DOING OVER THE NEXT MONTH BEFORE WE HAVE THE --06 THE PREMIERE MEETING. 07 DR. WITSCHI: YEAH. I WOULD MENTION, AGAIN, I 08 WOULD LIKE YOU TO TALK TO ALLAN SMITH SO HE CAN GET 09 SOMETHING TO US IN WRITING WHAT HIS ASSUMPTIONS WERE AND 10 WHAT HIS PROCEDURES WERE FOR US TO COME TO THIS ESTIMATE 11 ABOUT AND TWO AND ONE THOUSAND. I THINK I REALLY WOULD 12 NEED SOME DOCUMENTATION ON THAT ONE. 13 DR. FROINES: AND I -- BILL, I WOULD REALLY VERY 14 MUCH LIKE TO GET A COPY OF THE TRANSCRIPT TO EVERYBODY AND 15 I -- AND I HOPE YOU WOULD BE WILLING TO TAKE THE 16 TRANSCRIPT BECAUSE -- AND I THINK KENNY AND TOM SMITH 17 ESPECIALLY -- SO THAT IF ANYBODY WANTS TO MAKE COMMENTS 18 BASED ON WHAT THEY HAVE IN THE TRANSCRIPT, TO CLARIFY 19 THINGS, TO BRING -- TO SAY SOMETHING FURTHER THAT THEY 20 HAVE THAT OPPORTUNITY. 21 SO THAT -- SO THAT EVERYBODY COMES AWAY 22 FEELING THAT THAT THE PROCESS HAS BEEN A COMPLETELY OPEN 23 ONE, AND THEY'VE HAD A COMPLETE -- HAD ABILITY TO 24 COMMUNICATE CONCERNS THAT MAY HAVE NOT BEEN EXPRESSED 25 HERE. 0248 01 ANYTHING ELSE? WE'RE ADJOURNED. THANK YOU 02 VERY MUCH. THANK YOU VERY MUCH FOLKS THAT CAME AS 03 04 INVITED GUESTS. AND FOR THOSE PEOPLE IN THE AUDIENCE THAT 05 NEVER GOT ANY CHANCE TO ASK A QUESTION, I APOLOGIZE, BUT 06 YOU'RE MORE THAN WELCOME TO SEND ME TO ME IN WRITING. 07 (END OF PROCEEDINGS) 80 09 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24

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