

UNIVERSITY OF CALIFORNIA

Los Angeles

Development of a Market-Based Strategy to
Reduce Emissions from Locomotives

A dissertation submitted in partial satisfaction of the
requirements for the degree Doctor of
Environmental Science and Engineering

by

Marijke Lynne Bekken

1995

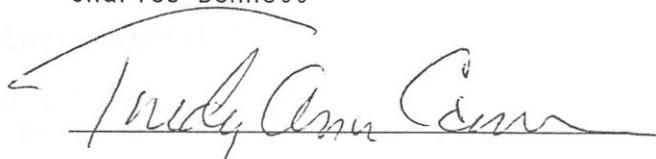
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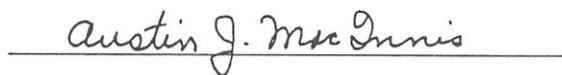
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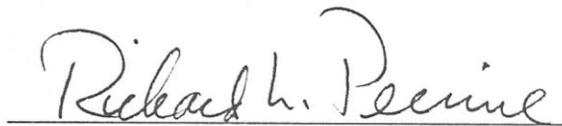
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ACKNOWLEDGMENTS

I wish to thank the California Air Resources Board for offering me employment during the dissertation phase of my doctoral endeavors, and especially my immediate supervisor, Ms. Jackie Lourenco, Manager, Off-Road Controls section, who supported my efforts and ensured that my project remained with me rather than devolving to some other roost. Through her, the support of Mr. Michael Carter, Chief, Off-Road Regulatory Controls Branch, Mr. Robert Cross, Assistant Chief and Mr. K. D. "Don" Drachand, Chief, Mobile Source Division, was also invaluable.

This paper has not been subject to Air Resources Board review and should not be construed to represent the policy of this agency. Mention of any particular manufacturer or product should not be construed as an endorsement.

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ABSTRACT OF THE DISSERTATION

Development of a Market-Based Strategy to
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Doctor of Environmental Science and Engineering

University of California, Los Angeles, 1995

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Pollution levels in many California air basins violate both state and federal ambient air quality standards. Legislation and regulations have been passed or approved that have resulted in significant reductions of pollution from both stationary and mobile sources. However, these measures have not been sufficient to bring California into compliance with the standards. The 1988 California Clean Air Act recognized that unregulated mobile sources, such as utility equipment, construction and farm equipment, marine vessels, and locomotives, may have substantial emissions. It mandated that the Air Resources Board adopt regulations to reduce emissions from these sources. The 1990 amendments to the federal Clean Air Act

preempted California from setting emission standards for new locomotives. Therefore, regulations must focus on the in-use fleet. These regulations could involve mandating specific technologies (command and control) or could employ a more flexible market-based strategy. The dissertation presents a summary of the locomotive contribution to California's air quality problems, discusses the advantages and disadvantages of command and control versus market-based control strategies, provides some examples of past market-based programs that have been implemented, and discusses design criteria that contribute to the effectiveness of any proposed program. The market-based strategy developed focuses on controlling emissions from the locomotive fleet operating in California.